



CONTROL UNION

ALLIANCE FOR WATER STEWARDSHIP (AWS) AUDIT REPORT

Based on AWS Standard Version 1.0

[Asejire]

[KM 22 New Ife road, Asejire Ibadan, Oyo State]

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Prepared by: [LLC "Control Union Certifications"]
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1. General Information

1.1. Client Details

Company Name	Nigerian Bottling Company Ltd. Asejire, Oyo state
Business address:	Nigerian Bottling Company, Iddo house, Iddo, Ebute Metta, Lagos
Auditing Site Address:	KM 22 New Ife road, Asejire Ibadan, Oyo State
Activities / Processes:	Bottling of Carbonated Soft Drinks and Still Beverages
Principle contact person:	Michael Ale
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Web site:	www.nigerianbottlingcompany.com

1.2. Certification Details

Audit Date(s):	19/12/2019 & 20/12/2019
Auditor Team:	Jan Hofsteede (Lead Auditor) and Emmanuel O. Akinwekomi (Auditor)
Certification Date:	16/03/2020
Level of Certification:	Gold
Proposed date for next audit:	20/12/2020
Audit Report completed by:	Jan Hofsteede and Emmanuel O. Akinwekomi

Project No.:	862174-AWS-2019-01
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2. Executive Summary

The site/plant is one of the 8 Nigerian Bottling Company (NBC), which is a member Coca-Cola Hellenic group. It is located in Asejire Ibadan, Oyo state south west Nigeria. The production capacity of the site/plant is 37,200,000 unit case per year (37.2MUC/yr) and currently produces the following products; Coca-cola, Fanta, Sprite, Schweppes Biter Lemon, Limca, Coca-Cola Zero, Fanta Zero and Sprite Zero.

The main water supply source to the plant is from a surface water reservoir on the river Oshun. The reservoir is owned by the water corporation of the Oyo state and is located approximately 3.2 Km From the plant. The reservoir has a capacity estimated around 30.5 million m3.

The land use in the catchment is mainly agricultural, some fishing and light industry. The NBC plant is one of the major industries within the local area.

During the audit following people were present and interviewed: Stephen Adekogbe (Acting Plant Manager), Tijani Salau (Quality Assurance Manager), Temitope Ogunrinde (National Environment Manager), Ogundipe Olufunke (Environment and System Manager), Moses Oladele (Utility Controller), Kome Maikpobi (Area Production Manager), Promise Omwuegunam (Maintenance Manager), Ugbekile Ngozi (Food Safety Manager)

The certification audit announcement was published 30 days before the audit, as required by AWS standard, in the following media; Control Union website, AWS website and local media. The audit was conducted for 2 days i.e. on 19 & 20-12-2019.

The audit team was comprised of following auditors;

Name of Auditor	Role in Audit
Jan Hofsteede	Lead Auditor
Emmanuel O. Akinwekomi	Local Auditor

The audit findings showed that the site meet up completely with the core level criteria and partially with advance level criteria. There was no any minor or major non-conformities observed during the audit for core level criteria thus site is certified for AWS certification.

Observations: The Company is making great efforts to involve stakeholders. The site has scope for improvement in compliance with advance level indicators.

The site has met all the core level criteria. As per the points scored in advance level criteria, the site is qualified for Gold level certification. Therefore, it is recommended to be awarded Gold Level of AWS certificate.

3. Scope of Assessment

Audit Standard	Alliance for Water Stewardship V1.0		
Initial Audit	Yes		
Surveillance Audit	No		
Type of Certification	Single Site	Multi-site	Group
	X		
Location of Audit	KM 22 New lfe road, Asejire Ibadan, Oyo State		
Scope of Certification	The plant water supply source, the relevant stakeholders in the catchment area, all the production lines and other related areas utilizing water on the site		
Assessment on-site activities includes	Document review, management interview, employee interview, onsite implementation review		

4. Description of the Catchment

The site/plant is one of the 8 Nigerian Bottling Company (NBC), which is a member Coca-Cola Hellenic group. It is located in Asejire Ibadan, Oyo state south west Nigeria. The production capacity of the site/plant is 37,200,000-unit case per year (37.2MUC/yr) and currently produces the following products; Coca-cola, Fanta, Sprite, Schweppes Biter Lemon, Limca, Coca-Cola Zero, Fanta Zero and Sprite Zero.



The main water supply source to the plant is from a surface water reservoir on the river Oshun. The River Oshun, one of the most important rivers in the region.

The reservoir is owned by the water corporation of the oyo state and is located approximately 3.2 Km From the plant. The reservoir has a capacity estimated around 30.5 million m³.

Water currently pumped from the reservoir from a pontoon currently installed on the site of the reservoir. The plant has experienced a number of water supply shortages due to pump failure at the intake point, burst pipe along with the water transport line from the dam and low water level in the reservoir particularly when the reservoir is periodically drained for maintenance purposes.



The land use in the catchment is mainly agricultural, some fishing and light industry. The NBC plant is one of the major industries within the local area.

The site is underlain by mica schist intruded by granite pegmatite which lies within the basement complex of Southwestern Nigeria Precambrian Basement complexes. This significantly limits possibility of ground water exploitation with the site as well as contribution to groundwater recharge within the region.

5. Summary on Stakeholder and shared Water Challenges

The site has identified following stakeholders and their water related challenges –

Sr. No.	Stakeholders	Type	Water related Challenges and level of interest
1	Host Community (Asejire & Olukeye)	Farmer / Business	Provision of water to host communities and water stewardship
2	Government Agency (WCOS)	Public Sector	Continuous provision of water to the plant and payment for services enjoyed
3	Government Agency (National Inland Waterways Authority)	Public Sector	Claims to the control of functional activities of the dam
4	Suppliers	External	Provides supporting services or raw materials
5	Alfa Sulaimon	Farmer – Business	Impact on fish farm through water ingress
6	Employee	Internal	Responsible for production output using water as a major raw material and achievement of WUR
7	Management	Internal	Provides leadership and direction for the

Sr. No.	Stakeholders	Type	Water related Challenges and level of interest
			company
8	Stakeholders	Internal	Maximize resources in terms of energy, water, waste reduction &

The local community has no known concerns over the plant's abstraction from the reservoir. The plant has good stakeholder relationship with the owners of the reservoir.

6. Summary of the Assessment

6.1. Major Non-conformities

Sr. No.	AWS Criteria	Description of NC	Response from Client (explanation & documents)	Closure
		Nil		

6.2. Minor Non-conformities

Sr. No.	AWS Criteria	Description of NC	Response from Client (explanation & documents)	Closure
		Nil		

6.3. Observations

Sr. No.	AWS Criteria	Description of NC	Response from Client (explanation & documents)	Closure
		Nil		

7. Audit Checklist

Step 1: COMMIT – Commit to being a responsible water steward

Step 1 ensures that there is sufficient leadership support to enact the rest of the criteria within the Standard. This step also relates to commitments to legal/regulatory compliance and rights-related issues, which underpin water stewardship.

