ALLIANCE FOR WATER STEWARDSHIP (AWS)
AUDIT REPORT
Based on AWS Standard Version 2.0

[Manufacturing unitary enterprise - Coca-Cola HBC Eurasia (CCH)]
[Russian Federation, , Novosibirsk region, Novosibirsk city area, MO Michurinskogo selsoveta, Proezd Avtomobilistov, 8]

Report Date:	[15/09/2020]
Report Version:	[02.0]
Prepared by:	[LLC “Control Union Certifications”]
Project No.:	[875078]
AWS Reference No.:	[AWS-000274]

Contents

1. Executive Summary

The site is one of the bottling companies, which is a member of Coca-Cola Hellenic group. The main process of company is manufacturing, storage and dispatch of carbonated and non-carbonated soft beverages in plastic and glass bottles and cans; post-mix syrups in plastic package. The company has 456 number of full time employees.

The water supply of the bottling plant originates from municipal water company called MUP «Gorvodokanal»
Municipal sewage system with completed wastewater treatment process that includes the following stages: Mechanical treatment (cleaning from large debris, sand settling), Biological treatment with aeration and secondary settling, Chemical treatment and Disinfection. Ob river Basin Water Management of Federal Agency of Water Resources;

The enterprise is certified for compliance with: ISO 9001, ISO 14001, FSSC 22000, ISO 45001

During the audit following persons were present and interviewed:

Evgeniy Okunev	Plant Manager
Olga Ikkes	QSE Manager
Sergey Pesotskiy	Production Manager
Alexey Kostin	Maintenance & Spare parts manager
Yana Krayushkina	FP specialist
Natalya Grakova	QS&E Specialist
Pavel Kuznetsov	Warehouse Manager
Maksim Galochkin	Utilities SPV
Maksim Zemlyanoy	Project engineer
Mihail Danilenko	RM Supervisor

The certification audit announcement was published 30 days before the audit, as required by AWS standard, in the following media; Control Union website, AWS website and local media. The audit was conducted for 1 days i.e. on 15-09-2020.

The audit team was comprised of following auditors;

Name of Auditor	Role in Audit
Kamzolov Aleksandr	Lead Auditor (Sole Auditor)
Evgenia Belenkaya	Trainee Auditor (Remotely)
Abhijeet Ghone	Technical Subject Area Expert (Remotely)
Rocio Prieto	Technical Subject Area Expert (Remotely)

Observations: The Company is making great efforts to involve stakeholders. They need to continue with these activities and engage stakeholders regarding AWS outcomes.

The audit findings showed that the site meet up with all the criteria for core level of AWS certification thus AWS Core level certification is recommended for the site.

2. General Information

2.1. Client Details

Company Name	Coca-Cola HBC Eurasia (CCH)
Business address:	603032, Russian Federation, Nizhny Novgorod, Baumana str., 66
Auditing Site Address:	630057, Russian Federation, Novosibirsk region, Novosibirsk city area, MO Michurinskogo selsoveta, Proezd Avtomobilistov, 8
Activities / Processes:	Manufacturing, storage and dispatch of carbonated and non-carbonated soft beverages in plastic and glass bottles and cans; post-mix syrups in plastic package
Principle contact person:	Natalya.Grakova, Anna Lata
Office telephone:	+7 (383) 230-83-80
Fax:	Natalya.Grakova@cchellenic.com
E-mail:	https://ru.coca-colahellenic.com/
Web site:	Coca-Cola HBC Eurasia (CCH)

2.2. Certification Details

Audit Date(s):	15/09/2020
Auditor Team:	Kamzolov Aleksandr (Lead Auditor), Evgenia Belenkaya (Trainee Auditor), Abhijeet Ghone (Area Expert), Rocio Prieto (Area Expert)
Certification Date:	09/12/2020
Level of Certification:	Core
Proposed date for next audit:	15/10/2021
Audit Report completed by:	Kamzolov Aleksandr

Project No.:	875078
AWS Reference No.:	AWS-000274

3. Scope of Assessment

Audit Standard	Alliance for Water Stewardship V2.0		
Initial Audit	Yes		
Surveillance Audit	No		
Type of Certification	Single Site	Multi-site	Group
	X		
Location of Audit	630057, Russian Federation, Novosibirsk region, Novosibirsk city area, MO Michurinskogo selsoveta, Proezd Avtomobilistov, 8		
Scope of Certification	Bottling of Soft drinks		
Assessment on-site activities includes	Document review, management interview, employee interview, onsite implementation review		

4. Description of the Catchment

MUP «Gorvodokanal»
Municipal sewage system with completed wastewater treatment process that includes the following stages: Mechanical treatment (cleaning from large debris, sand settling), Biological treatment with aeration and secondary settling, Chemical treatment and Disinfection. Ob river Basin Water Management of Federal Agency of Water Resources; Biological treatment with aeration and secondary settling, Chemical treatment and Disinfection; sludge dehydration & recycling
Coca Cola receives water from the city water utility, in the project to build its well. Water control is carried out by state bodies and the plant.

5. Summary on Stakeholder and shared Water Challenges

Rospotrebnadzor; Rosprirodnadzor; Novosibirsk River Basin Water Management of Federal Agency of Water Resources; Rosprirodnadzor; ECOLOGY, nscv NSO, OOO "CATHODE", "Novosibirskavtodor", "DUDEBRO", "Green Universities", "EPM-NOVEZ»
There are no water problems at the enterprise and in the region next to the enterprise. Coca Cola plant provides assistance to the state to solve this problem and more 3 voluntary programmes to improve the ecosystem in the region.

