

**Client Name:** La Vie Limited Liability Company (La Vie LLC) - Hung Yen  
 Factory (Plant B)

**AWS Registration Number:** AWS-000112

**Client Representative:** Nguyen Nhu Thien, Plant Manager

**Audit Team:** Rae Mindock/Lead Auditor  
 Roan Thai Trung/Local Auditor

**Audit Dates:** March 29, 2021

**Stakeholder Notification:** SCS, AWS, and La Vie Websites

**Site Location:** Ngoc Quynh Village, Nhu Quynh Town, Van Lam District  
 Vietnam

**Report Date:** April 11, 2021

**Standard:** AWS International Water Stewardship Standard - Version 2.0, March 22, 2019

Audit Type	<input type="checkbox"/> Gap Analysis <input type="checkbox"/> Pre-assessment	<input checked="" type="checkbox"/> Initial Certification	<input type="checkbox"/> Surveillance <input type="checkbox"/> Recertification
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Level of Certification	<input checked="" type="checkbox"/> Core	<input type="checkbox"/> Gold	<input type="checkbox"/> Platinum
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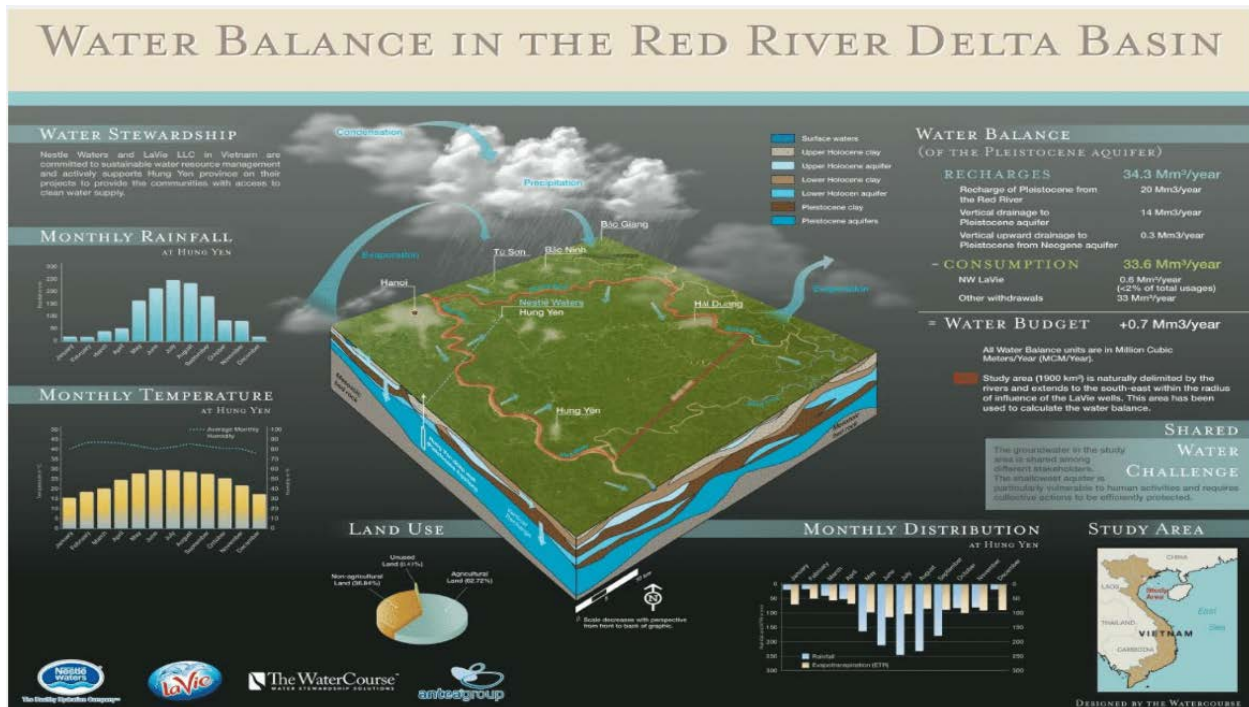
## Site Information

### Site Description

The La Vie Hung Yen Factory is a water bottling facility, producing bottled water products under the brand name of La Vie. The facility is located in an urban industrial setting southeast of Hanoi. The factory produces 0.5 L bottle from four bottling lines. The geographic scope of the site is limited to the property boundary of the facility. Water for the bottling facility comes from several sources, including two on-site wells (Well LKNQ2 and Well G2, GKNQ) to produce bottled purified water and natural mineral water (spring). The City of Hung Yen provides water for sanitary services. Wastewater is treated on-site with some water used for irrigation, treated domestic wastewater is discharged to the City, and treated facility wastewater is discharged to the channel adjacent to the Factory.

### Catchment Description

The Hung Yen Factory is located in northern Vietnam, in the Red River Delta Basin. The catchment (1,900 kilometers) is bounded by the Red River and the Duong River, with the southern boundary based on the theoretical influence of the Factory wells. It includes the primary water sources (City of Hung Yen, and on-site wells), bottling operations (Hung Yen Factory), and the discharge recipient (on-site treatment and City discharge). The primary source of water for the catchment is precipitation within the Red River Delta, with the ultimate discharge of treated wastewater to the Gulf of Tonkin.