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
<p>1.1 Establish a leadership commitment on water stewardship: Have the senior-most manager at the site, and if necessary a suitable individual within the corporate head office, sign and publicly disclose a commitment to:</p> <ul style="list-style-type: none"> x Uphold the AWS water stewardship outcomes (good water governance, sustainable water balance, good water quality status and healthy status of Important Water-Related Areas); x Engage stakeholders in an open and transparent manner; x Strive to comply with legal and regulatory requirements x Respect water-related rights, including ensuring appropriate access to safe water, sanitation and hygiene for all workers in all premises under the site’s control; x Support and coordinate with public sector agencies in the implementation of plans and policies, including working together towards meeting the human right to water and sanitation. x Continually improve and adapt the site’s water stewardship actions and plans; x Maintain the organizational capacity necessary to successfully implement the AWS Standard, including ensuring that staff have the time and resources necessary to undertake the implementation; 	<p>1.1.1 Signed and publicly disclosed statement that explicitly covers all requirements (see details in Criterion 1.1)</p>	<p>Core</p>	<p>NBC Asejire site AWS commitment is embeded in her AWS policy document signed by Mr. Michael Ale (Plant Manager) on 14th August, 2019. Document number - AS-WS-PO-08. First issued May 2019.</p>	<p>The site has a signed & publicly disclosed water stewardship policy that covers all the requirements of the criteria. Photo of the evidence is shared.</p> <p>Findings Accepted.</p>

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
<ul style="list-style-type: none"> x Support water-related national and international treaties; x Disclose material on water-related information to relevant audiences. 				
<p>1.2 Develop a water stewardship policy: Develop an internally agreed-upon and communicated and publicly available water stewardship policy that references the concept of water stewardship (as informed by the AWS Standard, outcomes and criteria).</p>	<p>1.2.1 Publicly available policy that meets all requirements (see Guidance)</p>	<p>Core</p>	<p>NBC Asejire site AWS policy document signed by Mr. Michael Ale (Plant Manager) on 14th August, 2019. Document number - AS-WS-PO-08. First issued May 2019. Publicly displayed at the site entrance main gate.</p>	<p>The site has a signed (by plant manager) & publicly available (displayed at the site entrance main gate) water stewardship policy that references concept of water stewardship. Photo of the evidence is shared.</p> <p>Findings Accepted.</p>
<p>1.3 Further the Alliance for Water Stewardship: Commit to an AWS training programme or commit to AWS membership or get a commitment from one or more other sites to implement an AWS programme (membership, standard & certification or training).</p>	<p>1.3.1 Official registration with AWS</p>	<p>Advanced</p>	<p>NBC Asejire has formally registered with AWS the registration number; AWS-010-INT-CU-00-05-00010-0071. As available on AWS website</p>	<p>https://a4ws.org/certification/registered-sites/</p> <p>Findings Accepted.</p>
<p>1.4 Commit to other initiatives that advance effective water stewardship: Commit to additional, voluntary and complementary water-related initiatives. Qualifying initiatives must:</p> <ul style="list-style-type: none"> x Be voluntary in nature; x Be commonly accepted as best practices or processes for effective water management; x Explicitly contain references to water (even if this is not their primary purpose); x Contain a time-bounded commitment for taking action to improve use of water resources; x Not be redundant with existing 	<p>1.4.1 Formal commitment to qualifying initiative(s), including a timeline for completion</p>	<p>Advanced</p>	<p>The site through her group (Coca Cola Hellenic) is committed to UN water compact global CEO water mandate. Www.ceowatermandate.org/about/endorsing-companies/</p>	<p>Companies who has signed the CEO water mandate, which is a commitment platform for business leaders and learners to advance water stewardship. Endorsing companies commit to action across six key elements and report annually on progress. https://s3-us-west-2.amazonaws.com/ungc-production/attachments/</p>

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
<p>requirements from the AWS Standard (i.e., the site cannot get credit for commitments that would have been already required by the AWS Standard); x Intend to deliver additional social or environmental benefits, keeping with the definition of water stewardship.</p>				<p>cop_2019/472675/original/CocaCola_HBC_2018_IAR_15Mar2019.pdf?1552923295</p> <p>Findings Accepted.</p>
<p>1.5 Secure a water stewardship commitment from the organization's senior-most executive or the organization's governance body: The site's commitment in 1.1 is also signed off by the senior-most executive in the organization or the overarching governance body that oversees the site's organization.</p>	<p>1.5.1 Appropriately signed and publicly available statement that explicitly covers all requirements (see details in Criterion 1.1)</p>	<p>Advanced</p>	<p>There is available Group (Coca Cola Hellenic) Water Stewardship Policy signed by the group CEO Dimitris Lois</p>	<p>https://ng.coca-colahellenic.com/media/1112/coca-colahbc-water-stewardship-policy.pdf</p> <p>Findings Accepted</p>
<p>1.6 Commit to directly assisting with community water needs in times of stress: The site publicly commits that if the human right to water and sanitation is unmet, and if requested by the community, the site will provide direct assistance from its own allocations of 20L per person to assist communities for their water-related needs.</p>	<p>1.6.1 Signed and publicly disclosed statement that explicitly covers all requirements</p>	<p>Advanced</p>	<p>Group commitment tagged 'Mission Sustainability 2025 Commitment' available on the group website, approved by Micheal Dickson - Group Sustainability and Community Director.</p>	<p>The 'Mission Sustainability 2025 Commitment' is not explicitly covering all the requirements of the criteria.</p> <p>Share evidence for signed and publicly disclosed statement, explicitly covering all requirements.</p> <p>Findings Accepted.</p>

Step 2: GATHER & UNDERSTAND – Gather data to understand shared water challenges and water-related risks, impacts and opportunities

Step 2 ensures that the site gathers data on its water use and its catchment context and that the site employs these data to understand its shared water challenges as well as its contributions (both negative and positive) to these challenges and to water-related risks, impacts and opportunities. This information also informs the development of the site's water stewardship plan (Step 3) and guides the actions (Step 4) necessary to deliver upon the commitments (Step 1).

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
<p>2.1 Define the physical scope: Identify the site's operational boundaries, the sources the site draws its water from, the locations where the site returns its discharge to, and the catchment(s) that the site affect(s) and is reliant upon.</p>	<p>2.1.1 Documentation or map of the site's boundaries 2.1.2 Names and location of water sources, including both water service provider (if applicable) and ultimate source water 2.1.3 Names and location of effluent discharge points, including both water service provider (if applicable) and ultimate receiving water body 2.1.4 Geographical description or map of the catchment(s)</p>	<p>Core</p>	<p>2.1.1 Map of site is available on a document named Asejire long term master plan scenario 42 long term approved on 25/08/2016. 2.1.2 and 2.1.3 Available in a document named "Source Vulnerability Assessment - Renewal" Prepared by Zenith International on December 2015 page 7 and 8 and due for renewal by December 2020. Map of catchment available on page 29 of a document named "Quarterly Environmental monitoring report, 3rd quarter 2019. Prepared by; Transparent Earth Nigeria Limited. 2.1.4 Available in an external document titled "Seanal Biodiversity Assessment of Benthic Macroinvertebrate of Asejire Reservoir, South west Nigeria by Godwin Asibor of Federal University of Petroleum Effurun Delta State Nigeria.</p>	<p>For every indicator relevant evidences are noted and auditor has verified all this on site. Relevant snaps are shared.</p> <p>Findings accepted.</p>
<p>2.2 Identify stakeholders, their water-related challenges and the site's sphere of influence: Identify stakeholders, document their water-related challenges and explain how the stakeholders are within the site's sphere of influence.</p>	<p>2.2.1 List of stakeholders, descriptions of prior engagements and summaries of their water-related challenges 2.2.2 Description of the site's sphere of influence</p>	<p>Core</p>	<p>2.2.1 Available in a document titled ' Stakeholders and Sphere of Influence Asejire Plant' Document number AS-WA-TW-26.59 First issued on May 2019 and approved by the plant manager.</p>	<p>Relevant snaps are shared and auditor has verified all this on site.</p> <p>Findings Accepted.</p>