6. Summary of the Assessment

6.1. Major Non-conformities

Sr. No.	AWS Criteria	Description of NC	Response from Client (explanation & documents)	Closure
		Nil		

6.2. Minor Non-conformities

Sr. No.	AWS Criteria	Description of NC	Response from Client (explanation & documents)	Closure
		Nil		

6.3. Observations

Sr. No.	AWS Criteria	Description of NC	Response from Client (explanation & documents)	Closure
		Nil		

7. Audit Checklist

Step 1: Gather and Understand: Gather data to understand shared water challenges and water risks, impacts and opportunities
To ensure that the site gathers data on its water use and its catchment context and that the site uses these data to understand its shared water challenges as well as its contributions (both positive and negative) to these challenges, water risks, impacts, and opportunities. This information also informs the development of the site's water stewardship strategy and plan (Step 2) and guides the actions (Step 3) necessary to fulfil the site's commitments.

AWS Criteria	Indicators	Findings
1.1 Gather information to define the site's physical scope for water stewardship purposes, including: its operational boundaries; the water sources from which the site draws; the locations to which the site returns its discharges; and the catchment(s) that the site affect(s) and upon which it is reliant.	1.1.1 The physical scope of the site shall be mapped, considering the regulatory landscape and zone of stakeholder interests, including: • Site boundaries; • Water-related infrastructure, including piping network, owned or managed by the site or its parent organization; • Any water sources providing water to the site that are owned or managed by the site or its parent organization; • Water service provider (if applicable) and its ultimate water source; • Discharge points and waste water service provider (if applicable) and ultimate receiving water body or bodies; • Catchment(s) that the site affect(s) and is reliant upon for water.	Water supply-includes the entire network at the enterprise (from entrance to exit (2 parts), including pumping stations, treatment facilities), The company receives water from MUP «Gorvodokanal» Municipal sewage system with completed wastewater treatment process that includes the following stages: Mechanical treatment (cleaning from large debris, sand settling), Biological treatment with aeration and secondary settling, Chemical treatment and Disinfection. A contract has been signed with the water utility for the collection of all sewage water and its further treatment.
1.2 Understand relevant stakeholders, their water related challenges, and the site's ability to influence beyond its boundaries	1.2.1 Stakeholders and their water-related challenges shall be identified. The process used for stakeholder identification shall be identified. This process shall: • Inclusively cover all relevant stakeholder groups including vulnerable, women, minority, and Indigenous people; • Consider the physical scope identified, including stakeholders, representative of the site's ultimate water source and ultimate receiving water	The following was presented: - list of stakeholders incl. list of suppliers. The assessment was carried out in 2020, and a review is planned annually and in case of changes in contracts and / or suppliers, including an assessment of the impact on local residents. An additional assessment was made in the SVA report. Communication with interested parties takes place in a formal form, some of the interested parties do not get in touch and / or do not reciprocate.At the moment, according to the production assessment, and according to the

AWS Criteria	Indicators	Findings
	• body or bodies; • Provide evidence of stakeholder consultation on water-related interests and challenges; • Note that the ability and/or willingness of stakeholders to participate may vary across the relevant stakeholder groups; • Identify the degree of stakeholder engagement based on their level of interest and influence	assessment of https://www.wri.org/ - there are no problems with water. (the quantity of water is sufficient, the quality of water supplied to consumers meets the standards of drinking water) It was not possible to get a response from the state services, but the plant will continue to work to improve feedback from government agencies.
	1.2.2 Current and potential degree of influence between site and stakeholder shall be identified, within the catchment and considering the site's ultimate water source and ultimate receiving water body for wastewater.	list of stakeholders incl. list of suppliers. The assessment was carried out in 2020, and a review is planned annually and in case of changes in contracts and / or suppliers, including an assessment of the impact on local residents
1.3 Gather water-related data for the site, including: water balance; water quality, Important Water-Related Areas, water governance, WASH; water-related costs, revenues, and shared value creation	1.3.1 Existing water-related incident response plans shall be identified	Was submitted: Communication procedure in case of information and emergency situations. Team composition and phone numbers of the incident and crisis management team. FS-P-1.2.1-emergency action plan, including plans to eliminate processes, including those from the "external environment»
	1.3.2 Site water balance, including inflows, losses, storage, and outflows shall be identified and mapped	Was presented the map of the enterprise with the applied: water supply, sewage, well, fire water supply, water treatment plant. Was presented: contract for wastewater (sewage). Water efficiency data 2020, 2019. WUR (water usage ratio), true cost of water
	1.3.3 Site water balance, inflows, losses, storage, and outflows, including indication of annual variance in water usage rates, shall be quantified. Where there is a water-related challenge that would be a threat to good water balance for people or environment, an indication of annual high and low variances shall be quantified	There are several types of reports: - WUR (water usage ratio), true cost of water, reports on payments for the use and consumption of water and wastewater. The counters on the water
	1.3.4 Water quality of the site's water source(s), provided waters, effluent and receiving water bodies shall be quantified. Where there is a water-related challenge that would be a threat to good water quality status for people or environment, an indication of annual, and where appropriate,	The water supplied to the company from MUP «Gorvodokanal» Municipal sewage system with completed wastewater treatment process that includes the following stages: Mechanical treatment (cleaning from large debris, sand settling), Biological

AWS Criteria	Indicators	Findings
	seasonal, high and low variances shall be quantified.	treatment with aeration and secondary settling, Chemical treatment and Disinfection. After installation of water treatment-the water meets the requirements for drinking water (SanPiN 1074). The company constantly monitors the quality of incoming water and sewage. Submitted documents: production management scheme, water quality control program, Control log of water treatment equipment operation parameters, control log of reverse osmosis plant operation parameters, protocols of third-party laboratories, including the SGS Fresenius laboratory (Germany).
	1.3.5 Potential sources of pollution shall be identified and if applicable, mapped, including chemicals used or stored on site	The following were presented: a scheme of hazardous areas and substances, a list of substances used, prohibiting and / or warning signs are placed at the entrance to the zones where there are hazardous objects or substances, a safety data sheet is drawn up for each substance, SVA and SWPP report on potential sources of pollution.
	1.3.6 On-site Important Water-Related Areas shall be identified and mapped, including a description of their status including Indigenous cultural values	On the territory of the enterprise and near the territories of the enterprise, according to the map. All water features are plotted on the map.
	1.3.7 Annual water-related costs, revenues, and a description or quantification of the social, cultural, environmental, or economic water-related value generated by the site shall be identified and used to inform the evaluation of the plan in 4.1.2.	There are several reports showing expenses and planned activities: - WUR - True cost of water - Capex/OPEX - Coca-Cola corporate report - Environmental passport-bills for payment of water and sewage - Table control meters for water and wastewater
	1.3.8 Levels of access and adequacy of WASH at the site shall be identified	All water at the enterprise is of potable quality (laboratory protocols are available), water coolers are additionally installed, access is free.Social audit report 2018