### Shared Water Challenges

Shared water challenges are catchment water-related issues shared by the site and stakeholders. Stakeholder engagement was documented, and auditor interviews confirmed the topics of engagement. Primary water-related risks to the site include water quantity (availability and scarcity) and quality (impact of surface water). A prioritized list of shared water challenges addressing the outcomes was provided.

### Audit Attendees

Participant/Title	Opening Meeting	Document Review	Site Inspection	Closing Meeting
Factory Manager	X	X	X	X
South Corporate Affairs	X	X	X	X
North Corporate Affairs	X	X	X	X
Water Treatment Manager	X	X	X	X
<b>Supporting Documentation:</b> The La Vie Limited Liability Company (La Vie LLC) - Plant B provided documentation using Dropbox file share to support conformity with the AWS Standard v2.0 including: Stakeholder Information, Community Relations Program (CRP) Summary, Factory AWS Presentation, Water Map, Catchment Water Balance, and Water Stewardship Plan. The Water Stewardship Plan is a working document which is continually updated with information regarding how shared water challenges are being addressed including progress, performance evaluation and stakeholder feedback. Other supporting documentation were also provided as evidence.				

### Summary of Findings

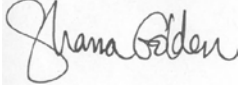
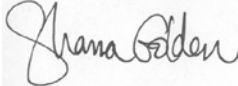
Step	Major	Minor	Observations	Advanced Criteria Total Points
1. Gather & Understand	0	2	7	
2. Commit & Plan	0	0	1	
3. Implement	0	1	0	
4. Evaluate	0	0	0	
5. Communicate & Disclose	0	0	0	
Total	0	3	8	n/a

### Audit Non-Conformities and Observations

Non-Conformity (Major or Minor) or Observation	Citation	Criteria/ Indicator	Due Date	Detail and Corrective Action
Observation	OBS 2021.01	1.3.1	Not Required	The specific and detail flooding response plan for Plant B Hung Yen Factory was not provided. Specifics on actions for flooding should be provided.
				<b>Root Cause Analysis and Corrective Action</b> Not Required
Observation	OBS 2021.02	1.4.1	Not Required	<b>OBS 2021.01 was issued:</b> The list did not identify clearly what primary inputs are within site's catchment and what is their level of water risk, if any.
				<b>Root Cause Analysis and Corrective Action</b> Not Required
Observation	OBS 2021.03	1.4.2	Not Required	<b>OBS 2021.01 was issued.</b> Confirm if the canteen contributes as an outsourced service.
				<b>Root Cause Analysis and Corrective Action</b> Not Required
Observation	OBS 2021.04	1.5.2	Not Required	A list of the applicable water-related legal and regulatory requirements, although some legal requirements are not included in the list. For example, the decision issued by Hung Yen Province's people committee about issuing the list of restricted zones and registration zones for under-land water mining in Hung Yen province.
				<b>Root Cause Analysis and Corrective Action</b> Not Required
Minor	Minor 2021.01	1.5.4	30 Days	The water quality data provided is based on City water which does not represent catchment water quality. It is understood limited data may be available for the catchment. Review of additional sources of data should be conducted to determine if catchment water quality is available.
				<b>Root Cause Analysis and Corrective Action</b> Publicly available water quality information is difficult to locate. La Vie will provide additional resources to attempt to locate water quality data.
Observation	OBS 2021.05	1.5.5	Not Required	Maps showing IWRA areas was provided. The locations of the IRWAs with the catchment should be mapped
				<b>Root Cause Analysis and Corrective Action</b> Not Required

Observation	OBS 2021.06	1.5.6	Not Required	The Factory map indicates a channel and bridge located adjacent to the Factory that was not identified as water-related infrastructures. Other water-related infrastructure in the catchment should be identified with condition provided.
				<b>Root Cause Analysis and Corrective Action</b> Not Required
Observation	OBS 2021.07	1.8.3	Not Required	Sector or catchment level practices for water quality should be reviewed.
				<b>Root Cause Analysis and Corrective Action</b> Not Required
Minor	Minor 2021.02	1.8.4	30 days	No information was provided.
				<b>Root Cause Analysis and Corrective Action</b> The indicator was not addressed. Information on best practice for the catchment maintenance of IWRAs will be provided.
Observation	OBS 2021.08	2.2.1	Not required	The evaluation of a compliance evaluation was reviewed, with several inputs not completed. The corrective action plan spreadsheet should be completed with updated on missing information.
Minor	Minor 2021.03	3.2.2	30 days	Information on water rights was not provided.
				<b>Root Cause Analysis and Corrective Action</b> The indicator was not addressed. Information on water rights will be researched and provided.

