

**Client Name:** Indaiá Brasil Águas Minerais Ltda (Minalba Brasil)  
 DiasAvila Factory

**AWS Registration Number:** AWS-000338

**Client Representative:** Akane Kawasaki, Minalba Brazil

**Audit Team:** Claudia Méndez Jaime/ Lead Auditor  
 Rae Mindock/ Team Auditor

**Audit Dates:** June 7 to 9, 2021

**Site Location:** Fazenda Santo Antonio Camboata s/n BR 093 km 24 Rod BA  
 Dias dAvila, Bahia 42850-000, Brazil

**Stakeholder Notification:** AWS Website, SCS Website, Local Paper

**Report Date:** August 15, 2021

**Standard:** AWS International Water Stewardship Standard  
 Version 2.0, March 22, 2019

### Audit Information

Audit Type	<input type="checkbox"/> Gap Analysis <input type="checkbox"/> Pre-assessment	<input checked="" type="checkbox"/> Initial Certification	<input type="checkbox"/> Surveillance <input type="checkbox"/> Recertification
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Level of Certification	<input checked="" type="checkbox"/> Core	<input type="checkbox"/> Gold	<input type="checkbox"/> Platinum
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## Site Information

### Site Description

The Minalba Brazil DiasAvila Factory (DiasAvila Factory) is a water bottling facility, producing bottled water products under the brand name of Minalba Natural Mineral Water. The factory produces a variety of different bottle types ranging from 200 ml to 20 liter bottles from multiple bottling lines. The facility is located in a rural industrial setting. Water for the bottling facility comes from several sources, including two water sources, wells Fonte Esmeralda and Fonte Rubi. The factory also receives water from the Municipality, used for landscape irrigation and facility sanitary water supply. Sanitary discharge is sent to the factory's wastewater treatment plant. Stormwater discharge is directed for landscape and for garden irrigation.

### Catchment Description

The DiasAvila Factory is located in the Jacuípe River Hydrographic Basin (12,099 km<sup>2</sup>) located in eastern Brazil. The Jacuípe River is a tributary to the Paraguacu River. The bottling operations, water sources (wells) and discharge recipient are within the catchment. The primary source of water in the catchment is precipitation (surface water). The Jacuípe ultimately discharges to the Atlantic Ocean.

### Shared Water Challenges

Shared water challenges are catchment water-related issues shared by the site and stakeholders. Stakeholder engagement was documented, and auditor interviews confirmed the topics of engagement. Primary water-related risks to the site include water quality; other shared water challenges include governance, public education surrounding water use, and site water use efficiency. A prioritized list of shared water challenges addressing the outcomes was provided.

Actions associated with the shared water challenges include meeting the site water balance goal for 2020, participating in Basin Committee meetings and contributing to CETREL in the collection of water quality data.

### Audit Attendees

Participant/Title	Opening Meeting	Document Review	Site Inspection	Closing Meeting
Akane Kawasaki- Coordenadora Sistema de Gestão Integrada;	X			X
Denyse Sena - Gerente de Qualidade e Oficial de Certificação AWS	X	X	X	X
Cassio Leite Ramos – Engenheiro de Mineração	X			X
Lucas Santiago Ribeiro Ferienci – Diretor Industrial	X			
Poliana da Silva Arruda – Supervisora de Controle de Qualidade.	X			
Arnaldo Vicente Neto – Gerente de Produção;				X
Clayton Ferreira – Supervisor de Manutenção.			X	
Thais Figueiredo – Supervisor de Produção	X			
Ronei Andrade – Coordinação de planta	X			X
Larissa Vierra Lopes - Supervisor de Qualidade				X
Igor Luiz Santos – Técnico de Qualidade				X
Daniela De Jesus Dos Santos			X	X
Anaile Soares _ Analista de Processos e Projetos				X
Daniela Viana – Técnica de Qualidade				X
Eduardo Abreu – Supervisor Administrativo				X
Ramon Brito – Inspetor de Qualidade			X	X
Gilson dos Santos Souza – Inspetor de controle de Q.			X	
Hérico F. Oliveira Lima – Inspetor de controle de Q.			X	
<p><b>Documentation provided for review:</b>            The Factory provided documentation using ShareFile share to support conformity with the AWS Standard v2.0 including: Stakeholder Outreach (Mapeamento Stakeholders e Lista de Desafios_Dias DAvila - Rev00), Community Relations, Water Map (APA Joanes Ipitanga com inclusão da MNB Dias Davila 2), Catchment Water Balance (Estudo Hidrogeológico da Borda Leste da Bacia do Recôncavo), and Water Stewardship Plan (Plano de Gestão Sustentável da água REV00). The Water Stewardship Plan is a working document which is continually updated with information regarding how shared water challenges are being addressed included progress, performance evaluation and stakeholder feedback. The majority of the information on the catchment was contained in Document “Mapa bacia Hidrografica Jacuipe com MNB Dias Davila” and Hidrogeologia Embasa. Other supporting documentation were also provided as evidence.</p>				