AWS Criteria	Indicators	Findings
1.4 Gather data on the site's indirect water use, including: its primary inputs; the water use embedded in the production of those primary inputs the status of the waters at the origin of the inputs (where they can be identified); and water used in out-sourced water-related services	1.4.1 The embedded water use of primary inputs, including quantity, quality and level of water risk within the site's catchment, shall be identified	Was presented the map of the enterprise with the applied: water supply, sewage, well, fire water supply, water treatment plant. Was presented: contract for wastewater (sewage). Water efficiency data 2020, 2019. list of stakeholders incl. list of suppliers. The assessment was carried out in 2020, and a review is planned annually and in case of changes in contracts and / or suppliers, including an assessment of the impact on local residents.SVA-SWPP report
	1.4.2 The embedded water use of outsourced services shall be identified, and where those services originate within the site's catchment, quantified.	Was presented: contract for wastewater (sewage). Water efficiency data 2020, 2019. list of stakeholders incl. list of suppliers,list of water users in the enterprise
	1.4.3 Advanced Indicator The embedded water use of primary inputs in catchment(s) of origin shall be quantified.	the company has installed meters at important points (water inlet and outlet, lines), and also uses a portable flow meter-data is recorded and analyzed
1.5 Gather water-related data for the catchment, including: water governance, water balance, water quality, Important Water-Related Areas, infrastructure, and WASH	1.5.1 Water governance initiatives shall be identified, including catchment plan(s), water-related public policies, major publicly-led initiatives under way, and relevant goals to help inform site of possible opportunities for water stewardship collective action.	Policy of the Novosibirsk region, social policy of the Novosibirsk region until 2030. The company's policy 2025
	1.5.2 Applicable water-related legal and regulatory requirements shall be identified, including legally-defined and/or stakeholder-verified customary water rights.	On the territory of Russia, the basic law on the provision of water for consumers of drinking quality (water must comply with SanPiN 1074). The company has its own legal Department, and also signed a contract with the company" Ecours", which provides services for tracking changes in legislation.
	1.5.3 The catchment water-balance, and where applicable, scarcity, shall be quantified, including indication of annual, and where appropriate, seasonal, variance	The water supplied to the company from MUP «Gorvodokanal» Municipal sewage system with completed wastewater treatment process that includes the following stages: Mechanical treatment (cleaning from large debris, sand settling), Biological treatment with aeration and secondary settling, Chemical treatment and Disinfection. Limits are

AWS Criteria	Indicators	Findings
		not exceeded. There are no problems with water shortage in the region.
	1.5.4 Water quality, including physical, chemical, and biological status, of the catchment shall be identified, and where possible, quantified. Where there is a water-related challenge that would be a threat to good water quality status for people or environment, an indication of annual, and where appropriate, seasonal, high and low variances shall be identified	On the territory of Russia, the basic law on the provision of water for consumers of drinking quality (water must comply with SanPiN 1074). Water supplied to residents in the region corresponds to drinking quality. Water from wells corresponds to drinking quality. There are no problems with water quality in the region.
	1.5.5 Important Water-Related Areas shall be identified, and where appropriate, mapped, and their status assessed including any threats to people or the natural environment, using scientific information and through stakeholder engagement	Important territories are plotted on the map. there are no specially protected areas and / or areas where there may be problems with water within the boundaries of the enterprise
	1.5.6 Existing and planned water-related infrastructure shall be identified, including condition and potential exposure to extreme events.	There are several reports showing expenses and planned activities: - WUR - True cost of water - Capex / OPEX - Coca-Cola corporate report - Environmental passport-bills for payment of water and sewage - Table control meters for water and wastewater And: -order of communication in case of information and emergency situations -team Composition and list of incident and crisis management team phones
	1.5.7 The adequacy of available WASH services within the catchment shall be identified	On the territory of Novosibirsk, residents are provided with drinking water, there are no problems with access to drinking water (there are no mentions in the media, there are no reports from independent associations). In the event of an emergency, the company provides water to the public at the request of public and / or private services.
	1.5.8 Advanced Indicator	The company requests information from government sources, regularly orders research

AWS Criteria	Indicators	Findings
	Efforts by the site to support and undertake catchment level water-related data collection shall be identified	(SVA, SWPP reports). But not all Stakeholders respond to requests (due to Cavid-19, the activity of companies was reduced, there was no possibility of communication and joint events (from 02.2020)) The plant cooperates with several companies located in the district, some of them received response letters, communication at round tables to discuss water problems (2019) (4 point)
	1.5.9 Advanced Indicator The adequacy of WASH provision within the catchments of origin of primary inputs shall be identified.	The company requests information from government sources, regularly orders research (SVA, SWPP reports). But not all Stakeholders respond to requests (due to Cavid-19, the activity of companies was reduced, there was no possibility of communication and joint events (from 02.2020))The plant cooperates with several companies located in the district, some of them received response letters, communication at round tables to discuss water problems (2019)
1.6 Understand current and future shared water challenges in the catchment, by linking the water challenges identified by stakeholders with the site's water challenges	1.6.1 Shared water challenges shall be identified and prioritized from the information gathered	Globally, there are no water-related problems on the territory of Novosibirsk (according to information from the media, information from stakeholders.), but Coca-Cola will continue to study these aspects (initial audit, due to Covid-19, all public and private companies were closed from 02.2020).
	1.6.2 Initiatives to address shared water challenges shall be identified.	Globally, there are no water-related problems on the territory of Novosibirsk (according to information from the media, information from stakeholders.), but Coca-Cola will continue to study these aspects (initial audit, due to Covid-19, all public and private companies were closed from 02.2020).
	1.6.3 Advanced Indicator Future water issues shall be identified, including anticipated impacts and trends	no research has been conducted, and there is no information in the media about the research being conducted

