



# **Alliance for Water Stewardship Assessment Report**

**Prepared for ORODELTI S.A.**

**Prepared by: SGS**

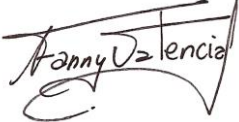

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## REPORT DETAILS

REFERENCE	AWS-000368 - AWS-000376
CERTIFICATE No	<b>SGS2021_AWS0012</b>
REPORT TITLE	<b>ALLIANCE FOR WATER STEWARDSHIP ASSESSMENT REPORT</b>
DATE SUBMITTED:	July 01, August 03, 04, 05 <sup>h</sup> , 2021
CLIENT:	<p>ORODELTI S.A. The certification is a Multisite Certification and include the sites:</p> <ul style="list-style-type: none"> <li>• <b>Site1: Finca Delia Margarita: Recinto Anapoyo - Cantón Naranjito. Guayas - Ecuador</b></li> <li>• <b>Site2: Finca Isabela: Km 2,5 vía Roberto Astudillo. Recinto Venecia Central - Parroquia Roberto Astudillo. Cantón Milagros. Guayas - Ecuador</b></li> </ul>
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STATUS	FINAL
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## 1 EXECUTIVE SUMMARY

The scope of services covers the conformity assessment in compliance with the AWS International Water Stewardship Standard Version 2.0 for ORODELTI S.A., as a stated The certification is a Multisite Certification and include the sites:

- **ORODELTI S.A.- Finca Delia Margarita.**
- **ORODELTI S.A.- Finca Isabela.**

The assessment has been completed in compliance with AWS Certification requirements, Version 2, December 2019. This visit was carried out as **On-site audit**, and also a remote audit, using information technology tools only for documentary review due to the existing restrictions in Ecuador to avoid contagion by the COVID19 Pandemic.

As the audit was conducted during the COVID period, it was followed the “Revised RECERT Interim Offsite Policy FINAL 28 June 2021”, where the requirements were fulfilled:

- It was requested an authorization for a remote audit which was granted prior to the start of the audit.
- Use of technology that will enable virtual site
- The minimum 30-day stakeholder outreach criteria: We made into a4ws.org website, the public publication for this recertification audit. Until now, we do not receive any comment for this topic. The auditor member of SGS and the organization made publicity into social media; and only received a good comment for this process.
- We made a 05 interview's to internal / external stakeholders defined in the stakeholder mapping

SGS has not been received any voluntary feedback from stakeholders (such as complaints, claims).

In addition, after our internal risk assessment, we made **visit on-site to the all farms** to verify various issues, such as capture, discharge, infrastructure, among others. We visit the site, for tour installations and stakeholders' interview in this audit.

Given the document review undertaken, verification of evidence and site visit inspections performed, SGS recommends that ORODELTI S.A. Finca Delia Margarita e Isabela is awarded AWS Core Certified status with a surveillance audit interval of annual frequency.

A total of 06 minor non-conformances were raised during the course of the audit process. The organization will be responded to the findings raised with appropriate root cause analysis and action plans as evidence for each, so the certification could be granted. The actions for the minor non-conformities taken will be followed-up at the first annual surveillance visit.

## **2 SCOPE OF ASSESSMENT**

The scope of services covers the conformity assessment in compliance with the AWS International Water Stewardship Standard Version 2 for ORODELTI S.A (ORODELTI) **for 02 sites:**

- **AWS-000368-ORDELTI S.A.- Finca Delia Margarita.** Recinto Anapoyo – Cantón Naranjito. Guayas - Ecuador
- **AWS-000376-ORDELTI S.A.- Finca Isabela.** Km 2,5 vía Roberto Astudillo. Recinto Venecia Central - Parroquia Roberto Astudillo. Cantón Milagros. Guayas - Ecuador

The assessment has been completed in compliance with AWS Certification requirements, Version 2, December 2019.

The scope for those 02 sites is:

**Field and packing: Cultivation and packaging in conventional banana boxes for export**

**Campo y Planta Empacadora: Cultivo y empaque en cajas de Banano convencional para exportación**

The assessment was conducted during into 4 man-days on-site, from July 01, August 03, 04, 05<sup>h</sup>, 2021. We include on-site visit for tour and stakeholder's interview.

SGS made a Risk Assessment AWS; to review the performance & maturity and location criteria. We determinate, that this audit; could be performed in on-site include a documentary remote review; due to the circumstances of the pandemic. The Information and Communication Technology (ICT) media used: Videoconferences by Teams meetings with the organization, tours and stakeholders' consultations. The use of ICT contributed to the effectiveness of the audit to achieve the established objectives.

During the visit, we can confirm the different aspects to the sites, that are evidence in the table 2.1.



**Table 2.1: Photos Virtual Visit**

FINCA ISABELA	
<p>Collection and Pumping System (surface water)</p> 	<p>Flow Measurement System</p> 
<p>Collection and Pumping System (groundwater)</p> 	<p>Flow Measurement System</p> 



Process Water Treatment System - Recirculation



Process Water Treatment System - Solids  
Decantation prior to discharge.