### Summary of Findings

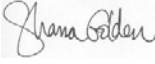
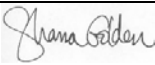
Step	Major	Minor	Observations	Total Points
1. Gather & Understand	0	1	5	
2. Commit & Plan	0	0	0	
3. Implement	0	0	1	
4. Evaluate	0	0	0	
5. Communicate & Disclose	0	0	0	
TOTAL	0	1	6	

### Audit Non-conformities and Observations

Non-Conformity (Major or Minor) or Observation	Citation	Criteria/ Indicator	Due Date	Detail and Corrective Action
Observation	OBS. 2021.01	1.1.1	NA	The catchment is disproportionately large compared to the size of the site and its normal activities. The site only interacts with a portion. The site should re-define the physical scope smaller than the entire catchment.
				<b>Root Cause Analysis and Corrective Action</b> The Site recognized this during the audit and acknowledged that refining the size of the catchment will be conducted. Corrective Action is not required for Observations.
Observation	OBS. 2021.02	1.3.7	NA	<b>OBS 2021.02 was issued.</b> Actions providing water-related value should be reconsidered with value provided. <b>Root Cause Analysis and Corrective Action</b> Not required for Observations
Observation	OBS. 2021.03	1.4.1	NA	Additional information on water quality at the catchment should also be considered when available.
				<b>Root Cause Analysis and Corrective Action</b> Not required for Observations
Minor	Minor 2021.01	1.5.5	NA	Environmental IWRA have been identified and mapped. Cultural and community IRWAs have not been identified and mapped. IWRA status should be assessed.
				<b>Root Cause Analysis and Corrective Action</b> The IRWA evaluation did not focused on water-related environmental areas, some may also be cultural. IWRA including environmental,

				cultural and community will be re-evaluated along with refining the size of the catchment.
Observation	OBS. 2021.04	1.7.1	NA	The site should include water-related risks associated with hydrometeorological events.
				<b>Root Cause Analysis and Corrective Action</b> Not required for Observations
Observation	OBS. 2021.05	1.8.4	NA	The site shall identify relevant catchment best practice for site maintenance of cultural and community IWRAs. This should be conducted concurrently with reevaluating the size of the catchment.
				<b>Root Cause Analysis and Corrective Action</b> Not required for Observations
Observation	OBS. 2021.06	3.9.4	NA	The site shall implement actions towards achieving best practice, related to targets in terms of the site's maintenance of cultural and community IWRAs. This should be conducted concurrently with reevaluating the size of the catchment.
				<b>Root Cause Analysis and Corrective Action</b> Not required for Observations

### Certification Decision

<i>Auditor's recommendation for initial, continued or re-certification based on compliance with requirements:</i>	X	Recommended
		Not Recommended
<i>Level of Certification recommended</i>	X	AWS Core
		AWS Gold
		AWS Platinum
<i>SCS Certification Decision:</i>		Approved
		Denied
<i>Certification Decision by:</i>		 Shana Golden
<i>Technical Review by:</i>		 Shana Golden
<i>Date of Decision:</i>		August 17, 2021
<i>Surveillance Schedule:</i>		Next audit is scheduled for: August 2022