AWS Criteria	Indicators	Findings
	1.6.4 Advanced Indicator Potential water-related social impacts from the site shall be identified, resulting in a social impact assessment with a particular focus on water.	no research has been conducted, and there is no information in the media about the research being conducted
1.7 Understand the site's water risks and opportunities: Assess and prioritize the water risks and opportunities affecting the site based upon the status of the site, existing risk management plans and/or the issues and future risk trends identified in 1.6.	1.7.1 Water risks faced by the site shall be identified, and prioritized, including likelihood and severity of impact within a given timeframe, potential costs and business impact	Was presented: SVA report, SWPP (solutions), Capex / OPEX
	1.7.2 Water-related opportunities shall be identified, including how the site may participate, assessment and prioritization of potential savings, and business opportunities	Was presented: SVA report, SWPP (solutions), Capex / OPEX, WUR report
1.8 Understand best practice towards achieving AWS outcomes: Determining sectoral best practices having a local/catchment, regional, or national relevance	1.8.1 Relevant catchment best practice for water governance shall be identified.	The company applies the system of «Top 10 Water Savers» best practices of Coca-Cola plants, improvement plans are included in the KPI. Plans for implementation up to 25 years have been developed. Compiled Capex\OPEX expenses.
	1.8.2 Relevant sector and/or catchment best practice for water balance (either through water efficiency or less total water use) shall be identified	The company applies the system of «Top 10 Water Savers» best practices of Coca-Cola plants, improvement plans are included in the KPI. Plans for implementation up to 25 years have been developed. Compiled Capex\OPEX expenses. In addition, the system of reducing water consumption per liter of produced beverage, the Near Loss system (control by employees of emergency situations at the site, including leaks and water-related moments), internal audits are Regularly carried out, once every 5 years external audits (SVA, SWPP).
	1.8.3 Relevant sector and/or catchment best practice for water quality shall be identified, including rationale for data source.	The company applies the system of «Top 10 Water Savers» best practices of Coca-Cola plants, improvement plans are included in the KPI. Plans for implementation up to 25 years have been developed. Compiled Capex\OPEX expenses. In addition, the system of reducing water consumption per liter of produced beverage, the Near Loss system (control by employees of emergency situations at the site,

AWS Criteria	Indicators	Findings
		including leaks and water-related moments), internal audits are Regularly carried out, once every 5 years external audits (SVA, SWPP).
	1.8.4 Relevant catchment best practice for site maintenance of Important Water-Related Areas shall be identified	The company conducts several events related to best practices in the catchment area: "Green teams" (cleaning of coastlines, water intake lines) together with state companies, Training events for students and school students.
	1.8.5 Relevant sector and/or catchment best practice for site provision of equitable and adequate WASH services shall be identified	On the premises, access to water is free, on the territory of Novosibirsk region the problems with access to drinking water no (according to media reports and water utility), in case of emergency the company produces water free of charge.

Step 2: Commit and Plan: Commit to be a responsible water steward and develop a water stewardship plan

To ensure there is sufficient leadership support, site authority, and allocated resources for the site to implement the AWS Standard. It focuses on how a site will act on shared water challenges and improve its performance and the status of its catchment in terms of the AWS water stewardship outcomes. Step 2 links the information gathered in Step 1 to the actions implemented in Step 3, by describing who will do what and when**.**

AWS Criteria	Indicators	Findings
2.1 Commit to water stewardship by having the senior-most manager in charge of water at the site, or if necessary, a suitable individual within the organization head office, sign and publicly disclose a commitment to water stewardship, the implementation of the AWS Standard and achieving its five outcomes, and the allocation of required resources	2.1.1 A signed and publicly disclosed site statement OR organizational document shall be identified. The statement or document shall include the following commitments: • That the site will implement and disclose progress on water stewardship program(s) to achieve improvements in AWS water stewardship outcomes; • That the site implementation will be aligned to and in support of existing catchment sustainability plans • That the site's stakeholders will be engaged in an open and transparent way • That the site will allocate resources to implement the Standard.	Coca-Cola has documented several types of water use policies: - water conservation policy (Dimitris Lois-Chief Executive Officer), - water conservation policy (signed by the plant Director), - Declaration of commitment on rational use of water resources (signed by the plant Director)
	2.1.2 Advanced Indicator A statement that explicitly covers all requirements set out in Indicator 2.1.1 and is signed by the	Coca-Cola has documented several types of water use policies: - water conservation policy (Dimitris Lois-Chief Executive Officer), - water