FINCA DELIA MARGARITA

Catchment System (surface water)



Pumping System (surface water)



Flowmeter



Discharge Point - Irrigation Waters





Pumping System for Process Water Discharge



Chemical Storage Site



FINCA DELIA MARGARITA

Surface Water Collection Site for Irrigation



Flow Meter at Catchment Site



Well Water Collection Site for Irrigation



Flow Meter at Catchment Site



Chemical Storage Sites



Chemical Storage Sites



### 3 DESCRIPTION OF CATCHMENT AND SITE

The geographical scope has been Field and packing plant; include Administrative Office.

The 02 Farms are into the same Catchment. Also consider the discharge in this catchment.

- Superficial Catchment: Guayas River
  - Sub- Catchment: Chimbo River:
    - River Venecia (Site Isabela), River Anapoyo (Site Delia Margarita). All are part to Chimbo River.
  - Discharge: Chimbo River:
    - River Milagro (Site Delia Margarita), River Venecia (Site Isabela). All are part to Chimbo River.
- Underground Catchment: Aquifer: El Milagro
  - Water well of El Milagro Aquifer (Site Delia Margarita and Isabela)
  - There are discharges of infiltration: El Milagro Aquifer (Site Delia Margarita and Isabela).

For AWS, the organization defined Catchment: Chimbo River & El Milagro Aquifer

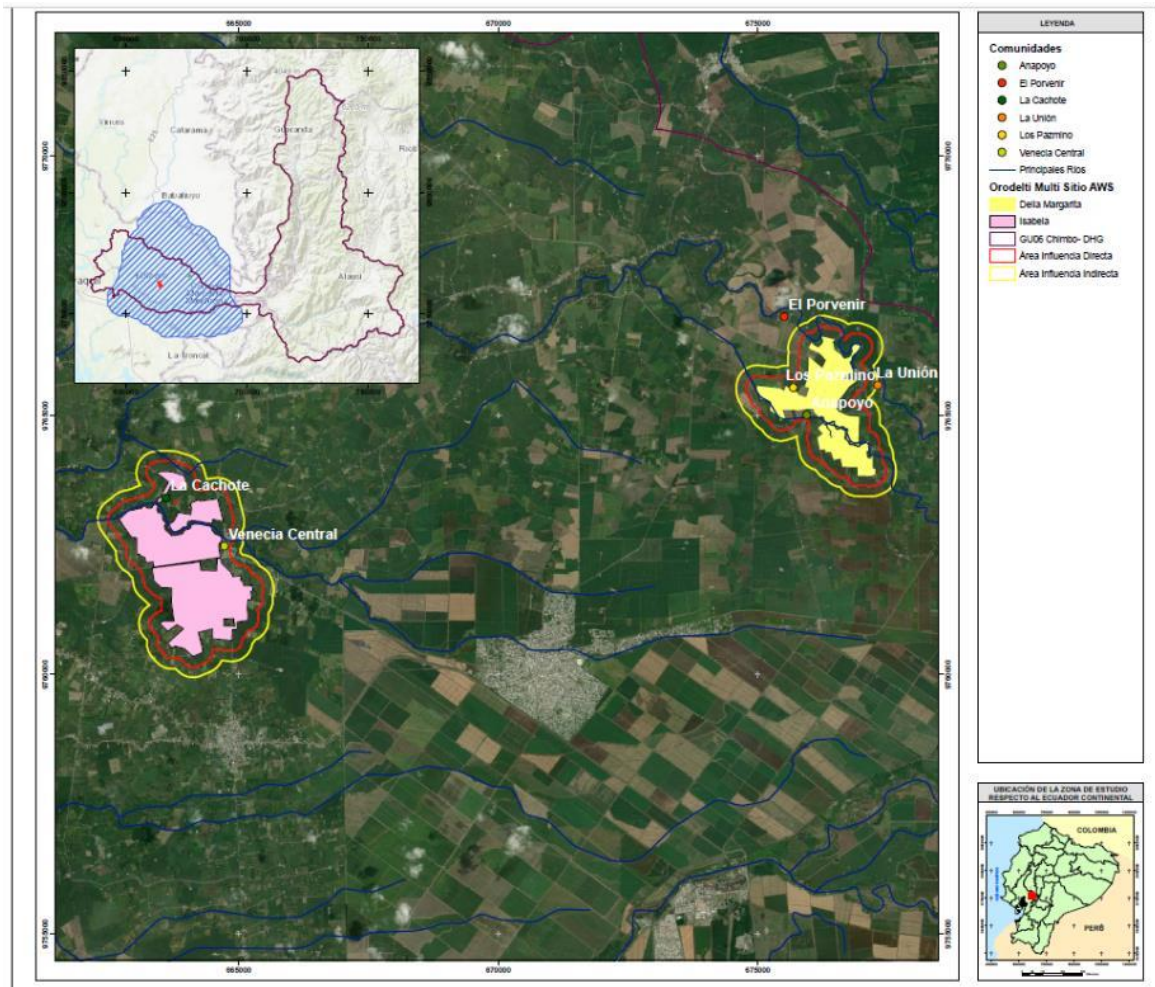
We consider the same catchment, because the water to feed the El Milagro Aquifer, are possible infiltration from Chimbo River.