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
<p>2.3 Gather water-related data for the catchment: Gather credible and temporally relevant data on the site's catchment:</p> <ul style="list-style-type: none"> x Water governance, including catchment plan(s), water-related public policies, major publicly led initiatives under way, relevant goals, and all water-related legal, regulatory requirements; x Water balance for all sources while considering future supply and demand trends; x Water quality for all sources while considering future physical, chemical and biological quality trends; x Important Water-Related Areas, including their identification and current status, while considering future trends; x Infrastructure's current status and exposure to extreme events while considering expected future needs. 	<p>2.3.1 List of relevant aspects of catchment plan(s), significant publicly led initiatives and/or relevant waterrelated public policy goals for the site</p> <p>2.3.2 List, and description of relevance, of all applicable water-related legal and regulatory requirements, including legally defined and customary water rights and water-use rights</p> <p>2.3.3 Catchment water balance by temporally relevant time unit and commentary on future supply and demand trends</p> <p>2.3.4 Appropriate and credibly measured data to represent the physical, chemical and biological status of the site's water source(s) by temporally relevant time unit, and commentary on any anticipated future changes in water quality</p> <p>2.3.5 Documentation identifying Important WaterRelated Areas, including a description of their current status and commentary on future trends</p>	<p>Core</p>	<p>2.3.1 Available publicly led initiative in catchment include; Construction of sludge treatment plant and construction of a fence along the dam reservoir bank by Oyo State Water Corporation as available in the SWPP Asejire plant.</p> <p>2.3.2 Available in the NBC Asejire plant document name SWPP Asejire plant date November 2016.</p> <p>2.3.3 and 2.3.4 Catchment water balance external document titled: "Water Supply Infrastructure in Ibadan final report" page 55 and 46 respectively.</p> <p>2.3.5. One of the identified catchment important water related area is Old Oyo national park. Available in a document titled 'SWPP NBC Asejire plant' page 15.1.</p>	<p>Relevant snaps are shared, and auditor has verified all this on site.</p> <p>Share the evidence reference for indicator 2.3.6</p> <p>Findings Accepted.</p>

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
	2.3.6 Existing, publicly available reports or plans that assess water-related infrastructure, preferably with content exploring current and projected sufficiency to meet the needs of water uses in the catchment, and exposure to extreme events			

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
<p>2.4 Gather water-related data for the site: Gather credible and temporally relevant data on the site's:</p> <ul style="list-style-type: none"> x Governance (including water stewardship and incident response plan); x Water balance (volumetric balance of water inputs and outputs); x Water quality (physical, chemical and biological quality of influent and effluent) and possible sources of water pollution; x Important Water-Related Areas (identification and status); x Water-related costs (including capital investment expenditures, water procurement, water treatment, outsourced water-related services, water-related R&D and water-related energy costs), revenues and shared value creation (including economic value distribution, environmental value and social value). 	<p>2.4.1 Copies of existing water stewardship and incident response plans</p> <p>2.4.2 Site water balance (in Mm3 or m3) by temporally relevant time unit and water-use intensity metric (Mm3 or m3 per unit of production or service)</p> <p>2.4.3 Appropriate and credibly measured data to represent the physical, chemical and biological status of the site's direct and outsourced water effluent by temporally relevant time unit, and possible pollution sources (if noted)</p> <p>2.4.4 Inventory of all material water-related chemicals used or stored on-site that are possible causes of water pollution</p> <p>2.4.5 Documentation identifying existing, or historic, onsite Important Water-Related Areas, including a description of their status</p> <p>2.4.6 List of annual water-related costs, revenues and description/quantification of social, environmental or economic value</p>	<p>Core</p>	<p>2.4.1 Available NBC document titled "Emergency Preparedness and Response Plan" Document number AS-SP-EM-06-01. 2.4.2. Available in the NBC Asejire document titled "Daily Water Monitoring Efficiency Tool Asejire Nov. 2019 (Water Balance Chart) document number AS-FM-TW-26.14. It is a current/live document which is updated daily with monthly output.</p> <p>2.4.3 Available document titled 'Plant Water Analysis Report' prepared by Global Analytical Services 27/11/2015.</p> <p>2.4.4 List of inventory of all material water-related chemical stored available with titled document "List of Chemicals Exposures (Harzadous Materials) document number AS-FM-EM-HM-08.10</p> <p>2.4.5 N/A</p> <p>2.4.6 Avalable in NBC Asejire document titled "NBC Water Cost 2019".</p>	<p>For every indicator relevant evidences are noted and auditor has verified all this on site. Relevant snaps are shared.</p> <p>Findings accepted.</p>

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
	generated by the site to the catchment			

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
<p>2.5 Improve the site's understanding of its indirect water use: Identify and continually improve the site's understanding of:</p> <ul style="list-style-type: none"> x Its primary inputs, the water use embedded in the production of those primary inputs and, where their origin can be identified, the status of the waters at the origin of the inputs; x Water used in outsourced water-related services within the catchment. 	<p>2.5.1 List of primary inputs with their associated embedded annual (or better) water use and (where known) their country/region/or catchment of origin with its level of water stress</p> <p>2.5.2 List of outsourced services that consume water or affect water quality and both (A) estimated annual (or better) water withdrawals listed by outsourced services (Mm3 or m3) and (B) appropriate and credibly measured data to represent the physical, chemical and biological status of the outsourced annual (or better) water effluent</p>	<p>Core</p>	<p>2.5.1 Available in NBC Asejire document titled " SWPP Asejire' page 7.2"</p> <p>2.5.2 Available in NBC Asejire site document titled "List of Suppliers and Water Stewardship Actions' document number AS-WA-TW-26.10. First Issue July 2019 approved by plant manager.</p>	<p>Relevant snaps are shared and auditor has verified all this on site.</p> <p>Findings accepted.</p>
<p>2.6 Understand shared water-related challenges in the catchment: Based upon the status of the catchment and stakeholder input, identify and prioritize the shared water-related challenges that affect the site and that affect the social, environmental and/or economic status of the catchment(s). In considering the challenges, the drivers of future trends and how these issues are currently being addressed by public-sector agencies must all be noted.</p>	<p>2.6.1 Prioritized and justified list of shared water challenges that also considers drivers and notes related to public-sector agency efforts</p>	<p>Core</p>	<p>This is prioritied by group of stakeholder meeting comprises of NBC (CC), Nigerian brewries, Triton group of companies, Water Cooperation of Oyo state and the local fishermen in catchment. The shared water challenges are;</p> <ol style="list-style-type: none"> 1. Polution of Asejire dam through the activities of some local fishermen using chemical for fishing. 2. Pollution of the Asejire dam through the untreated effluent of Triton Group of Campanies. This is captured in the minutes of stakeholders meeting held on June 20 and July 4 2019. 	<p>The shared water challanged are noted and also discussed and documented in stakeholder meeting. Relevant snaps are shared and auditor has verified all this on site.</p> <p>Findings accepted.</p>