### Certification Decision

<i>Auditor's recommendation for initial, continued or re-certification based on compliance with requirements:</i>	x	Recommended
		Not Recommended
<i>Level of Certification recommended</i>	X	AWS Core
		AWS Gold
		AWS Platinum
<i>SCS Certification Decision:</i>	X	Approved
		Denied
<i>Certification Decision by:</i>		 Shana Golden, 5/07/2021
<i>Technical Review by:</i>		 Shana Golden, 5/07/2021
<i>Date of Decision:</i>		
<i>Surveillance Schedule:</i>		Next audit is scheduled for: March to August 2022 18 Month Surveillance will be Requested

**AWS International Water Stewardship Standard, Version 2.0, March 22, 2019**

*Surveillance audits shall cover at a minimum those requirements highlighted in light green.*

**STEP 1: Gather and Understand**

Criteria	Indicator	Yes	No	NA	Objective Evidence/Finding	Points
1.1 Gather information to define the site’s physical scope for water stewardship purposes, including: its operational boundaries; the water sources from which the site draws; the locations to which the site returns its discharges; and the catchment(s) that the site affect(s) and upon which it is reliant.	1.1.1 The physical scope of the site shall be <b>mapped</b> , considering the regulatory landscape and zone of stakeholder interests, including: <ul style="list-style-type: none"> <li>- Site boundaries;</li> <li>- Water-related infrastructure, including piping network, owned or managed by the site or its parent organization;</li> <li>- Any water sources providing water to the site that are owned or managed by the site or its parent organization;</li> <li>- Water service provider (if applicable) and its ultimate water source;</li> <li>- Discharge points and waste water service provider (if applicable) and ultimate receiving water body or bodies;</li> <li>- Catchment(s) that the site affect(s) and is reliant upon for water.</li> </ul>	Yes			The La Vie Hung Yen Factory is a water bottling facility, producing bottled water products under the brand name of La Vie and NPL. The physical scope of the factory was mapped including site boundaries water related infrastructure, water sources and water service provider. Water for the bottling facility comes from several sources, including two on-site wells (Well LKNQ2 and Well G2, GKNQ) to produce bottled purified water and natural mineral water (spring). The City of Hung Yen provides water for sanitary services. Wastewater is treated on-site with some water used for irrigation, treated wastewater is discharge to the City. The water-related infrastructure at the factory was mapped including: layout of bottle lines, locations of wells (DW#2 and DW#3), waste water treatment area, and sanitary sewer discharge. The Hung Yen Factory is located in the northern Vietnam, in the Red River Delta Basin. The catchment (1,900 kilometers) is bounded by the Red River and the Duong River, with the southern boundary based on the theoretical influence of the Factory wells. It includes the primary water sources (City of Hung Yen, and on-site wells), bottling operations (Hung Yen Factory), and the discharge recipient (on-site treatment and City discharge). The primary source of water for the catchment is precipitation within the Red River Delta, with the discharge of treated wastewater to the Gulf of Tonkin.	
1.2 Understand relevant stakeholders, their water related challenges, and the site’s ability to influence beyond its boundaries.	1.2.1 Stakeholders and their water-related challenges shall be <b>identified</b> . The process used for stakeholder identification shall be <b>identified</b> . This process shall: <ul style="list-style-type: none"> <li>- Inclusively cover all relevant stakeholder groups including vulnerable, women, minority, and Indigenous people;</li> <li>- Consider the physical scope identified, including stakeholders, representative of</li> </ul>	Yes			The stakeholder map created during the Community Relations Process (CRP) was reviewed. The CRP includes identification of local population, authorities (municipalities), businesses (economic neighbors), and schools. CRP included individuals and organizations consulted with, including notes on conversations which provided information on water-related interests/challenges. The summary includes actions, follow-up and feedback. The CRP includes ranking of stakeholder influence and interest with targeted levels of engagement defined.	

	<p>the site's ultimate water source and ultimate receiving water body or bodies;</p> <ul style="list-style-type: none"> <li>- Provide evidence of stakeholder consultation on water-related interests and challenges;</li> <li>- Note that the ability and/or willingness of stakeholders to participate may vary across the relevant stakeholder groups;</li> <li>- Identify the degree of stakeholder engagement based on their level of interest and influence.</li> </ul>				
	<p>1.2.2 Current and potential degree of influence between site and stakeholder shall be <b>identified</b>, within the catchment and considering the site's ultimate water source and ultimate receiving water body for wastewater.</p>	Yes			Stakeholders are related to the site's catchment and process identifies the stakeholders' ability to influence or be influenced. Influence/Interest is characterized (low to critical) and further describe opinions towards La Vie.
<p>1.3 Gather water-related data for the site, including: water balance; water quality, Important Water-Related Areas, water governance, WASH; water-related costs, revenues, and shared value creation.</p>	<p>1.3.1 Existing water-related incident response plans shall be <b>identified</b>.</p>	Yes			<p>The Overcome and Prevent Flooding Plan, Emergency Response Plan, Business Contingency Plan, and Fire and other Catastrophe Plan were reviewed. Incident response was addressed in the plans.</p> <p><b>OBS 2021.01 was issued:</b> The specific and detail flooding response plan for Plant B Hung Yen Factory was not provided. Specifics on actions for flooding should be provided.</p>
	<p>1.3.2 Site water balance, including inflows, losses, storage, and outflows shall be <b>identified</b> and <b>mapped</b>.</p>	Yes			La Vie provided water maps containing inputs and outputs of water at this facility. Data showing water inflows, outflows, storage and losses for the production lines at the factory was reviewed.
	<p>1.3.3 Site water balance, inflows, losses, storage, and outflows, including indication of annual variance in water usage rates, shall be <b>quantified</b>. Where there is a water-related challenge that would be a threat to good water balance for people or environment, an indication of annual high and low variances shall be <b>quantified</b>.</p>	Yes			La Vie provided water maps containing inputs and outputs of water at this facility. La Vie utilizes a Water Withdrawal Ratio (WWR) to evaluate efficiency, measuring Liters of water used to produce a Liter of product. The Factory met the goal for 2019 was 1.54 l/l and 2020 of 1.40 l/l. La Vie provided WWR on a monthly basis with high and low variance. The comparison shows an overall increase in water efficiency from 2019 to 2020.
	<p>1.3.4 Water quality of the site's water source(s), provided waters, effluent and receiving water bodies shall be <b>quantified</b>.</p>	Yes			A summary of water quality tests conducted at the site on incoming source water and finished product was provided. Monthly or higher frequency data were provided for water quality of well sources and effluent.