AWS International Water Stewardship Standard, Version 2.0, March 22, 2019						
Surveillance audits shall cover at a minimum those requirements highlighted in light green, also 1.1 and 1.2						
Level	Criteria	Indicator	Yes	No	NA	Findings
Core	1.1 Gather information to define the site's physical scope for water stewardship purposes, including: its operational boundaries; the water sources from which the site draws; the locations to which the site returns its discharges; and the catchment(s) that the site affect(s) and upon which it is reliant	1.1.1 The physical scope of the site shall be <b>mapped</b> , considering the regulatory landscape and zone of stakeholder interests, including: <ul style="list-style-type: none"> <li>- Site boundaries;</li> <li>- Water-related infrastructure, including piping network, owned or managed by the site or its parent organization;</li> <li>- Any water sources providing water to the site that are owned or managed by the site or its parent organization;</li> <li>- Water service provider (if applicable) and its ultimate water source;</li> <li>- Discharge points and waste water service provider (if applicable) and ultimate receiving water body or bodies;</li> <li>- Catchment(s) that the site affect(s) and is reliant upon for water.</li> </ul>	Yes			<p>The Minalba Factory is located in DiasAvila, in the eastern part of Brazil. Water is obtained from two on-site wells and the municipality. The water-related infrastructure at the factory was mapped to include: layout of bottle lines, locations of the wells, sanitary sewer discharge and stormwater discharge. The factory receives water from the municipality and is used for landscape irrigation and facility sanitary water supply. Sanitary discharge is sent to the on-site wastewater treatment plant. Stormwater is used for irrigation.</p> <p>Minalba Catchment (100, 000 acres) is defined and mapped. The catchment includes the Jacuípe River Hydrographic Basin.</p> <p><b>OBS. 2021.01 was issued.</b> The catchment is disproportionately large compared to the size of the site and its normal activities. The site only interacts with a portion. The site should re-define the physical scope smaller than the entire catchment.</p>
	1.2 Understand relevant stakeholders, their water related challenges, and the site's ability to influence beyond its boundaries.	1.2.1 Stakeholders and their water-related challenges shall be <b>identified</b> . The process used for stakeholder identification shall be <b>identified</b> . This process shall: <ul style="list-style-type: none"> <li>- Inclusively cover all relevant stakeholder groups including vulnerable, women, minority, and Indigenous people;</li> <li>- Consider the physical scope identified, including stakeholders, representative of the site's ultimate water source and ultimate receiving water body or bodies;</li> <li>- Provide evidence of stakeholder consultation on water-related interests and challenges;</li> </ul>	Yes			<p>Stakeholders and their water-related challenges have been identified. Information included emails and phone calls, communication records reviewed.</p>

		<ul style="list-style-type: none"> <li>- Note that the ability and/or willingness of stakeholders to participate may vary across the relevant stakeholder groups;</li> <li>- Identify the degree of stakeholder engagement based on their level of interest and influence.</li> </ul>				
		1.2.2 Current and potential degree of influence between site and stakeholder shall be <b>identified</b> , within the catchment and considering the site's ultimate water source and ultimate receiving water body for wastewater.	Yes			Current and potential degree of influence between site and stakeholder shall has been identified.
1.3 Gather water-related data for the site, including: water balance; water quality, Important Water-Related Areas, water governance, WASH; water-related costs, revenues, and shared value creation.	1.3.1 Existing water-related incident response plans shall be <b>identified</b> .	Yes			Water-related incident response plans are in place and available.	
	1.3.2 Site water balance, including inflows, losses, storage, and outflows shall be <b>identified and mapped</b> .	Yes			Site water balance including inflows, losses, and outflows has been identified, mapped, and confirmed.	
	1.3.3 Site water balance, inflows, losses, storage, and outflows, including indication of annual variance in water usage rates, shall be <b>quantified</b> . Where there is a water-related challenge that would be a threat to good water balance for people or environment, an indication of annual high and low variances shall be <b>quantified</b> .	Yes			Site water balance has been identified, mapped and quantified. Water usage rates are in agreement with their legal right to use the resource.	
	1.3.4 Water quality of the site's water source(s), provided waters, effluent and receiving water bodies shall be <b>quantified</b> . Where there is a water-related challenge that would be a threat to good water quality status for people or environment, an indication of annual, and where appropriate, seasonal, high and low variances shall be <b>quantified</b> .	Yes			Water quality of the site's water sources was provided water quality and effluent data were quantified.	
	1.3.5 Potential sources of pollution shall be <b>identified</b> and if applicable, <b>mapped</b> , including chemicals used or stored on site.	Yes			Potential sources of pollution were identified and mapped. The sources included chemicals used on-site.	
	1.3.6 On-site Important Water-Related Areas shall be <b>identified and mapped</b> , including a description of their status including Indigenous cultural values.	Yes			On-site Important Water-Related Areas were identified, mapped, and described.	