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
<p>2.7 Understand and prioritize the site's water risks and opportunities: Based upon the status of the site, existing risk management plans and/or the issues identified in 2.6, assess and prioritize the water risks and opportunities affecting the site.</p>	<p>2.7.1 Prioritized list of water risks facing the site, noting severity of impact and likelihood within a given time frame 2.7.2 Prioritized list of water-related opportunities for the site 2.7.3 Estimate of potential savings/value creation</p>	<p>Core</p>	<p>2.7.1 The prioritized water risk list as available in SWPP document which are; 1. Pollution of source water supply 2. Pollution of local environment by storm water 2.7.2 List of water related opportunities is contained in SWPP known as 10 water savers verification tools. 2.7.3 Available in NBC document titled "Water/Energy/Carbon Saving Projects - OPEX 2019"</p>	<p>The document titled "Source Water Protection Plan Asejire Plant" is verified by the auditor on site. Kindly share evidence for 2.7.3. Findings Accepted.</p>
<p>2.8 Support and undertake joint water-related data collection: Engage in data gathering with two or more other organizations in the catchment or join a public-sector-led effort to gather the information required in Criterion 2.3.</p>	<p>2.8.1 Evidence of water-related data that was jointly gathered</p>	<p>Advanced</p>	<p>Water related data was jointly gathered between NBC and Nigerian Breweries on Asejire dam as shown in a power point presentation document and follow up mail from Nigerian Breweries (NB) of November 14th, 2019.</p>	<p>The efforts have been made to jointly gather water related data for Asejire Dam. Auditor has verified this on site also. Relevant snaps of the mail for collaboration meeting are also shared. Efforts have been made with only one organisation (Heineken). More efforts can be done with other organisations in the catchment. Findings Accepted.</p>
<p>2.9 Gather additional, detailed water-related data: Gather additional data that goes beyond the core requirements with respect to the site or the catchment, or generate core data in highly data deficient environments, to further refine the site's understanding of its water</p>	<p>2.9.1 Water-related data sets that go beyond core requirements – See Guidance for details</p>	<p>Advanced</p>	<p>NBC Asejire conducted a study titled 'Integrated Geoscientific Investigation for Groundwater Potential Studies at Nigerian Bottling Company Plant Asejire, Oyo state' conducted by Row-Dot-Limited, February 2016. Also conducted a study titled 'NBC Asejire Plant Trial Borehole' conducted by</p>	<p>Relevant snaps of the mail for collaboration meeting are also shared. Auditor has verified this on site also. Findings Accepted.</p>

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
stewardship context.			Zenith Technical on August 2018.	
2.10 Review a formal study on future water resources scenarios: Gather detailed information that explores water usability (quantity and quality) under future scenarios (including extreme events, population and urbanization changes, economic development, possible climate change impact scenarios, and anticipated infrastructure needs) within the catchment and comment on the scenarios' impacts upon the site's growth strategy.	2.10.1 Copy of a study that details projected future state conditions relative to current quantity and quality parameters and a comment on potential impacts upon the site's growth strategy	Advanced	Available document presented is the Attendance list of participants that attended the external document review meeting. Minute/report of meeting is not available.	The findings provided are not enough. The site must reference a study that contains a set of future scenarios and note implications for its use of water. Findings Accepted.
2.11 Conduct a detailed, indirect water use evaluation: Complete an advanced evaluation of indirect water use related to the site's primary products/services (including outsourced, downstream services) that identifies the location of water use within the site's supply chain and clarifies the site's ability to influence the management of its suppliers' water use.	2.11.1 Detailed description of the site's water-related supply chain with indirect water use amounts (for water quantity and quality) and the site's engagement efforts to date for each	Advanced	4.6.1 Available in NBC Asejire document titled " SWPP Asejire' page 7.2"	The document titled "Source Water Protection Plan Asejire Plant" is verified by the auditor on site. Kindly share the exact evidence. Findings Accepted.
2.12 Understand groundwater status or environmental flows and the site's potential contributions: Gather data on either groundwater status or environmental flows and identify the site's potential contribution. In all cases, coordination with relevant government agencies is required.	2.12.1 Conclusions about the site's potential contributions to groundwater recharge or environmental flows restoration	Advanced	Site claimed that they do not contribute to ground water recharge as evidence in the executive summary of the site document SWPP page 2.	Auditor has verified this on site. Findings Accepted.
2.13 Complete a voluntary Social Impact Assessment: Complete a voluntary Social Impact Assessment for the site, with a particular focus on water.	2.13.1 Social impact assessment report	Advanced	Document not available	Findings Accepted.

Step 3: PLAN – Develop a water stewardship plan

Step 3 focuses on how a site will improve its performance and the status of its catchment in terms of the AWS water stewardship outcomes. Step 3 needs to explicitly link the information gathered in Step 2 to the performance noted in Step 4 by describing who will be doing what and when. The monitoring methods in Step 5 should also reflect the plan.

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
<p>3.1 Develop a system that promotes and evaluates water-related legal compliance: Develop, or refer to, a system that promotes and periodically evaluates compliance with the legal and regulatory requirements identified in Criterion 2.3.</p>	<p>3.1.1 Documented description of system, including the processes to evaluate compliance and the names of those responsible and accountable for legal compliance</p>	<p>Core</p>	<p>NBC Asejire plant available document SWPP contains the system description, evaluation compliance process and names of responsible staff.</p>	<p>The document titled "Source Water Protection Plan Asejire Plant" is verified by the auditor on site. Also related snaps of legal compliance permits are shared.</p> <p>Findings Accepted.</p>
<p>3.2 Create a site water stewardship strategy and plan: Develop an internally available water stewardship strategy and plan for the site that addresses its shared water challenges, risks and opportunities identified in Step 2 and that contains the following components (see Guidance for plan template): x a strategy that considers the shared water challenges within the catchment, water risks for the site (noting in particular where these are connected to existing public-sector agency catchment goals) and the site's general response (from Criteria 2.6 and 2.7) x a plan that contains: o A list of targets (based upon Criterion 2.7) to be achieved, including how these will be measured and monitored. Note: where identified as a shared water challenge, these targets must be continually improving</p>	<p>3.2.1 Available water stewardship strategy 3.2.2 Available plan that meets all component requirements and addresses site risks, opportunities and stakeholder shared water challenges</p>	<p>Core</p>	<p>NBC Asejire plant water stewardship plan and strategy is embed in the following Coca Cola Operating Requirement (KORE) - i. Water Resources Sustainability, titled "ES-RQ-235". ii. Treated Water for Product Manufacturing BPRQ-180, Potable Water BPRQ-183, Waste Water Quality ESRQ-220, Part of the strategy is water Source Vulnerability Assessment (SVA) and Source Water Protection Plan (SWPP).</p>	<p>Auditor has verified all this on site.</p> <p>Findings accepted.</p>