	Where there is a water-related challenge that would be a threat to good water quality status for people or environment, an indication of annual, and where appropriate, seasonal, high and low variances shall be <b>quantified</b> .				
	1.3.5 Potential sources of pollution shall be <b>identified</b> and if applicable, <b>mapped</b> , including chemicals used or stored on site.	Yes			A list of all chemicals stored at the site, their location, and typical quantities were provided. The chemicals located within the Factory were mapped on the Facility Layout.
	1.3.6 On-site Important Water-Related Areas shall be <b>identified</b> and <b>mapped</b> , including a description of their status including Indigenous cultural values.	Yes			No IWRAs are present at the site.
	1.3.7 Annual water-related costs, revenues, and a description or quantification of the social, cultural, environmental, or economic water-related value generated by the site shall be <b>identified</b> and used to inform the evaluation of the plan in 4.1.2.	Yes			Site level costs were presented including costs to implement water stewardship-related costs were provided and reviewed.
	1.3.8 Levels of access and adequacy of WASH at the site shall be <b>identified</b> .	Yes			WASH is available on-site with potable water and toilets for employees and visitors. The Factory utilized "Self-Assessment Tool for Evaluating Access to Water, Sanitation and Hygiene (WASH) at the Workplace".
1.4 Gather data on the site's indirect water use, including: its primary inputs; the water use embedded in the production of those primary inputs the status of the waters at the origin of the inputs (where they can be <b>identified</b> ); and water used in out-sourced water-related services.	1.4.1 The embedded water use of primary inputs, including quantity, quality and level of water risk within the site's catchment, shall be <b>identified</b> .	Yes			A list of primary inputs for outsourced services was provided with designation of location. Information on water source with annual water consumption and origin was provided by the Factory.  <b>OBS 2021.02 was issued:</b> The list did not identify clearly what primary inputs are within site's catchment and what is their level of water risk, if any.
	1.4.2 The embedded water use of outsourced services shall be <b>identified</b> , and where those services originate within the site's catchment, <b>quantified</b> .	Yes			The La Vie Factory states it does not use outside services that account for over 5 % of the total weight of their goods, or 5 % of their costs, or that use significant water in their processes.  <b>OBS 2021.03 was issued.</b> Confirm if the canteen contributes as an outsourced service.
	1.4.3 <b>Advanced Indicator</b> The embedded water use of primary inputs in catchment(s) of origin shall be <b>quantified</b> .				Advanced Indicators were not considered for this site.