		1.3.7 Annual water-related costs, revenues, and a description or quantification of the social, cultural, environmental, or economic water-related value generated by the site shall be <b>identified</b> and used to inform the evaluation of the plan in 4.1.2.	Yes			Annual water-related financial indicators were identified. Creation of water-related value was inferred with description of studies and meetings in the catchment with stakeholders.  <b>OBS 2021.02 was issued.</b> Actions providing water-related value should be reconsidered with value provided.
		1.3.8 Levels of access and adequacy of WASH at the site shall be <b>identified</b> .	Yes			Levels of access and adequacy were identified and verified. WASH supporting data, training records, procedures and cleaning records were reviewed.
	1.4 Gather data on the site’s indirect water use, including: its primary inputs; the water use embedded in the production of those primary inputs the status of the waters at the origin of the inputs (where they can be <b>identified</b> ); and water used in out-sourced water-related services.	1.4.1 The embedded water use of primary inputs, including quantity, quality and level of water risk within the site’s catchment, shall be <b>identified</b> .	Yes			The embedded water use of primary inputs, quantity, and water risk within the site’s catchment were identified.  <b>OBS. 2021.03 was issued.</b> Additional information on water quality at the catchment should also be considered when available.
		1.4.2 The embedded water use of outsourced services shall be <b>identified</b> , and where those services originate within the site’s catchment, <b>quantified</b> .	Yes			The embedded water use of outsourced services was identified.
	1.5 Gather water-related data for the catchment, including: water governance, water balance, water quality, Important Water-Related Areas, infrastructure, and WASH	1.5.1 Water governance initiatives shall be <b>identified</b> , including catchment plan(s), water-related public policies, major publicly-led initiatives under way, and relevant goals to help inform site of possible opportunities for water stewardship collective action.	Yes			The site presents as evidence documents that identify catchment governance initiatives.
		1.5.2 Applicable water-related legal and regulatory requirements shall be <b>identified</b> , including legally-defined and/or stakeholder-verified customary water rights.	Yes			The site presented evidence from multiple documents with the authorizations of their rights, includes other documents with the description of the profiles of their wells.
		1.5.3 The catchment water-balance, and where applicable, scarcity, shall be <b>quantified</b> , including indication of annual, and where appropriate, seasonal, variance.	Yes			The site presented documentation which quantifies and estimates the seasonal variation of the hydrologic resources and the catchment balance.
		1.5.4 Water quality, including physical, chemical, and biological status, of the catchment shall be <b>identified</b> , and where possible, <b>quantified</b> . Where there is a water-related challenge that would be a threat to good water quality status for people or	Yes			Water quality of the catchment was identified and verified with documentation.