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
<p>for the four water stewardship outcomes until such time as best practice is achieved;</p> <ul style="list-style-type: none"> o A list of annual actions that links to the list of targets; o A budget for the proposed actions with cost/benefit financial information (based, in part, upon financial data from 2.7); o An associated list indicating who will undertake the actions (i.e., who is responsible for carrying out the work) and who will ensure that the work is completed (i.e., who is accountable for achieving the target), including actions of other actors in the catchment; o A brief explanation that speaks to how the proposed actions will affect: (A) water-risk mitigation, (B) water stewardship outcomes and (C) shared water challenges. 				
<p>3.3 Demonstrate responsiveness and resilience to water-related risks into the site's incident response plan: Add to or modify the site's incident response plan to be both responsive and resilient to the water-related risks facing the site.</p>	<p>3.3.1 A description of the site's efforts to be responsive and resilient to water-related issues and/or risks in an appropriate plan</p>	<p>Core</p>	<p>Available documentary evidence; "Source Vulnerability Assessment (SVA)" document. i. Table 3: Risk Register page 4 i. Chapter 8: Risk Assessment page 20</p>	<p>Relevant snaps are shared and auditor has verified all this on site. Findings Accepted.</p>
<p>3.4 Notify the relevant (catchment) authority of the site's water stewardship plans: Contact the appropriate catchment authority/agency (if any) and inform them of the site's plans to contribute to the water stewardship objectives of their catchment plan as identified in Criterion 2.3.</p>	<p>3.4.1 Documented evidence of communicating the site's plan to the relevant catchment authority/agency</p>	<p>Core</p>	<p>Available letter communicated to Water Corporation of Oyo state dated June 14, 2019 stating the site plan for stakeholders engagement and water stewardship symposium. Letter was signed by the plant manager.</p>	<p>Relevant snaps are shared and auditor has verified all this on site. Findings Accepted.</p>

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
3.5 Gain stakeholder consensus on the site's water stewardship targets: Achieve a consensus amongst stakeholders around at least one of the site's targets to address shared water challenges.	3.5.1 A list that indicates which targets achieved consensus along with a list of stakeholders involved	Advanced	Available documentary evidence of stakeholders meeting report titled "Report of Water Stewardship Symposium Held at Asejire Water Supply Scheme on Thursday 20th June 2019'.	Relevant snaps are shared and auditor has verified all this on site. Findings Accepted.
3.6 Develop a formal plan for climate change adaptation: In coordination with relevant public sector agencies and infrastructure management entities, develop a plan with detailed and explicit water-related adaptation strategies to mitigate risks of projected climate change impacts, including for shared water infrastructure.	3.6.1 A set of plans that speak to the site's risk mitigation with respect to projected climate change impacts including for shared water infrastructure	Advanced	No formal plan for climate change available.	Findings Accepted.

Step 4: IMPLEMENT – Implement the site's stewardship plan and improve impacts

Step 4 is intended to ensure that the site is executing the plan outlined in Step 3, mitigating risks and driving actual improvements in performance.

AWS Core Criteria	Indicator	Level	Findings	Observations
<p>4.1 Comply with water-related legal and regulatory requirements and respect water rights: Meet all applicable legal and regulatory requirements related to water balance, water management and Important Water-Related Areas as well as water-related rights. As noted in Criteria 1.1 and 3.2, where, through its water use, the site is contributing to an inability to meet the human right to safe drinking water and sanitation, the site must also continually work with relevant public sector agencies until this basic human right to water and sanitation is fulfilled.</p>	<p>4.1.1 Documentation demonstrating compliance 4.1.2 (Catchments with stakeholders who have an unmet human right to safe drinking water and sanitation) Documentation of efforts to work with relevant public sector agencies to fulfil human right to safe drinking water and sanitation.</p>	<p>Core</p>	<p>4.1.1 The following documents are available; i. Water Abstraction Permit dated 4th July 2012 with the most recent water bill dated November 2019 from Water Corporation of Oyo state. ii. Approval for the Operation of Effluent treat Plant and Effluent Dischard' dated 11th October 2012 with monthly inspection visit with acknowledged letter from NBC Asejire dated 22nd November 2019. iii. Approval from NAFDAC covers all the company products across the 8 factories. 4.1.2 Not application</p>	<p>Relevant snaps are shared and auditor has verified all this on site. Share the evidence for recent inspection visit with acknowledged letter from NBC Asejire dated 22nd November 2019. Findings Accepted.</p>
<p>4.2 Maintain or improve site water balance: Meet the site's water balance targets. As noted in Criterion 3.2., where water scarcity is a shared water challenge, the site must also continually decrease its water withdrawals until best practices are met and work with relevant public sector agencies to address the imbalance and shared water challenge. Note: if a site wishes to increase its water use in a water scarce context, the site must cause no overall increase in water scarcity in the catchment and depletion of the site's water source(s) and encourage relevant</p>	<p>4.2.1 Measurement-based evidence showing that targets have been met 4.2.2 (Water scarce catchments only) Evidence of continual decrease or best practice 4.2.3 (Sites wishing to increase withdrawals in water scarce catchments only) Evidence of no net increase in water scarcity</p>	<p>Core</p>	<p>4.2.1 Available in the following documents; SWPP, Near loss report and Weekly water use ratio report. 4.2.2 N/A 4.2.3 N/A</p>	<p>The document titled "Source Water Protection Plan Asejire Plant" is verified by the auditor on site. Relevant snaps are shared and auditor has verified all this on site. Findings Accepted.</p>

AWS Core Criteria	Indicator	Level	Findings	Observations
public sector agencies to address the unlawful water use contributing to the imbalance in the catchment.				
4.3 Maintain or improve site water quality: Meet the site's water quality targets. As noted in Criterion 3.2., where water quality stress is a shared water challenge, the site must also continually improve its effluent for the parameters of concern until best practices are met and work with relevant public sector agencies to address the imbalance and shared water challenge. Note: if a site wishes to increase its water use in a water stressed context, the site must cause no overall increase in the degradation of water quality in the catchment and degradation of the site's water source(s) and encourage relevant public sector agencies to address the unlawful water use contributing to the degradation in the catchment.	<p>4.3.1 Measurement-based evidence showing that targets have been met</p> <p>4.3.2 (Water quality-stressed catchments only) Evidence of continual improvement or best practice</p> <p>4.3.3 (Sites wishing to increase effluent levels of water quality parameters of concern in water quality-stressed catchments only) Evidence of no net degradation in water quality in the catchment</p>	Core	<p>4.3.1 Available in NBC record "Continuous Treatment Record Carbon Filter Plant A and B" and Continuous Treatment Record IRP"</p> <p>4.3.2 N/A</p> <p>4.3.3 N/A</p>	<p>Relevant snaps are shared and auditor has verified all this on site.</p> <p>Findings Accepted.</p>
4.4 Maintain or improve the status of the site's Important Water-Related Areas: Meet the site's targets for Important Water-Related Areas at the site. As noted in Criterion 3.2., where Important Water-Related Area degradation is a shared water challenge, the site must also continually improve its Important Water-Related efforts until best	<p>4.4.1 Documented evidence showing that targets have been met</p> <p>4.4.2 (Degraded Important Water-Related Area catchments only) Evidence of continual improvement or best practice</p>	Core	<p>4.4.1 NBC Asejire document on weekly water use ratio and water use.</p> <p>4.4.2 N/A</p>	<p>Relevant snaps are shared and auditor has verified all this on site.</p> <p>Findings Accepted.</p>