<p>1.5 Gather water-related data for the catchment, including: water governance, water balance, water quality, Important Water-Related Areas, infrastructure, and WASH</p>	<p>1.5.1 Water governance initiatives shall be <b>identified</b>, including catchment plan(s), water-related public policies, major publicly-led initiatives under way, and relevant goals to help inform site of possible opportunities for water stewardship collective action.</p>	<p>Yes</p>			<p>A list of significant publicly-led initiatives and water-related public policy goals for the catchment was provided for the Factory.</p>	
	<p>1.5.2 Applicable water-related legal and regulatory requirements shall be <b>identified</b>, including legally-defined and/or stakeholder-verified customary water rights.</p>	<p>Yes</p>			<p>A list of regulatory requirements was provided, including permits. List of relevant and applicable legal and other requirements were also provided and reviewed.</p> <p><b>OBS 2021.04 was issued.</b> A list of the applicable water-related legal and regulatory requirements, although some legal requirement are not included in the list. For example, the decision issued by Hung Yen Province’s people committee about issuing the list of restricted zones and registration zones for under-land water mining in Hung Yen province.</p>	
	<p>1.5.3 The catchment water-balance, and where applicable, scarcity, shall be <b>quantified</b>, including indication of annual, and where appropriate, seasonal, variance.</p>	<p>Yes</p>			<p>The catchment water balance with precipitation, point source flows, subsurface flow, runoff, and evapotranspiration data were provided for the LA Vie Factory catchment.</p>	
	<p>1.5.4 Water quality, including physical, chemical, and biological status, of the catchment shall be <b>identified</b>, and where possible, <b>quantified</b>. Where there is a water-related challenge that would be a threat to good water quality status for people or environment, an indication of annual, and where appropriate, seasonal, high and low variances shall be <b>identified</b>.</p>		<p>No</p>		<p>Water quality data for the City water (catchment) was provided.</p> <p><b>Minor 2021.01 was issued.</b> The water quality data provided is based on City water which does not represent catchment water quality. It is understood limited data may be available for the catchment. Review of additional sources of data should be conducted to determine if catchment water quality is available.</p>	
	<p>1.5.5 Important Water-Related Areas shall be <b>identified</b>, and where appropriate, <b>mapped</b>, and their status assessed including any threats to people or the natural environment, using scientific information and through stakeholder engagement.</p>	<p>Yes</p>			<p>IWRAs have been identified along with a description of their water-related issues. Documentation of IWRA cleanup (WWD) was provided.</p> <p><b>OBS 2021.05 was issued.</b> Maps showing IWRA areas was provided. The locations of the IRWAs with the catchment should be mapped.</p>	
	<p>1.5.6 Existing and planned water-related infrastructure shall be <b>identified</b>, including condition and potential exposure to extreme events.</p>	<p>Yes</p>			<p>La Vie has established a project to connect city water to local communities.</p> <p><b>OBS 2021.06 was issued.</b> The Factory map indicates a channel and bridge located adjacent to the Factory that was not identified as water-related</p>	

					infrastructures. Other water-related infrastructure in the catchment should be identified with condition provided.	
	1.5.7 The adequacy of available WASH services within the catchment shall be <b>identified</b> .	Yes			La Vie provided a WASH Assessment for the Nhu Quynh school and other locations in the Catchment.	
1.6 Understand current and future shared water challenges in the catchment, by linking the water challenges <b>identified</b> by stakeholders with the site's water challenges.	1.6.1 Shared water challenges shall be <b>identified</b> and prioritized from the information gathered.	Yes			A prioritized list with rationale of shared water challenges was provided and reviewed. Drivers and public-sector agency efforts are noted as well. Water quantity is prioritized as first, on a scale of 1-4. The challenges were prioritized based on stakeholder feedback and corporate initiatives.	
1.7 Understand the site's water risks and opportunities: Assess and prioritize the water risks and opportunities affecting the site based upon the status of the site, existing risk management plans and/or the issues and future risk trends <b>identified</b> in 1.6.	1.7.1 Water risks faced by the site shall be <b>identified</b> , and prioritized, including likelihood and severity of impact within a given timeframe, potential costs and business impact.	Yes			A prioritized list of water risks was provided and reviewed. Water risks matched shared water challenges. Water quantity is prioritized first.	
	1.7.2 Water-related opportunities shall be <b>identified</b> , including how the site may participate, assessment and prioritization of potential savings, and business opportunities.	Yes			A prioritized list of water-related opportunities was provided for the site and match the shared water challenges and water risks lists.	
1.8 Understand best practice towards achieving AWS outcomes: Determining sectoral best practices having a local/catchment, regional, or national relevance.	1.8.1 Relevant catchment best practice for water governance shall be <b>identified</b> .	Yes			La Vie identified their Water Neutrality Pledge as best practice for the catchment governance. La Vie engages with catchment authorities and other stakeholders to share information, practices and drive water stewardship practices.	
	1.8.2 Relevant sector and/or catchment best practice for water balance (either through water efficiency or less total water use) shall be <b>identified</b> .	Yes			La Vie identified the water ratio (WWR) as best practice for the sector. La Vie uses the sector specific efficiency metric of water use ratio to track onsite efficiency and established a target to monitor continual improvement.	
	1.8.3 Relevant sector and/or catchment best practice for water quality shall be <b>identified</b> , including rationale for data source.	Yes			La Vie identified the site improvement projects impacting water quality.  <b>OBS 2020.07 was issued.</b> Sector or catchment level practices for water quality should be reviewed.	