		environment, an indication of annual, and where appropriate, seasonal, high and low variances shall be <b>identified</b> .				
		1.5.5 Important Water-Related Areas shall be identified, and where appropriate, <b>mapped</b> , and their status assessed including any threats to people or the natural environment, using scientific information and through stakeholder engagement.		No		Environmental IWRAs have been identified and mapped. Cultural and community IRWAs have not been identified and mapped. IWRA status should be assessed.  <b>Minor 2021.01</b> The IRWA evaluation did not focused on water-related environmental areas, some may also be cultural. IWRAs including environmental, cultural and community will be re-evaluated along with refining the size of the catchment.
		1.5.6 Existing and planned water-related infrastructure shall be <b>identified</b> , including condition and potential exposure to extreme events.	Yes			Existing and planned water-related infrastructure has been identified.
		1.5.7 The adequacy of available WASH services within the catchment shall be <b>identified</b> .	Yes			The adequacy of available WASH services has been identified. Verified documents include wastewater treatment availability data within the catchment.
	1.6 Understand current and future shared water challenges in the catchment, by linking the water challenges <b>identified</b> by stakeholders with the site’s water challenges.	1.6.1 Shared water challenges shall be <b>identified</b> and prioritized from the information gathered.	Yes			Stakeholders and shared water challenges were identified.
		1.6.2 Initiatives to address shared water challenges shall be <b>identified</b> .	Yes			Initiatives to address shared water challenges have been identified and verified.
	1.7 Understand the site’s water risks and opportunities: Assess and prioritize the water risks and opportunities affecting the site based upon the status of the site, existing risk management plans and/or the issues and future risk trends <b>identified</b> in 1.6.	1.7.1 Water risks faced by the site shall be <b>identified</b> , and prioritized, including likelihood and severity of impact within a given timeframe, potential costs and business impact.	Yes			Water risks faced by the site have been identified. Risks only includes risks related to the decrease in water availability and flooding. Risks related to hydrometeorological events (hurricanes, droughts); were not identified.  <b>OBS 2021.04 was issued.</b> The site should include water-related risks associated with hydrometeorological events .
		1.7.2 Water-related opportunities shall be <b>identified</b> , including how the site may participate, assessment and prioritization of potential savings, and business opportunities.	Yes			Water-related opportunities have been identified and verified.
	1.8 Understand best practice towards achieving AWS outcomes: Determining sectoral best practices having a	1.8.1 Relevant catchment best practice for water governance shall be <b>identified</b> .	Yes			Relevant catchment best practice for water governance have been identified. The site participates in catchment governance meetings and initiatives.

local/catchment, regional, or national relevance.	1.8.2 Relevant sector and/or catchment best practice for water balance (either through water efficiency or less total water use) shall be <b>identified</b> .	Yes			Relevant catchment best practices for water balance were identified and verified.
	1.8.3 Relevant sector and/or catchment best practice for water quality shall be <b>identified</b> , including rationale for data source.	Yes			Relevant catchment best practices for water quality were identified and verified.
	1.8.4 Relevant catchment best practice for site maintenance of Important Water-Related Areas shall be <b>identified</b> .	Yes			The site has identified several types of best practices used for environmental IWRAs including signage, stakeholder meetings on IRWAs, and external protections. Community and cultural IWRAs were not considered.  <b>OBS 2021.05 was issued.</b> The site shall identify relevant catchment best practice for site maintenance of cultural and community IWRAs. This should be conducted concurrently with reevaluating the size of the catchment.
	1.8.5 Relevant sector and/or catchment best practice for site provision of equitable and adequate WASH services shall be <b>identified</b> .	Yes			Relevant catchment best practices for site provision of equitable and adequate WASH were identified.
<b>Criteria</b>	<b>Indicator</b>	<b>Yes</b>	<b>No</b>	<b>NA</b>	<b>Findings</b>
2.1 Commit to water stewardship by having the senior-most manager in charge of water at the site, or if necessary, a suitable individual within the organization head office, sign and publicly disclose a commitment to water stewardship, the implementation of the AWS Standard and achieving its five outcomes, and the allocation of required resources.	2.1.1 A signed and publicly disclosed site statement OR organizational document shall be <b>identified</b> . The statement or document shall include the following commitments: - That the site will implement and disclose progress on water stewardship program(s) to achieve improvements in AWS water stewardship outcomes - That the site implementation will be aligned to and in support of existing catchment sustainability plans - That the site's stakeholders will be engaged in an open and transparent way - That the site will allocate resources to implement the Standard.	Yes			Commitment has been identified and verified.
2.2 Develop and document a process to achieve and maintain legal and regulatory compliance.	2.2.1 The system to maintain compliance obligations for water and wastewater management shall be <b>identified</b> , including:	Yes			The system to maintain compliance obligations for water and wastewater management has been identified.