AWS Criteria	Indicators	Findings
	organization's senior-most executive or governance body and publicly disclosed shall be identified.	conservation policy (signed by the plant Director), - Declaration of commitment on rational use of water resources (signed by the plant Director) (1 point)
2.2 Develop and document a process to achieve and maintain legal and regulatory compliance	2.2.1 The system to maintain compliance obligations for water and wastewater management shall be identified, including: • Identification of responsible persons/positions within facility organizational structure • Process for submissions to regulatory agencies	The company has created a water management team and submitted an assignment order. Information with contact details is available at the factory entrance, and the call center knows who to forward the caller to, SVA report, SWPP (solutions),
2.3 Create a water stewardship strategy and plan including addressing risks (to and from the site), shared catchment water challenges, and opportunities.	2.3.1 A water stewardship strategy shall be identified that defines the overarching mission, vision, and goals of the organization towards good water stewardship in line with this AWS Standard	The following was presented: - list of stakeholders incl. list of suppliers. The assessment was carried out in 2020, and a review is planned annually and in case of changes in contracts and / or suppliers, including an assessment of the impact on local residents. An additional assessment was made in the SVA report. Commitments in the framework of sustainable development until 2025.
	2.3.2 A water stewardship plan shall be identified, including for each target: • How it will be measured and monitored • Actions to achieve and maintain (or exceed) it • Planned timeframes to achieve it • Financial budgets allocated for actions • Positions of persons responsible for actions and achieving targets • Where available, note the link between each target and the achievement of best practice to help address shared water challenges and the AWS outcomes.	Was presented: SVA report, SWPP (solutions), WUR report (planned and target indicators (including water), Capex / OPEX projects with progress indication
	2.3.3 Advanced Indicator The site's partnership/water stewardship activities with other sites within the same catchment (which may or may not be under the same organizational ownership) shall be identified and described	The following was presented: - list of stakeholders incl. list of suppliers. The assessment was carried out in 2020, and a review is planned annually and in case of changes in contracts and / or suppliers, including an assessment of the impact on local residents. The extent of the relationship is determined, and emails are sent to inform about the relationship. (due to Cavid-19, the activity of

AWS Criteria	Indicators	Findings
		companies was reduced, there was no possibility of communication and joint events (from 02.2020)) But the company will return to cooperation as soon as the restrictive measures are lifted. (4 point)
	2.3.4 Advanced Indicator The site's partnership/water stewardship activities with other sites in another catchment(s) (either under same corporate structure or with another corporate site) shall be identified	The following was presented: - list of stakeholders incl. list of suppliers. The assessment was carried out in 2020, and a review is planned annually and in case of changes in contracts and / or suppliers, including an assessment of the impact on local residents.(due to Cavid-19, the activity of companies was reduced, there was no possibility of communication and joint events (from 02.2020)) But The plant cooperates with several companies located in the district, some of them received response letters, communication at round tables to discuss water problems (2019)
	2.3.5 Advanced Indicator Stakeholder consensus shall be sought on the site's water stewardship plan. Consensus should be achieved on at least one target. A list of targets that have consensus and in which stakeholders are involved shall be identified	The following was presented: - list of stakeholders incl. list of suppliers. The assessment was carried out in 2020, and a review is planned annually and in case of changes in contracts and / or suppliers, including an assessment of the impact on local residents.(due to Cavid-19, the activity of companies was reduced, there was no possibility of communication and joint events (from 02.2020)) But the plant cooperates with several companies located in the district, some of them received response letters, communication at round tables to discuss water problems (2019)
2.4 Demonstrate the site's responsiveness and resilience to respond to water risks	2.4.1 A plan to mitigate or adapt to identified water risks developed in co-ordination with relevant public-sector and infrastructure agencies shall be identified	The company has created a plan to reduce water consumption, implemented and will continue to implement water saving projects (CAPEX \ OPEX projects), but due to Covid-19, public and private companies are closed to communication-there is no way to get feedback.
	2.4.2 Advanced Indicator A plan to mitigate or adapt to water risks associated with climate change projections developed in co-	The company has created a plan to reduce water consumption, implemented and will continue to implement water saving projects (CAPEX \ OPEX

AWS Criteria	Indicators	Findings
	ordination with relevant public-sector and infrastructure agencies shall be identified	projects), but due to Covid-19, public and private companies are closed to communication-there is no way to get feedback. Additional research on water has not been conducted, but according to media reports and information from the water Utility, there are no problems with water and it is not expected in the future.

Step 3: Implement: Implement the site's stewardship plan and improve impacts
To ensure that the site is implementing the plan outlined in Step 2, mitigating risks and driving actual improvements in performance.

AWS Criteria	Indicators	Findings
3.1 Implement plan to participate positively in catchment governance	3.1.1 Evidence that the site has supported good catchment governance shall be identified	annual report on the company's activities and SVA report, SWPP reports, WUR report, True cost of water
	3.1.2 Measures identified to respect the water rights of others including Indigenous peoples, that are not part of 3.2 shall be implemented	On the territory of Russia, the basic law on the provision of water for consumers of drinking quality (water must comply with SanPiN 1074). Water supplied to residents in the region corresponds to drinking quality.
	3.1.3 Advanced Indicator Evidence of improvements in water governance capacity from a site-selected baseline date shall be identified	Was presented: SVA report, SWPP (solutions) and positive implementation of water-saving systems. (2 points)
	3.1.4 Advanced Indicator Evidence from a representative range of stakeholders showing consensus that the site is seen as positively contributing to the good water governance of the catchment shall be identified.	
3.2 Implement system to comply with water-related legal and regulatory requirements and respect water rights.	3.2.1 A process to verify full legal and regulatory compliance shall be implemented	Was presented: SVA report, SWPP (solutions), it is also checked in the framework of certification for the following standards: ISO 9001, ISO 14001, FSSC 22000, ISO 45001
	3.2.2 Where water rights are part of legal and regulatory requirements, measures identified to respect the water rights of others including Indigenous peoples, shall be implemented.	On the territory of Russia, the basic law on the provision of water for consumers of drinking quality (water must comply with SanPiN 1074). Water supplied to residents in the region corresponds to drinking quality