	1.8.4 Relevant catchment best practice for site maintenance of Important Water-Related Areas shall be <b>identified</b> .		No		<b>Minor 2021.02 was issued.</b> No information was provided.	
	1.8.5 Relevant sector and/or catchment best practice for site provision of equitable and adequate WASH services shall be <b>identified</b> .	Yes			La Vie identified the School WASH assessment and improvements conducted by the Factory.	
<b>STEP 2: Commit and Plan</b>						
Criteria	Indicator	Yes	No	NA	Objective Evidence/Findings	Points
2.1 Commit to water stewardship by having the senior-most manager in charge of water at the site, or if necessary, a suitable individual within the organization head office, sign and publicly disclose a commitment to water stewardship, the implementation of the AWS Standard and achieving its five outcomes, and the allocation of required resources.	2.1.1 A signed and publicly <b>disclosed</b> site statement OR organizational document shall be <b>identified</b> . The statement or document shall include the following commitments: <ul style="list-style-type: none"> <li>- That the site will implement and disclose progress on water stewardship program(s) to achieve improvements in AWS water stewardship outcomes</li> <li>- That the site implementation will be aligned to and in support of existing catchment sustainability plans</li> <li>- That the site's stakeholders will be engaged in an open and transparent way</li> <li>- That the site will allocate resources to implement the Standard.</li> </ul>	Yes			A pledge, signed by the site factory manager, was reviewed containing all elements described in this indicator.	
2.2 Develop and document a process to achieve and maintain legal and regulatory compliance.	2.2.1 The system to maintain compliance obligations for water and wastewater management shall be <b>identified</b> , including: <ul style="list-style-type: none"> <li>- Identification of responsible persons/positions within facility organizational structure</li> <li>- Process for submissions to regulatory agencies.</li> </ul>	Yes			The La Vie Compliance Matrix was provided and reviewed. Included in the matrix are the listed permits and responsible staff to ensure maintenance of compliance. A third-party is contracted to confirm compliance is maintained. The matrix cover legal requirement regarding to social and labour requirement, occupational health and safety, environmental protection, fire fighting and prevention, energy safety, chemical safety, radiation safety, calibration and safety inspection, PPE management, traffic safety.  <b>Observation 2021.08 was issued.</b> The evaluation of a compliance evaluation was reviewed, with several inputs not completed. The corrective action plan spreadsheet should be completed with updated on missing information.	

2.3 Create a water stewardship strategy and plan including addressing risks (to and from the site), shared catchment water challenges, and opportunities.	2.3.1 A water stewardship strategy shall be <b>identified</b> that defines the overarching mission, vision, and goals of the organization towards good water stewardship in line with this AWS Standard.	Yes			A water stewardship strategy statement signed by the factory manager was provided and reviewed. La Vie strategy is a high-level document stating the overall strategy is in alignment with the AWS requirements.	
	2.3.2 A water stewardship plan shall be <b>identified</b> , including for each target: <ul style="list-style-type: none"> <li>- How it will be measured and monitored</li> <li>- Actions to achieve and maintain (or exceed) it</li> <li>- Planned timeframes to achieve it</li> <li>- Financial budgets allocated for actions</li> <li>- Positions of persons responsible for actions and achieving targets</li> <li>- Where available, note the link between each target and the achievement of best practice to help address shared water challenges and the AWS outcomes.</li> </ul>	Yes			A detailed water stewardship plan was created as part of the AWS process. The plan is broken into objectives, targets, and actions. There are different actions corresponding to different targets, each with their own metrics, budget, responsible person, status, and other criteria. Water Quality and Water Quantity are the water topics identified in this plan.	
2.4 Demonstrate the site's responsiveness and resilience to respond to water risks	2.4.1 A plan to mitigate or adapt to <b>identified</b> water risks developed in coordination with relevant public-sector and infrastructure agencies shall be <b>identified</b> .	Yes			The Water Stewardship Plan is a working document which documents identification of water risks through performance, evaluation, and stakeholder consultation. Stakeholders include the relevant public-sector agencies responsible for infrastructure.	
<b>STEP 3: Implement</b>						
<b>Criteria</b>	<b>Indicator</b>	<b>Yes</b>	<b>No</b>	<b>NA</b>	<b>Objective Evidence/Findings</b>	<b>Points</b>
3.1 Implement plan to participate positively in catchment governance.	3.1.1 Evidence that the site has supported good catchment governance shall be <b>identified</b> .	Yes			The Factory provided documentation of their efforts to support good catchment governance through CRP which shares information with stakeholders. Documentation of local awards were provided. Stakeholder interviews supported good catchment governance, such as: clean the canal, work with local government to help local residences to close the unused wells in correct way, build new road and bridge and communication about AWS action plan to local community.	