		<ul style="list-style-type: none"> <li>- Identification of responsible persons/positions within facility organizational structure</li> <li>- Process for submissions to regulatory agencies.</li> </ul>				
	2.3 Create a water stewardship strategy and plan including addressing risks (to and from the site), shared catchment water challenges, and opportunities.	2.3.1 A water stewardship strategy shall be identified that defines the overarching mission, vision, and goals of the organization towards good water stewardship in line with this AWS Standard.	Yes			Documentation of the mission, vision and objectives of the company and describes how they are aligned with the AWS standard.
		2.3.2 A water stewardship plan shall be <i>identified</i> , including for each target: <ul style="list-style-type: none"> <li>- How it will be measured and monitored</li> <li>- Actions to achieve and maintain (or exceed) it</li> <li>- Planned timeframes to achieve it</li> <li>- Financial budgets allocated for actions</li> <li>- Positions of persons responsible for actions and achieving targets</li> <li>- Where available, note the link between each target and the achievement of best practice to help address shared water challenges and the AWS outcomes.</li> </ul>	Yes			A water stewardship plan has been reviewed and includes all the requisites of this indicator.
	2.4 Demonstrate the site's responsiveness and resilience to respond to water risks	2.4.1 A plan to mitigate or adapt to identified water risks developed in coordination with relevant public-sector and infrastructure agencies shall be <i>identified</i> .	Yes			A plan to mitigate or adapt to identified water risks has been implemented.
<b>Level</b>	<b>Criteria</b>	<b>Indicator</b>	<b>Yes</b>	<b>No</b>	<b>NA</b>	<b>Findings</b>
	3.1 Implement plan to participate positively in catchment governance.	3.1.1 Evidence that the site has supported good catchment governance shall be <i>identified</i> .	Yes			The water stewardship plan lists the different initiatives that the site participates to support good catchment governance.
		3.1.2 Measures <i>identified</i> to respect the water rights of others including Indigenous peoples, that are not part of 3.2 shall be <i>implemented</i> .	Yes			Measures to respect water rights have been identified. The site provided evidence of legal rights to exploit the resource. Supporting documents were verified.
	3.2 Implement system to comply with water-related legal and regulatory requirements and respect water rights.	3.2.1 A process to verify full legal and regulatory compliance shall be <i>implemented</i> .	Yes			A process to verify full legal and regulatory compliance is in place. A complete description was provided.
		3.2.2 Where water rights are part of legal and regulatory requirements, measures <i>identified</i> to respect the water rights of	Yes			Measures to respect water rights have been identified. The site provided evidence of legal rights to exploit the resource. Supporting documents were verified.