AWS Criteria	Indicators	Findings
3.3 Implement plan to achieve site water balance targets.	3.3.1 Status of progress towards meeting water balance targets set in the water stewardship plan shall be identified	Was presented: SVA report, SWPP (solutions), WUR, True cost of water
	3.3.2 Where water scarcity is a shared water challenge, annual targets to improve the site's water use efficiency, or if practical and applicable, reduce volumetric total use shall be implemented	At the moment, according to the production assessment, and according to the assessment of https://www.wri.org/ - there are no problems with water. (the quantity of water is sufficient, the quality of water supplied to consumers meets the standards of drinking water) It was not possible to get a response from the state services, but the plant will continue to work to improve feedback from government agencies.
	3.3.3 Legally-binding documentation, if applicable, for the re-allocation of water to social, cultural or environmental needs shall be identified.	According to Russian law, it is not possible to redistribute water, but the company helps with water (free of charge) in the event of an emergency.
	3.3.4 Advanced Indicator The total volume of water voluntarily re-allocated (from site water savings) for social, cultural and environmental needs shall be quantified.	According to Russian law, it is not possible to redistribute water, but the company helps with water (free of charge) in the event of an emergency.
3.4 Implement plan to achieve site water quality targets	3.4.1 Status of progress towards meeting water quality targets set in the water stewardship plan shall be identified.	There are no problems with water quality in the Novosibirsk region, but due to the increased requirements for the product, the plant has installed additional purification systems (this water is treated for all consumers at the plant). Waste water is treated at its own treatment plants. Laboratory tests for water quality are carried out regularly.
	3.4.2 Where water quality is a shared water challenge, continual improvement to achieve best practice for the site's effluent shall be identified and where applicable, quantified.	There are no problems with water quality in the Novosibirsk region, but due to the increased requirements for the product, the plant has installed additional purification systems (this water is treated for all consumers at the plant). Waste water is treated at its own treatment plants. Laboratory tests for water quality are carried out regularly.
3.5 Implement plan to maintain or improve the site's and/or catchment's Important Water-Related Areas.	3.5.1 Practices set in the water stewardship plan to maintain and/or enhance the site's Important Water-Related Areas shall be implemented.	According to the maps presented at the plant, according to information from the media, there are no problematic water areas. But the plant

AWS Criteria	Indicators	Findings
		conducts regular programs to maintain and reduce the human impact on the ecosystem. "Green teams" (cleaning the banks) - annually, "water day" (training course for visitors and employees of the plant) - annually, interactive lessons (lessons for children and teachers on the Internet) - constantly (links provided).
	3.5.2 Advanced Indicator Evidence of completed restoration of non-functioning or severely degraded Important Water-Related Areas including where appropriate cultural values from a site-selected baseline date shall be identified. Restored areas may be outside of the site, but within the catchment	Due to the ban on any public activity from 02.2020 due to CAVID-19, there is no evidence, but the plant has planned events for 2021.
	3.5.3 Advanced Indicator Evidence from a representative range of stakeholders showing consensus that the site is seen as positively contributing to the healthy status of Important Water-Related Areas in the catchment shall be identified.	Due to the ban on any public activity from 02.2020 due to CAVID-19, there is no evidence, but the plant has planned events for 2021.
3.6 Implement plan to provide access to safe drinking water, effective sanitation, and protective hygiene (WASH) for all workers at all premises under the site's control.	3.6.1 Evidence of the site's provision of adequate access to safe drinking water, effective sanitation, and protective hygiene (WASH) for all workers onsite shall be identified and where applicable, quantified	According to AWS policy, as well as implemented projects in the enterprise and in the catchment area - access to drinking water is carried out unhindered (visually checked at the time of inspection of the enterprise).
	3.6.2 Evidence that the site is not impinging on the human right to safe water and sanitation of communities through their operations, and that traditional access rights for Indigenous and local communities are being respected, and that remedial actions are in place where this is not the case, and that these are effective	According to AWS policy, as well as implemented projects in the enterprise and in the catchment area - access to drinking water is carried out unhindered (visually checked at the time of inspection of the enterprise). It is also checked during the social audit (every 3 years) last 2017, next 2021 (not possible in 2020 due to COVID-19
	3.6.3 Advanced Indicator A list of actions taken to support the provision to stakeholders in the catchment of access to safe drinking water, adequate sanitation and hygiene awareness shall be identified.	the company and suppliers have signed a requirement on social aspects that are mandatory for implementation (suppliers, outsourcing), and stelholders are communicating in correspondence, but due to the COVID-19 quarantine, the work is not completed.

AWS Criteria	Indicators	Findings
	3.6.4 Advanced Indicator In catchments where WASH has been identified as a shared water challenge, evidence of efforts taken with relevant public-sector agencies to share information and to advocate for change to address access to safe drinking water and sanitation shall be identified.	there are no problems with access to drinking water on the territory, but due to quarantine measures (Covid-19), there is no evidence base
3.7 Implement plan to maintain or improve indirect water use within the catchment.	3.7.1 Evidence that indirect water use targets set in the water stewardship plan, as applicable, have been met shall be quantified	There are several reports showing expenses and planned activities: - WUR - True cost of water - Capex/OPEX - Coca-Cola corporate report - Environmental passport-bills for payment of water and sewage - Table control meters for water and wastewater
	3.7.2 Evidence of engagement with suppliers and service providers, as well as, when applicable, actions they have taken in the catchment as a result of the site's engagement related to indirect water use, shall be identified.	the following items were presented: a list of steleholders, newsletters for mailing, letters with notification of receiving information, and feedback was received from some of the steleholders. (but due to COVID-19, all activities from 02.2020 were banned. The plant will continue working immediately after the restrictions are lifted)
	3.7.3 Advanced Indicator Actions taken to address water related risks and challenges related to indirect water use outside the catchment shall be documented and evaluated	due to restrictions on CAVID-19, actions were banned from 02.20 (quarantine - closed public and private companies - only remote work)
3.8 Implement plan to engage with and notify the owners of any shared water-related infrastructure of any concerns the site may have.	3.8.1 Evidence of engagement, and the key messages relayed with confirmation of receipt, shall be identified	the following were submitted: informational letters for mailing, letters with notification of receipt of information, and feedback was received from some of the stekholders.
3.9 Implement actions to achieve best practice towards AWS outcomes: continually improve towards achieving sectoral best practice having a local/catchment, regional, or national relevance	3.9.1 Actions towards achieving best practice, related to water governance, as applicable, shall be implemented.	According to the assessment reports SVA report, SWPP (solutions) goals are fulfilled on time
	3.9.2 Actions towards achieving best practice, related to targets in terms of water balance shall be implemented.	According to the assessment reports SVA report, SWPP (solutions)