	3.1.2 Measures <b>identified</b> to respect the water rights of others including Indigenous peoples, that are not part of 3.2 shall be <b>implemented</b> .	Yes			The indigenous peoples in Vietnam has all the social right same as majority peoples (Kinh). However, there are almost non-indigenous peoples living in the area of Van Lam town, Hung Yen province. They are living more in the northern area (near China border) and the highland central area of Vietnam.	
3.2 Implement system to comply with water-related legal and regulatory requirements and respect water rights.	3.2.1 A process to verify full legal and regulatory compliance shall be <b>implemented</b> .	Yes			The La Vie Compliance Checklist was provided and reviewed. Included in the matrix are the listed permits and responsible staff to ensure maintenance of compliance.	
	3.2.2 Where water rights are part of legal and regulatory requirements, measures <b>identified</b> to respect the water rights of others including Indigenous peoples, shall be <b>implemented</b> .	Yes			<b>Minor 2021.03 was issued.</b> Information on water rights was not provided.	
3.3 Implement plan to achieve site water balance targets.	3.3.1 Status of progress towards meeting water balance targets set in the water stewardship plan shall be <b>identified</b> .	Yes			Water usage is tracked on a weekly basis and compared to goal. and compared to previous years monthly values. The monthly withdrawal is also tracked and compared to rates from the previous year. The site has worked to improve its water efficiency as per its targets, by implementing the following multiple projects.	
	3.3.2 Where water scarcity is a shared water challenge, annual targets to improve the site's water use efficiency, or if practical and applicable, reduce volumetric total use shall be <b>implemented</b> .	Yes			La Vie establishes site targets annually to improve water balance towards improving efficiency and strives to reduce volumetric total.	
	3.3.3 Legally-binding documentation, if applicable, for the re-allocation of water to social, cultural or environmental needs shall be <b>identified</b> .	Yes			The site is not re-allocating water savings.	
3.4 Implement plan to achieve site water quality targets.	3.4.1 Status of progress towards meeting water quality targets set in the water stewardship plan shall be <b>identified</b> .	Yes			Data was provided to demonstrate water quality results (wells and wastewater) are within standard values.	
	3.4.2 Where water quality is a shared water challenge, continual improvement to achieve best practice for the site's effluent shall be <b>identified</b> and where applicable, <b>quantified</b> .	Yes			Water quality is a shared water challenge and an AWS Outcome. La Vie provided Cleaning in Place procedures consistent with Nestlé Waters global program as best practice for the Factory. Documentation on wastewater treatment was also provided.	
3.5 Implement plan to maintain or improve the site's and/or catchment's	3.5.1 Practices set in the water stewardship plan to maintain and/or enhance the site's Important Water-Related Areas shall be <b>implemented</b> .	Yes			No IWRA's are present at the Factory site.	

Important Water-Related Areas.					
3.6 Implement plan to provide access to safe drinking water, effective sanitation, and protective hygiene (WASH) for all workers at all premises under the site's control.	3.6.1 Evidence of the site's provision of adequate access to safe drinking water, effective sanitation, and protective hygiene (WASH) for all workers onsite shall be <b>identified</b> and where applicable, <b>quantified</b> .	Yes			La Vie showed the Factories WASH during the Site walkthrough. The Factory provides water to the community at the Factory entrance. Information on the School WASH project was also provided.
	3.6.2 Evidence that the site is not impinging on the human right to safe water and sanitation of communities through their operations, and that traditional access rights for Indigenous and local communities are being respected, and that remedial actions are in place where this is not the case, and that these are effective.	Yes			Refer to 3.6.1.
3.7 Implement plan to maintain or improve indirect water use within the catchment.	3.7.1 Evidence that indirect water use targets set in the water stewardship plan, as applicable, have been met shall be <b>quantified</b> .	Yes			Indirect water use targets were not identified in the WSP. The Factory has documented communication with suppliers.
	3.7.2 Evidence of engagement with suppliers and service providers, as well as, when applicable, actions they have taken in the catchment as a result of the site's engagement related to indirect water use, shall be <b>identified</b> .	Yes			Communication with suppliers was provided.
3.8 Implement plan to engage with and notify the owners of any shared water-related infrastructure of any concerns the site may have.	3.8.1 Evidence of engagement, and the key messages relayed with confirmation of receipt, shall be <b>identified</b> .	Yes			There was no evidence indicated there were concerns with any shared water-related infrastructure.
3.9 Implement actions to achieve best practice towards AWS outcomes: continually improve towards achieving sectoral best practice having a	3.9.1 Actions towards achieving best practice, related to water governance, as applicable, shall be <b>implemented</b> .	Yes			La Vie identified their Water Neutrality Pledge as best practice for the catchment governance and provided information on progress toward the goal.
	3.9.2 Actions towards achieving best practice, related to targets in terms of water balance shall be <b>implemented</b> .	Yes			La Vie identified the water ratio (WWR) as best practice for the sector provide annual and monthly data.