		others including Indigenous peoples, shall be <b>implemented</b> .			
3.3 Implement plan to achieve site water balance targets.	3.3.1 Status of progress towards meeting water balance targets set in the water stewardship plan shall be <b>identified</b> .	Yes			Status of progress towards meeting water balance has been identified. Initiatives and targets are listed in the water stewardship plan.
	3.3.2 Where water scarcity is a shared water challenge, annual targets to improve the site's water use efficiency, or if practical and applicable, reduce volumetric total use shall be <b>implemented</b> .	Yes			Information was provided including a broad description of the objectives and the efficiency in the use of water of the site.
	3.3.3 Legally-binding documentation, if applicable, for the re-allocation of water to social, cultural or environmental needs shall be <b>identified</b> .	Yes			The site has no legally binding documentation for the re-allocation of water. The operator has proven legal rights to exploit the resources as verified in other indicators of this report.
3.4 Implement plan to achieve site water quality targets.	3.4.1 Status of progress towards meeting water quality targets set in the water stewardship plan shall be <b>identified</b> .	Yes			Status of progress towards meeting water quality has been identified. Initiatives and targets are listed in the water stewardship plan. Refer to supporting data for indicator 1.3.4.
	3.4.2 Where water quality is a shared water challenge, continual improvement to achieve best practice for the site's effluent shall be identified and where applicable, <b>quantified</b> .	Yes			Compliance with water quality limits has been verified. Refer to supporting data for indicator 1.3.4.
3.5 Implement plan to maintain or improve the site's and/or catchment's Important Water-Related Areas.	3.5.1 Practices set in the water stewardship plan to maintain and/or enhance the site's Important Water-Related Areas shall be <b>implemented</b> .	Yes			Practices to maintain site's IWRAs have been implemented and verified.
3.6 Implement plan to provide access to safe drinking water, effective sanitation, and protective hygiene (WASH) for all workers at all premises under the site's control.	3.6.1 Evidence of the site's provision of adequate access to safe drinking water, effective sanitation, and protective hygiene (WASH) for all workers onsite shall be <b>identified</b> and where applicable, <b>quantified</b> .	Yes			Evidence of the site's provision to WASH has been verified. Additional information can be found on supporting evidence for indicator 1.3.8.
	3.6.2 Evidence that the site is not impinging on the human right to safe water and sanitation of communities through their operations, and that traditional access rights for Indigenous and local communities are being respected, and that remedial actions are in place where this is not the case, and that these are effective.	Yes			The site is not impinging on the human right to safe water and sanitation of communities through their operations. Documents of legal compliance and rights to exploit water resources were provided.

	3.7 Implement plan to maintain or improve indirect water use within the catchment.	3.7.1 Evidence that indirect water use targets set in the water stewardship plan, as applicable, have been met shall be <b>quantified</b> .	Yes			Evidence that indirect water use targets set in the water stewardship plan has been verified. Goals and targets will continue as indicated on the water stewardship plan.
		3.7.2 Evidence of engagement with suppliers and service providers, as well as, when applicable, actions they have taken in the catchment as a result of the site's engagement related to indirect water use, shall be <b>identified</b> .	Yes			Evidence of engagement with suppliers, of those who responded, have been verified. Additional supporting evidence can be found on data from indicators 1.4.1 and 1.4.2.
	3.8 Implement plan to engage with and notify the owners of any shared water-related infrastructure of any concerns the site may have.	3.8.1 Evidence of engagement, and the key messages relayed with confirmation of receipt, shall be <b>identified</b> .	Yes			The site has no shared water-related infrastructure.
	3.9 Implement actions to achieve best practice towards AWS outcomes: continually improve towards achieving sectoral best practice having a local/catchment, regional, or national relevance.	3.9.1 Actions towards achieving best practice, related to water governance, as applicable, shall be <b>implemented</b> .	Yes			Actions towards achieving best practice related to water governance have been implemented.
		3.9.2 Actions towards achieving best practice, related to targets in terms of water balance shall be <b>implemented</b> .	Yes			Actions towards achieving best practice, related to targets in terms of water balance have been implemented. Site and catchment initiatives were verified.
		3.9.3 Actions towards achieving best practice, related to targets in terms of water quality shall be <b>implemented</b> .	Yes			Actions towards achieving best practice, related to targets in terms of water quality have been implemented. Site and catchment initiatives were verified.
		3.9.4 Actions towards achieving best practice, related to targets in terms of the site's maintenance of Important Water-Related Areas shall be <b>implemented</b> .	Yes			Actions towards achieving best practice related to targets in for some environmental IWRAs have not been implemented.  <b>OBS 2021.06</b> was issued. The site shall implement actions towards achieving best practice related to targets in terms of the site's maintenance of cultural and community IWRAs. This should be conducted concurrently with reevaluating the size of the catchment.
3.9.5 Actions towards achieving best practice related to targets in terms of WASH shall be <b>implemented</b> .	Yes			Actions towards achieving best practice, related to targets in terms of WASH have been implemented. Site's initiatives were verified.		
<b>Level</b>	<b>Criteria</b>	<b>Indicator</b>	<b>Yes</b>	<b>No</b>	<b>NA</b>	<b>Findings</b>
	4.1 Evaluate the site's performance in light of its actions and targets from its water stewardship plan and demonstrate	4.1.1 Performance against targets in the site's water stewardship plan and the contribution to achieving water stewardship outcomes shall be <b>evaluated</b>	Yes			Performance against targets in the site's water stewardship plan has been evaluated and verified.