AWS Core Criteria	Indicator	Level	Findings	Observations
practices are met, and the site must not knowingly cause any further degradation of such areas on site.				
4.5 Participate positively in catchment governance: Continually coordinate and cooperate with any relevant catchment management authorities' efforts. As noted in Criterion 3.2, where water governance is a shared water challenge, the site must also continually improve its efforts until best practices are met.	4.5.1 Documented evidence of the site's ongoing efforts to contribute to good catchment governance 4.5.2 (Weak water governance catchments only) Evidence of continual improvement or best practice	Core	4.5.1 Participated in the catchment water governance meeting to review water tariff in the catchment. Which include the following stakeholders; i. Oyo State Wter board, NBC, Nigerian Brewries, Sumal Foods, FAN Milk Agrited and Manufacturers Association of Nigeria (MAN). Letter from Water Corporation of Oyo State dated 30th May, 2018 and follow up review meeting with letter dated 19th June, 2018. 4.5.2 N/A	Relevant snaps are shared and auditor has verified all this on site. Findings Accepted.
4.6 Maintain or improve indirect water use within the catchment: Contact the site's primary product suppliers and water-related service providers located in the catchment and request that they take actions to help contribute to the desired water stewardship outcomes.	4.6.1 List of suppliers and service providers, along with the actions they have taken as a result of the site's engagement relating to indirect water use	Core	4.6.1 Available in NBC Asejire document titled " SWPP Asejire' page 7.2"	Relevant snaps are shared and auditor has verified all this on site. Findings Accepted.
4.7 Provide access to safe drinking water, adequate sanitation and hygiene awareness (WASH) for workers on-site: Ensure appropriate access to safe water, effective sanitation and protective hygiene for all workers in all premises under the site's	4.7.1 List of actions taken to provide workers access to safe water, effective sanitation and protective hygiene (WASH) on-site	Core	4.7.1 List of actions are available in the following documents titled; I. 'NBC Asejire weekly hand hand wash Basin Functionality Status'. II. 'Self AssessmentnTool for Evaluating Access to Water, Sanitation and Hygiene (WASH) at Work Place'.	Relevant snaps are shared and auditor has verified all this on site. Findings Accepted.

AWS Core Criteria	Indicator	Level	Findings	Observations
control.			III. 'Personal Hygeine Training Records' Record number ASFM-TR-02.05-03	
4.8 Notify the owners of shared water-related infrastructure of any concerns: Contact the owners of shared water-related infrastructure and actively highlight any concerns the site may have in light of its risks and shared water challenges.	4.8.1 List of individuals contacted and key messages relayed	Core	Asejire dam as a shared water infrastructure managed by Water Corporation of Oyo state. NBC notified the Water Corporation on the shared water challenge during one of the stakeholders meeting. Evidence available in the minute of meetings with the Water Corporation of Oyo state on June 20, 2019.	Relevant snaps are shared and auditor has verified all this on site. Findings Accepted.
AWS Advanced-Level Criteria	Indicator(s)	Core/ Points		
4.9 Achieve best practice results on site water balance: Achieve best practice results with respect to the site's water balance targets as informed by stakeholder consensus or industry-specific benchmarks.	4.9.1 Quantified improvement in water balance from site-set baseline date 4.9.2 Evidence showing that actions meet best practice expectations	Advanced		The criteria is applicable to the plant but it is not met. Findings Accepted.
4.10 Achieve best practice results on site water quality: Achieve best practice results with respect to the site's water quality targets as informed by stakeholder consensus or industry-specific benchmarks.	4.10.1 Quantified improvement in water balance from site-set baseline date 4.10.2 Evidence showing that actions meet best practice expectations	Advanced		The criteria is applicable to the plant but it is not met. Findings Accepted.
4.11 Achieve best practice results on Important Water-Related Areas through restoration: Achieve best practice results with respect to the site's Important Water-Related targets and complete restoration of non-	4.11.1 Evidence of completed restoration of non-functioning or severely degraded Important Water-Related Areas 4.11.2 Evidence showing that actions meet best practice expectations	Advanced		The criteria is applicable to the plant but it is not met. Findings Accepted.

AWS Core Criteria	Indicator	Level	Findings	Observations
functioning or severely degraded Important Water-Related Areas as informed by stakeholder consensus or credible expert opinion.				
4.12 Achieve best practice results and strengthen capacity in water governance: Achieve best practice results with respect to the site's water governance targets, including transparently strengthening governance capacity, as informed by stakeholder consensus and public-sector leadership recognition.	4.12.1 List of efforts to positively engage and strengthen water governance capacity from a site-set baseline date 4.12.2 Evidence showing that actions meet best practice expectations	Advanced		The criteria is applicable to the plant but it is not met. Findings Accepted.
4.13 Advance regionally specific industrial water-related benchmarking: Contribute to or participate in the development of regionally specific industrial water-related benchmarking and spreading best practices.	4.13.1 List of efforts to contribute to regionally specific benchmarking and spread best practices	Advanced		The criteria is applicable to the plant but it is not met. Findings Accepted.
4.14 Re-allocate saved water for social or environmental needs: Ensure that any water saved by the site's actions under 4.2 is voluntarily re-allocated for social or environmental purposes that are recognized needs in the catchment.	4.14.1 Total volume of water officially re-allocated for social and environmental needs (in m3 or Mm3) 4.14.2 Documentation of legal contracts for the reallocation of water to social or environmental needs	Advanced		The criteria is applicable to the plant but it is not met. Findings Accepted.

AWS Core Criteria	Indicator	Level	Findings	Observations
<p>4.15 Engage in collective action to address shared water challenges: Work with other interested entities in the catchment to advance or improve water stewardship outcomes. For the additional recognition (6 points), quantifiably improve the shared water challenge and be recognized by stakeholders as having played a material role in the improvement.</p>	<p>4.15.1 List of collective action efforts, including a description of the role played by the site 4.15.2 Quantified improvement in outcome(s) or shared water challenge(s) from site-set baseline date 4.15.3 (For extra points only) Stakeholder-based evidence recognizing that the site played a material role in the improvement</p>	<p>Advanced</p>		<p>The criteria is applicable to the plant but it is not met.</p> <p>Findings Accepted.</p>
<p>4.16 Drive reduced indirect water use throughout the site's supply chain and outsourced water-related service providers: Contact the site's primary product suppliers and water-related service providers located outside the site's catchment and request they take actions to help contribute to the desired water stewardship outcomes in their catchments. For the additional recognition (2 points), quantify the improvements that the site's intervention generated and be recognized by the site's supplier as having played a material role in prompting that improvement.</p>	<p>4.16.1 List of suppliers with details on engagement efforts 4.16.2 Quantified improvement by the supplier as a result of this engagement 4.16.3 (For extra points only) Supplier-based evidence recognizing that the site played a material role in prompting the change</p>	<p>Advanced</p>	<p>4.6.1 Available in NBC Asejire document titled " SWPP Asejire' page 7.2"</p>	<p>The document titled "Source Water Protection Plan Asejire Plant" is verified by the auditor on site.</p> <p>Findings Accepted.</p>