local/catchment, regional, or national relevance.	3.9.3 Actions towards achieving best practice, related to targets in terms of water quality shall be <b>implemented</b> .	Yes			La Vie identified the site improvement projects improving water quality.	
	3.9.4 Actions towards achieving best practice, related to targets in terms of the site's maintenance of Important Water-Related Areas shall be <b>implemented</b> .	Yes			The Site has no IWRAs which require maintenance.	
	3.9.5 Actions towards achieving best practice related to targets in terms of WASH shall be <b>implemented</b> .	Yes			Stakeholder engagement indicates the Factory is contributing to WASH in the catchment.	
<b>STEP 4: Evaluate</b>						
<b>Criteria</b>	<b>Indicator</b>	<b>Yes</b>	<b>No</b>	<b>NA</b>	<b>Objective Evidence/Findings</b>	<b>Points</b>
4.1 Evaluate the site's performance in light of its actions and targets from its water stewardship plan and demonstrate its contribution to achieving water stewardship outcomes.	4.1.1 Performance against targets in the site's water stewardship plan and the contribution to achieving water stewardship outcomes shall be <b>evaluated</b> .	Yes			La Vie has evaluated performance of the Stewardship Plan which is aligned with realizing the AWS Outcomes. Targets established in the Plan are tracked based on multiple actions with measurable metrics, documentation of stakeholder engagement, and evaluation of changes in water risk for each target. The evaluation also includes a cost/benefits review and describes shared value benefits for each target. Further evaluation will be conducted during the surveillance and renewal audits.	
	4.1.2 Value creation resulting from the water stewardship plan shall be <b>evaluated</b> .	Yes			La Vie has created value related to multiple efforts such as improving water quality by installing WASH improvements at school.	
	4.1.3 The shared value benefits in the catchment shall be <b>identified</b> and where applicable, <b>quantified</b> .	Yes			La Vie has connected the local communities to the City water, with shared benefits of improving WASH within the communities.	
4.2 Evaluate the impacts of water-related emergency incidents (including extreme events), if any occurred, and determine the effectiveness of corrective and preventative measures.	4.2.1 A written annual review and (where appropriate) root-cause analysis of the year's emergency incident(s) shall be prepared and the site's response to the incident(s) shall be <b>evaluated</b> and proposed preventative and corrective actions and mitigations against future incidents shall be <b>identified</b> .	Yes			No water-related emergency events occurred during the current audit period. No shutdown occurred that was water related confirmed via stakeholder interviews	
4.3 Evaluate stakeholders' consultation feedback regarding the site's water stewardship performance, including the effectiveness of the site's engagement process.	4.3.1 Consultation efforts with stakeholders on the site's water stewardship performance shall be <b>identified</b> .	Yes			External stakeholder outreach conducted and documented in the for the City Water supplier, Irrigation Department and local officials. Stakeholder interviews were conducted with local authority, local residents and businesses with positive feedback about La Vie Hung Yen Plant for their contribution, their business manner and the overall positive change since La Vie operated in this area.	



4.4 Evaluate and update the site's water stewardship plan, incorporating the information obtained from the evaluation process in the context of continual improvement.	4.4.1 The site's water stewardship plan shall be modified and adapted to incorporate any relevant information and lessons learned from the evaluations in this step and these changes shall be <b>identified</b> .	Yes			The Water Stewardship Plan is a working document updated annually to reflect on-going actions and completed projects. The Plan tracks targets and actions tied to best practice and AWS outcomes addressed. Performance and stakeholder consultation with respect to the projects are included. Stakeholder consultation has led to sharing projects and adapting to stakeholder projects as requested.	
<b>STEP 5: Communicate and Disclose</b>						
Criteria	Indicator	Yes	No	NA	Objective Evidence/Findings	Points
5.1 Disclose water-related internal governance of the site's management, including the positions of those accountable for legal compliance with water-related local laws and regulations.	5.1.1 The site's water-related internal governance, including positions of those accountable for compliance with water-related laws and regulations shall be <b>disclosed</b> .	Yes			The Factory posts the factory organization chart at the factory floor where it will be observed the by staff and visitors. The organization chart includes the staff and relevant responsible personnel for water-related laws and regulations.	
5.2 Communicate the water stewardship plan with relevant stakeholders.	5.2.1 The water stewardship plan, including how the water stewardship plan contributes to AWS Standard outcomes, shall be communicated to relevant stakeholders.	Yes			La Vie provided communication with stakeholder about the AWS process and La Vie Strategic goals. The presentations summarizes the water stewardship plan and outcomes. The presentations were shared with stakeholders.	
5.3 Disclose annual site water stewardship summary, including the relevant information about the site's annual water stewardship performance and results against the site's targets.	5.3.1 A summary of the site's water stewardship performance, including <b>quantified</b> performance against targets, shall be <b>disclosed</b> annually at a minimum.	Yes			The stakeholder presentation was reviewed, the presentation includes the site's water stewardship performance results. The presentation was provided to stakeholders.	
5.4 Disclose efforts to collectively address shared water challenges, including: associated efforts to address the challenges; engagement with stakeholders; and co-	5.4.1 The site's shared water-related challenges and efforts made to address these challenges shall be <b>disclosed</b> .	Yes			The stakeholder presentation was reviewed and was provided to stakeholders. Presentation describes the sites water challenges, stakeholder feedback, targets, with implementation outcomes.	
	5.4.2 Efforts made by the site to engage stakeholders and coordinate and support public-sector agencies shall be <b>identified</b> .	Yes			See 5.4.1	

ordination with public-sector agencies.					
5.5 Communicate transparency in water-related compliance: make any site water-related compliance violations available upon request as well as any corrective actions the site has taken to prevent future occurrences.	5.5.1 Any site water-related compliance violations and associated corrections shall be <b>disclosed</b> .	Yes			La Vie summarized information from local government on water management compliance. Stakeholder interviews with the local authority and checking the local water management report of Hung Yen Province, it confirms that there is no any site water-related compliance violations.
	5.5.2 Necessary corrective actions taken by the site to prevent future occurrences shall be <b>disclosed</b> if applicable.	Yes			Refer to 5.5.1.
	5.5.3 Any site water-related violation that may pose significant risk and threat to human or ecosystem health shall be immediately communicated to relevant public agencies and <b>disclosed</b> .	Yes			Refer to 5.5.1.