	its contribution to achieving water stewardship outcomes.	4.1.2 Value creation resulting from the water stewardship plan shall be <b>evaluated</b> .	Yes			Value creation resulting from the water stewardship has been evaluated and verified.
		4.1.3 The shared value benefits in the catchment shall be identified and where applicable, <b>quantified</b> .	Yes			The shared value benefits in the catchment have been identified and verified.
	4.2 Evaluate the impacts of water-related emergency incidents (including extreme events), if any occurred, and determine the effectiveness of corrective and preventative measures.	4.2.1 A written annual review and (where appropriate) root-cause analysis of the year's emergency incident(s) shall be prepared and the site's response to the incident(s) shall be evaluated and proposed preventative and corrective actions and mitigations against future incidents shall be <b>identified</b> .	Yes			One incident was reported with corrective actions taken and verified.
	4.3 Evaluate stakeholders' consultation feedback regarding the site's water stewardship performance, including the effectiveness of the site's engagement process.	4.3.1 Consultation efforts with stakeholders on the site's water stewardship performance shall be <b>identified</b> .	Yes			Consultation efforts with stakeholders on the site's water stewardship have been identified.
	4.4 Evaluate and update the site's water stewardship plan, incorporating the information obtained from the evaluation process in the context of continual improvement.	4.4.1 The site's water stewardship plan shall be modified and adapted to incorporate any relevant information and lessons learned from the evaluations in this step and these changes shall be <b>identified</b> .	Yes			The site's water stewardship plan was modified and adapted to incorporate any relevant information and lessons learned from the evaluations.
Level	Criteria	Indicator	Yes	No	NA	Findings
	5.1 Disclose water-related internal governance of the site's management, including the positions of those accountable for legal compliance with water-related local laws and regulations.	5.1.1 The site's water-related internal governance, including positions of those accountable for compliance with water-related laws and regulations shall be <b>disclosed</b> .	Yes			The site's water-related internal governance has been identified and disclosed.
	5.2 Communicate the water stewardship plan with relevant stakeholders.	5.2.1 The water stewardship plan, including how the water stewardship plan contributes to AWS Standard outcomes, shall be communicated to relevant stakeholders.	Yes			The water stewardship plan was presented to stakeholders including actions taken and also posted on website.
	5.3 Disclose annual site water stewardship summary, including the relevant information about the site's annual water stewardship performance and results against the site's targets.	5.3.1 A summary of the site's water stewardship performance, including quantified performance against targets, shall be <b>disclosed</b> annually at a minimum.	Yes			Information and documentation on the site's performance was provided including a summary of quantified actions (on website also).

	5.4 Disclose efforts to collectively address shared water challenges, including: associated efforts to address the challenges; engagement with stakeholders; and co-ordination with public-sector agencies.	5.4.1 The site's shared water-related challenges and efforts made to address these challenges shall be <b>disclosed</b> .	Yes			Information provided on actions taken toward multiple shared water challenges on the site for 2020.
		5.4.2 Efforts made by the site to engage stakeholders and coordinate and support public-sector agencies shall be <b>identified</b> .	Yes			Efforts made by the site to engage stakeholders have been documented and identified.
	5.5 Communicate transparency in water-related compliance: make any site water-related compliance violations available upon request as well as any corrective actions the site has taken to prevent future occurrences.	5.5.1 Any site water-related compliance violations and associated corrections shall be <b>disclosed</b> .	Yes			There are procedures in place to disclose any compliance violations. There were no compliance issues reported.
		5.5.2 Necessary corrective actions taken by the site to prevent future occurrences shall be <b>disclosed</b> if applicable.	Yes			Refer to 5.5.1.
		5.5.3 Any site water-related violation that may pose significant risk and threat to human or ecosystem health shall be immediately communicated to relevant public agencies and <b>disclosed</b> .	Yes			Refer to 5.5.1.