AWS Criteria	Indicators	Findings
	3.9.3 Actions towards achieving best practice, related to targets in terms of water quality shall be implemented.	There are no problems with water quality, but the plant has installed its own treatment stations and conducts tours for stakeholders. (in 2020, the plant did not conduct due to the COVID-19 quarantine)
	3.9.4 Actions towards achieving best practice, related to targets in terms of the site's maintenance of Important Water-Related Areas shall be implemented.	Plans for interaction with stakeholders are presented, partially completed, but due to the quarantine (from 02.20), most of the activities could not be completed
	3.9.5 Actions towards achieving best practice related to targets in terms of WASH shall be implemented.	According to AWS policy, as well as implemented projects in the enterprise and in the catchment area - access to drinking water is carried out unhindered (visually checked at the time of inspection of the enterprise).
	3.9.6 Advanced Indicator Achievement of identified best practice related to targets in terms of good water governance shall be quantified	Plans for interaction with stakeholders are presented, partially completed, but due to the quarantine (from 02.20), most of the activities could not be completed
	3.9.7 Advanced Indicator Achievement of identified best practice related to targets in terms of sustainable water balance shall be quantified.	The company has implemented and will continue to implement water saving practices (until 2025). Indicators are monitored - data is presented in reports:- WUR - True cost of water - Capex / OPEX - Coca-Cola corporate report (8 point)
	3.9.8 Advanced Indicator Achievement of identified best practices related to targets in terms of water quality shall be quantified.	there are no problems with water quality on the territory, but the company implements cleaning systems.
	3.9.9 Advanced Indicator Achievements of identified best practices related to targets in terms of the site's maintenance of Important Water-Related Areas have been implemented.	
	3.9.10 Advanced Indicator Achievement of identified best practice related to targets in terms of WASH shall be quantified.	
	3.9.11 Advanced Indicator	the plant regularly conducts excursions for everyone, interactive and training courses for

AWS Criteria	Indicators	Findings
	A list of efforts to spread best practices shall be identified.	schoolchildren and students have been created, open tables participate (because of the quarantine, only interactive courses via the Internet are available) (3 point)
	3.9.12 Advanced Indicator A list of collective action efforts, including the organizations involved, positions of responsible persons of other entities involved, and a description of the role played by the site shall be identified	
	3.9.13 Advanced Indicator Evidence of the quantified improvement that has resulted from the collective action relative to a site-selected baseline date shall be identified and evidence from an appropriate range of stakeholders linked to the collective action (including both those implementing the action and those affected by the action) that the site is materially and positively contributing to the achievement of the collective action shall be identified	

Step 4: Evaluate: Evaluate the site's performance

To review a site's performance against the actions taken in Step 3, learn from the results – both intended and unintended – and inform the next iteration of the site's water stewardship plan. This evaluation shall occur at least annually, but sites should consider more frequent evaluations.

AWS Criteria	Indicators	Findings
4.1 Evaluate the site's performance in light of its actions and targets from its water stewardship plan and demonstrate its contribution to achieving water stewardship outcomes	4.1.1 Performance against targets in the site's water stewardship plan and the contribution to achieving water stewardship outcomes shall be evaluated	According to the assessment reports SVA report, SWPP (solutions), Capex / OPEX, Coca-Cola corporate report
	4.1.2 Value creation resulting from the water stewardship plan shall be evaluated.	Was Presented: True cost of water, contracts and accounts for water and water-related projects
	4.1.3 The shared value benefits in the catchment shall be identified and where applicable, quantified.	Was presented: True cost of water, SVA report, SWPP (solutions), Capex / OPEX, Coca-Cola corporate report
	4.1.4 Advanced Indicator	The plant cooperates with several companies located in the district, some of them received

AWS Criteria	Indicators	Findings
	A governance or executive-level review, including discussion of shared water challenges, water risks, and opportunities, and any water-related cost savings or benefits realized, and any relevant incidents shall be identified	response letters, communication at round tables to discuss water problems (2019) (3 point)
4.2 Evaluate the impacts of water-related emergency incidents (including extreme events), if any occurred, and determine the effectiveness of corrective and preventative measures	4.2.1 A written annual review and (where appropriate) root-cause analysis of the year's emergency incident(s) shall be prepared and the site's response to the incident(s) shall be evaluated and proposed preventative and corrective actions and mitigations against future incidents shall be identified	Activities are reflected in the company's annual report (on the company's website)
4.3 Evaluate stakeholders' consultation feedback regarding the site's water stewardship performance, including the effectiveness of the site's engagement process.	4.3.1 Consultation efforts with stakeholders on the site's water stewardship performance shall be identified	Was presented: SVA report, SWPP (solutions) (reports evaluate the company's contribution (ordered by a third party)). The plant submitted letters to stakeholders, some of the stakeholders received feedback, and some did not respond. Due to the quarantine imposed in Russia from 02.20, there is no possibility of a face-to-face meeting, but the plant will continue working as soon as the restrictions are lifted.
	4.3.2 Advanced Indicator The site's efforts to address shared water challenges shall be evaluated by stakeholders. This shall include stakeholder reviewing of the site's efforts across all five outcome areas, and their suggestions for continual improvement.	The plant submitted letters to stakeholders, some of the stakeholders received feedback, and some did not respond. Due to the quarantine imposed in Russia from 02.20, there is no possibility of a face-to-face meeting, but the plant will continue working as soon as the restrictions are lifted. Letters from stakeholders for 2015-2019 are presented.
4.4 Evaluate and update the site's water stewardship plan, incorporating the information obtained from the evaluation process in the context of continual improvement.	4.4.1 The site's water stewardship plan shall be modified and adapted to incorporate any relevant information and lessons learned from the evaluations in this step and these changes shall be identified.	initial audit - the plan will be changed for the next audit.