AWS Core Criteria	Indicator	Level	Findings	Observations
<p>4.17 Complete implementation of water-related initiatives: Complete implementation of one or more of the initiatives committed to in 1.4.</p>	<p>4.17.1 Appropriate documentation or evidence of completion of initiative</p>	<p>Advanced</p>	<p>NBC Asejire CSR activities: Provision of solar powered borehole with two 500 gallon tank capacity, two manual operated boreholes located at Osengere community, St. Peters primary school and Community High Secondary school respectively. April 2019.</p>	<p>companies who has signed the CEO water mandate, which is a commitment platform for business leaders and learners to advance water stewardship. Endorsing companies commit to action across six key elements and report annually on progress. https://s3-us-west-2.amazonaws.com/ungcproduction/attachment/s/cop_2019/472675/original/CocaCola_HBC_2018_IAR_15Mar2019.pdf?1552923295</p> <p>Findings Accepted.</p>
<p>4.18 Provide access to safe drinking water, adequate sanitation and hygiene awareness offsite: In coordination with relevant public authorities, directly assist in the provision of appropriate access to safe drinking water, adequate sanitation and hygiene awareness for individuals off-site within the catchment.</p>	<p>4.18.1 List of actions taken to provide catchment stakeholders with access to off-site access to safe drinking water, adequate sanitation and hygiene awareness.</p>	<p>Advanced</p>	<p>NBC Asejire CSR activities: Provision of solar powered borehole with two 500 gallon tank capacity, two manual operated boreholes located at Osengere community, St. Peters primary school and Community High Secondary school respectively. April 2019.</p>	<p>Relevant snaps are shared and auditor has verified all this on site.</p> <p>Findings Accepted.</p>

Step 5: EVALUATE – Evaluate the site’s performance

Step 5 is intended to review performance against the actions taken in Step 4, learn from the outcomes – both intended and unintended – and inform the next iteration of the site’s water stewardship plan. The expectation is that such an evaluation takes place at least annually, with more frequent evaluation encouraged as feasible.

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
<p>5.1 Evaluate the site's water stewardship performance, risks and benefits in the catchment context: Periodically review the site's performance in light of its actions and targets from its water stewardship plan to evaluate: x General performance in terms of the water stewardship outcomes (considering context and water risks), positive contributions to the catchment, and water-related costs and benefits to the site.</p>	<p>5.1.1 Post-implementation data and narrative discussion of performance and context (including water risk) 5.1.2 Total amount of water-related costs, cost savings and value creation for the site based upon the actions outlined in 3.2 (drawn from data gathered in 2.4.6) 5.1.3 Updated data for indicator 2.4.7 on catchment shared value creation based upon the actions outlined in 3.2</p>	<p>Core</p>	<p>5.1.1, 5.1.2 and 5.1.3 Available in NBC Asejire plant "SWPP Asejire Plant" Dated 11/01/2016. However since it is live document that its being updated monthly, there is need to show current status of update or last date of update.</p>	<p>The reference document "Source Water Protection Plan" for NBC Asejire plant, is verified by auditor on site. Findings accepted.</p>
<p>5.2 Evaluate water-related emergency incidents and extreme events: Evaluate impacts of water-related emergency incidents (including extreme events), if any occurred, and determine effectiveness of corrective and preventive measures. Factor lessons learned into updated plan.</p>	<p>5.2.1 Documented evidence (e.g., annual review and proposed measures)</p>	<p>Core</p>	<p>There was an incidence of a product of the site 'Eva Premium Table Water' which changed colour from colourless to light green and the presence of some particles in the lot/ two batches (220519.14.27AC4 and 230519.15.15 AC4). NBC Asejire voluntary notified the government regulatory agency (NAFDAC) on the incidence and withdrew the two batches from public circulation. Corrective Actions: Available in a document titled ' Consolidated Action Paln - Asejire Water Treatment' with 29 actions which in 26 have been closed, 1 is open and 3 are in progress. However, NBC Asejire letter of voluntary reporting to</p>	<p>With respect to the issue of "Eva Premium Table Water" Auditor has verified all this on site and relevant snaps are shared. As per the shared snaps, there is one letter of complaint addressed to plant manager NBC Asejire plant, of 11th January 2018, from Ministry of Environment and Water Resources, Oyo state of Nigeria, Department of Pollution Monitoring and Quality Control, for forestalling the pollution of neighboring water bodies from wastewater discharge from ETP.</p>

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
			government regulatory agency (NAFDAC) and the feedback from NAFDAC was not available during the audit because it was considered as a very sensitive issue which can only be handled with NBC National office Lagos	The site has done corrective actions against the compant and got confirmation regarding this from MoEWR, IBADAN. Ref. WDC300/76/197 Findings Accepted.
5.3 Consult stakeholders on water-related performance: Request input from the site's stakeholders on the site's water stewardship performance and factor the feedback/lessons learned into the updated plan.	5.3.1 Commentary by the identified stakeholders	Core	Available documentary evidence of stakeholders meeting report titled "Report of Water Stewardship Symposium Held at Asejire Water Supply Scheme on Thursday 20th June 2019'.	Relevant snaps are shared and auditor has verified all this on site. Findings Accepted.
5.4 Update water stewardship and incident response plans: Incorporate the information obtained into the next iteration of the site's water stewardship plan. Note: updating does not apply for initial round of Standard implementation.	5.4.1 Modifications to water stewardship and incident response plans incorporating relevant information	Core	The site is just having intial round of implementation of AWS	Primary Certification. Findings Accepted.
5.5 Conduct an executive or governance body-level review of water stewardship efforts: Review the site's water stewardship performance, impacts and risks with either the organization's executive team (CEO/CFO or equivalent) or board (or equivalent).	5.5.1 Agenda and minutes of executive team or governance body meeting noting water stewardship discussion	Advanced	Agenda not accessible to Asijire site staff but sited email communication of the meeting (Operating Committee (OPCO) of Coca Cola Hellenic Group)	As agenda is not accessible and evidence of the same is also not shared. A copy of agenda from the meeting where water stewardship was discussed along with the list of those in attendance. Auditor has verified all this on site. Share the reference link. Findings Accepted.

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
5.6 Conduct a formal stakeholder evaluation: Undertake a formal review with the site's stakeholders on the site's efforts to address shared water challenges. This includes reviewing the site's contributions to maintaining good governance, adequate flows for all needs, good water quality status and functioning Important Water-Related Areas, and soliciting suggestions for continuous improvement.	5.6.1 Documentation of formal stakeholder evaluation with recommendations for updated Criterion 3.5	Advanced	The site is just having intial round of implementation of AWS	Primary Certification. Findings Accepted.

Step 6: COMMUNICATE & DISCLOSE – Communicate about water stewardship and disclose the site's stewardship efforts

Step 6 is intended to encourage transparency and accountability through communication of performance relative to commitments, policies and plans. Disclosure allows others to make informed decisions on a site's operations and tailor their involvement to suit.

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
6.1 Disclose water-related internal governance: Publicly disclose the general governance structure of the site's management, including the names of those accountable for legal compliance with water-related laws and regulations.	6.1.1 Disclosed and publicly available summary of governance at the site, including those accountable for compliance with water-related laws and regulations	Core	Summary of governance, icluding those accountable for compliance with water-related laws and regulations is publicly displaced at the NBC Asejire main gate.	Relevant snaps are shared and auditor has verified all this on site. Findings Accepted.
6.2 Disclose annual site water stewardship performance: Disclose the relevant information about the site's annual water stewardship performance, including results against the site's targets.	6.2.1 Disclosed summary of site's water stewardship results	Core	Available in NBC ASEJIRE document titled " Environmental Audit and Environmental Plan 2016 for NBC Asejire Plant, Oyo State". Page 106. Shared with Oyo state Ministry of Enviroment and Wtater Resources (OYOMEWR), Ibadan and National Environmental Standards and Regulations Enforcement Agency (NESRA).	Relevant snaps are shared and auditor has verified all this on site. Findings Accepted.