Step 5: Communicate & Disclose: Communicate about water stewardship and disclose the site's stewardship efforts

To encourage transparency and accountability through communication of performance relative to commitments, policies, and plans. The disclosure of relevant information allows others to make informed opinions on a site's operations and tailor their involvement to suit.

AWS Criteria	Indicators	Findings
5.1 Disclose water-related internal governance of the site's management, including the positions of those accountable for legal compliance with water-related local laws and regulations.	5.1.1 The site's water-related internal governance, including positions of those accountable for compliance with water-related laws and regulations shall be disclosed.	AWS policy with a responsible person is presented and is available for review on the company's website. The plant has a water conservation team. Orders and job descriptions are presented.
5.2 Communicate the water stewardship plan with relevant stakeholders.	5.2.1 The water stewardship plan, including how the water stewardship plan contributes to AWS Standard outcomes, shall be communicated to relevant stakeholders.	The strategic development plan (objectives), as well as the assessment of implementation is reflected in the annual report (available in the public domain on the company's website)
5.3 Disclose annual site water stewardship summary, including the relevant information about the site's annual water stewardship performance and results against the site's targets.	5.3.1 A summary of the site's water stewardship performance, including quantified performance against targets, shall be disclosed annually at a minimum.	The strategic development plan (objectives), as well as the assessment of implementation is reflected in the annual report (available in the public domain on the company's website), but the report shows data for all plants in Russia
	5.3.2 Advanced Indicator The site's efforts to implement the AWS Standard shall be disclosed in the organization's annual report	The strategic development plan (objectives), as well as the assessment of implementation is reflected in the annual report (available in the public domain on the company's website), but the report shows data for all plants in Russia (1 point)
	5.3.3 Advanced Indicator Benefits to the site and stakeholders from implementation of the AWS Standard shall be quantified in the organization's annual report.	The strategic development plan (objectives), as well as the assessment of implementation is reflected in the annual report (available in the public domain on the company's website), but the report shows data for all plants in Russia (1 point)
5.4 Disclose efforts to collectively address shared water challenges, including: associated efforts to address the challenges; engagement with stakeholders; and co-ordination with public-sector agencies.	5.4.1 The site's shared water-related challenges and efforts made to address these challenges shall be disclosed	the company sends out information letters to stakeholders, and General water problems are reflected in the reports of state bodies.
	5.4.2 Efforts made by the site to engage stakeholders and coordinate and support public-sector agencies shall be identified.	the company is engaged in informing stakeholders. many meetings were planned for 2020, but due to the quarantine, the meetings were canceled (the company will continue after the restrictions are lifted)
5.5 Communicate transparency in water-related compliance: make any	5.5.1 Any site water-related compliance violations and associated corrections shall be disclosed.	the plant was inspected by state authorities and no violations were detected. in case of detection

AWS Criteria	Indicators	Findings
site water-related compliance violations available upon request as well as any corrective actions the site has taken to prevent future occurrences.		of violations of the plant informs stallholders through information on the notice Board
	5.5.2 Necessary corrective actions taken by the site to prevent future occurrences shall be disclosed if applicable.	violations during inspections from government authorities, in the course of the audit - not detected
	5.5.3 Any site water-related violation that may pose significant risk and threat to human or ecosystem health shall be immediately communicated to relevant public agencies and disclosed	violations during inspections from government authorities, in the course of the audit - not detected

8. Points Summary & Level of Certification

The Standard has three achievement levels: Core, Gold and Platinum. The Core AWS level is achieved by conforming with all of the core criteria and up to 40 points, while AWS Gold requires 40-79 points and AWS Platinum requires 80+ points. There are a total of 155 points available throughout the entire AWS Standard.

Level	Conformity with Core Criteria	Cumulative Advanced-Level Criteria Points
AWS Core Required	Required	0-39
AWS Gold Required	Required	40-79
AWS Platinum Required	Required	80+

As per the audit findings, the site has scored followings points for AWS certifications,

Step	Conformity with Core Criteria	Max. Advanced Criteria Points	Advanced Criteria Points Scored by Site
Step 1: Gather & Understand	Confirmed	25	4
Step 2: Commit & Plan	Confirmed	22	5
Step 3: Implement	Confirmed	97	13
Step 4: Evaluate	Confirmed	09	3
Step 5: Communicate & Disclose	Confirmed	02	2
		155	27

Thus, as per the points scored, the site has met AWS Core level certification requirements thus site is recommended for AWS Core level certification.

9. Conclusion and Recommendation

Coca-Cola (CC) being a brand name in market has evolved their vision and policies by adopting sustainability practices. One of the sustainability approaches that they are working in is Water Stewardship and hence applied for AWS certification for their Novosibirsk Plant. This summary is for the certification review done on the audit findings under AWS standard.

- CC has involved in good water stewardship practices and with their actions and work in society, they are trying to achieve five outcomes of the standard
- With more efforts, actions and good practices for water stewardship, site can definitely achieve gold & platinum level certification for AWS
- CC has involved many stakeholders in their conduct for water stewardship - employees, consultant, government agencies, suppliers, NGOs, educational institute

- All the work done by CC is compiled in two report namely - SVA and SWPP.
- It was difficult to realize the linkage that water stewardship related plans and strategies are developed & adopted based on water related data collection - implementation based on set plans & strategies - achievements - evaluation - disclosure
- Approach towards indirect water understanding and evaluation need to be improved
- Site need to improve incident response plan with respect to water
- Site need to list down all water related challenges and approach taken by CC to reduce these challenges and disclose the same to all stakeholders in desired format

10. Schedule for Surveillance Audit

As this initial audit is conducted on 15-09-2020, as per the requirement of standard (v2.0) surveillance audit has to be scheduled within 13 months. Hence, next surveillance audit to be conducted by 15-10-2021. This date even includes any request for re-assessment for certification level upgradation.