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
<p>6.3 Disclose efforts to address shared water challenges: Publicly disclose the site's shared water challenges and report on the site's efforts to help address these challenges, including all efforts to engage stakeholders and coordinate and support public-sector agencies.</p>	<p>6.3.1 Disclosed and publicly available description of shared challenges and summary of actions taken to engage stakeholders (including public-sector agencies)</p>	<p>Core</p>	<p>Available press report of NBC where her shared water challenges was disclosed publicly. Report internet link (https://www.brandcrunch.com.ng/2017/07/26/nbcs-water-pledge-sustainability/)</p>	<p>Relevant snaps are shared and auditor has verified all this on site.</p> <p>Findings Accepted.</p>
<p>6.4 Drive transparency in water-related compliance: Make any site water-related compliance violations available upon request as well as any corrective actions the site has taken to prevent future occurrences. Note: any site-based violation that can pose an immediate material threat to human or ecosystem health from use of or exposure to site-related water must be reported immediately to relevant public agencies.</p>	<p>6.4.1 Available list of water-related compliance violations with corresponding corrective actions</p>	<p>Core</p>	<p>There was an incidence of a product of the site 'Eva Premium Table Water' which changed colour from colourless to light green and the presence of some particles in the lot/ two batches (220519.14.27AC4 and 230519.15.15 AC4). NBC Asejire voluntary notified the government regulatory agency (NAFDAC) on the incidence and withdrew the two batches from public circulation. Corrective Actions: Available in a document titled ' Consolidated Action Plan - Asejire Water Treatment' with 29 actions which in 26 have been closed, 1 is open and 3 are in progress. However, NBC Asejire letter of voluntary reporting to government regulatory agency (NAFDAC) and the feedback from NAFDAC was not available during the audit because it was considered as a very sensitive issue which can only be handled with NBC National office Lagos</p>	<p>With respect to the issue of "Eva Premium Table Water" Auditor has verified all this on site and relevant snaps are shared.</p> <p>As per the shared snaps, there is one letter of complaint addressed to plant manager NBC Asejire plant, of 11th January 2018, from Ministry of Environment and Water Resources, Oyo state of Nigeria, Department of Pollution Monitoring and Quality Control, for forestalling the</p>

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
				<p>pollution of neighboring water bodies from wastewater discharge from ETP.</p> <p>The site has done corrective actions against the compant and got confirmation regarding this from MoEWR, IBADAN. Ref. WDC300/76/197</p> <p>Findings Accepted.</p>
<p>6.5 Increase awareness of water issues within the site: Strive to raise the understanding of the importance of water issues at the site through active communications.</p>	<p>6.5.1 Record of awareness efforts (dates and communication) and, if possible, level of awareness</p>	<p>Core</p>	<p>Available staff training records and plan on water. Document titled 'Quality 2019 Training Plan Asejire'. Document number: AS-FM-TR-02.07</p>	<p>Relevant snaps are shared and auditor has verified all this on site.</p> <p>Findings Accepted.</p>
<p>6.6 Disclose water risks to owners (in alignment with recognized disclosure frameworks): Disclose the site's material water risks to owners with additional recognition if it is done according to a recognized global disclosure framework.</p>	<p>6.6.1 Written evidence of site-based material water risk information conveyed to owners 6.6.2 (For extra points only) Disclosure to owners in a format that is consistent with the requirements of a recognized disclosure framework</p>	<p>Advanced</p>	<p>NBC Asejire disclosed the Site water related risk to the own through Source Water Vulnerability Assessment (SVA) conducted every five years and submitted to the Coca Cola Hellenic board. Evidence available on front page of SWPP.</p>	<p>The reference document "Source Water Protection Plan" for NBC Asejire plant, is verified by auditor on site.</p> <p>Findings accepted.</p>

AWS Core Criteria	Indicator(s)	Level	Findings	Observations
<p>6.7 Implement a programme for water education: Implement a water education programme within the catchment to raise awareness and understanding of water stewardship issues and practices.</p>	<p>6.7.1 Description of water-related education programme</p>	<p>Advanced</p>	<p>There was an awareness and sensitization training on water use, conservation and minimization for people in Osengere and Olukeye community. Training attendance list is the only available evidence for this water programme.</p>	<p>Relevant snaps regarding training attendance is shared and auditor has verified this on site. The description of the programme or minutes of meeting of the programme are needed for further evidences.</p> <p>Findings Accepted.</p>
<p>6.8 Discuss site-level water stewardship in the organization's annual report: Explicitly mention the site's efforts to implement AWS in its organization's annual report, including referencing the benefits to the site and stakeholders.</p>	<p>6.8.1 Page number of annual report containing sitebased AWS reference</p>	<p>Advanced</p>	<p>Site efforts in implementing AWS is not mentioned in the NBC global annual report.</p>	<p>Auditor has verified all this on site. The annual report should explicitly refer AWS including explicitly mentioning one or more sites undertaking the AWS Standard</p> <p>Findings Accepted.</p>

8. Points Summary & Level of Certification

The Standard has three achievement levels: Core, Gold and Platinum. The Core AWS level is achieved by conforming with all of the core criteria and up to 40 points, while AWS Gold requires 40-79 points and AWS Platinum requires 80+ points. There are a total of 155 points available throughout the entire AWS Standard.

Level	Conformity with Core Criteria	Cumulative Advanced-Level Criteria Points
AWS Core Required	Required	0-39
AWS Gold Required	Required	40-79
AWS Platinum Required	Required	80+

As per the audit findings and certifiers review, the site has scored followings points for AWS certifications,

Step	Conformity with Core Criteria	Max. Advanced Criteria Points	Advanced Criteria Points Scored by Site
Step 1: Commit	Confirmed	21	07
Step 2: Gather & Understand	Confirmed	30	12
Step 3: Plan	Confirmed	13	07
Step 4: Implement	Confirmed	70	13
Step 5: Evaluate	Confirmed	09	01
Step 6: Communicate & Disclose	Confirmed	12	06
		155	46

Thus, as per the points scored, the site has met AWS Gold level certification requirements thus site is recommended for AWS Gold level certification.

9. Conclusion and Recommendation

Coca-Cola (CC) being a brand name in market has evolved their vision and policies by adopting sustainability practices. One of the sustainability approaches that they are working in is Water Stewardship and hence applied for AWS certification for their Asejire Plant.

Based on the audit findings and review, following recommendations are made for the site for further improvement –

- The site has scope for improvement for complying and achieving more with respect to the advanced criteria of the AWS Standard.

- CC has involved many stakeholders in their conduct for water stewardship - employees, consultant, government agencies, suppliers, farmers etc.
- Approach towards indirect water understanding and evaluation need to be improved
- Site need to improve incident response plan with respect to water
- Site need to list down all water related challenges and approach taken by CC to reduce these challenges and disclose the same to all stakeholders in desired format
- The site has scope for improvement for compliance with the advance level indicators

10. Schedule for Surveillance Audit

As this initial audit is conducted on 20-12-2019, as per the requirement of standard (v2.0) surveillance audit has to be scheduled within 12 months. Hence, next surveillance audit to be conducted by 20-12-2020. This date even includes any request for re-assessment for certification level upgradation.