The Chimbo Catchment is part of the Guayas River Basin, it has the code GU-06; The main river in the Chimbo basin is the Yaguachi, which originates from the Lozán hill in the Bolívar province. The Basin has an area of 4588 km<sup>2</sup>, it is an area with severe water deficit but due to the mountainous conditions, the construction of water storage works is favored.

We presented below a map in order to identify the catchment (Figure 3).



Figure 3.1: Map of Location of the 02 Sites



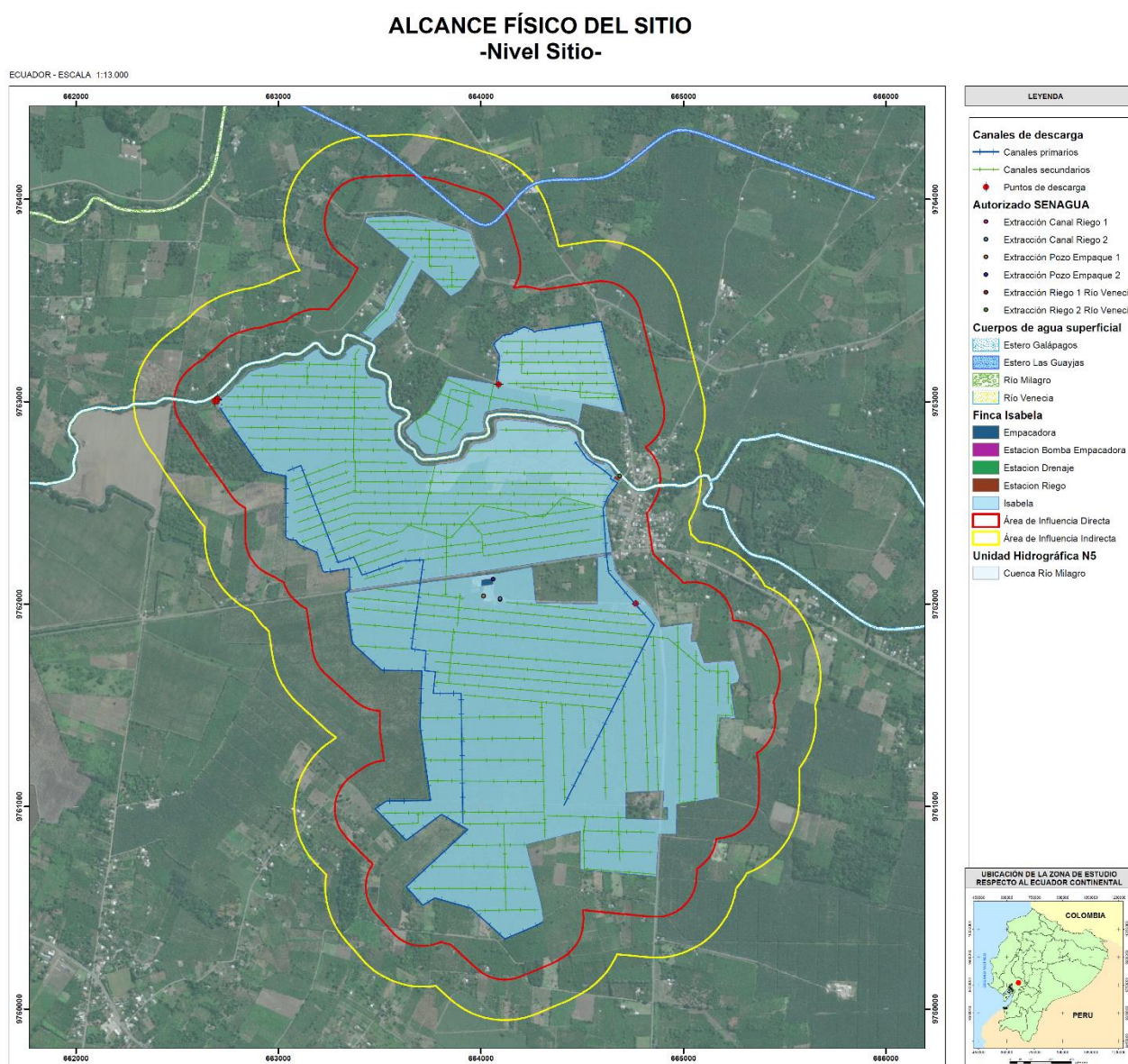
We obtain the description of the catchment, discharges and infrastructure of the both sites.

Farm Isabela: The site has a:

- 01 point of catchment: Venecia River Catchment
- 01 water well from the El Milagro Aquifer
- There are 02 gravity discharges: River Venecia.
- There are discharges of infiltration: El Milagro Aquifer
- It has 03 irrigation stations
- It has 1 purification plant in the packaging sector,
- Also, bathrooms in the field and packing area; Septic tank in field lots in Lot 18, 11, Lot 7 (irrigation station) and Lot 5 (packing plant).

We presented below a map in order to identify the site (Figure 3.2).

Figure 3.2: Map the Site Isabela



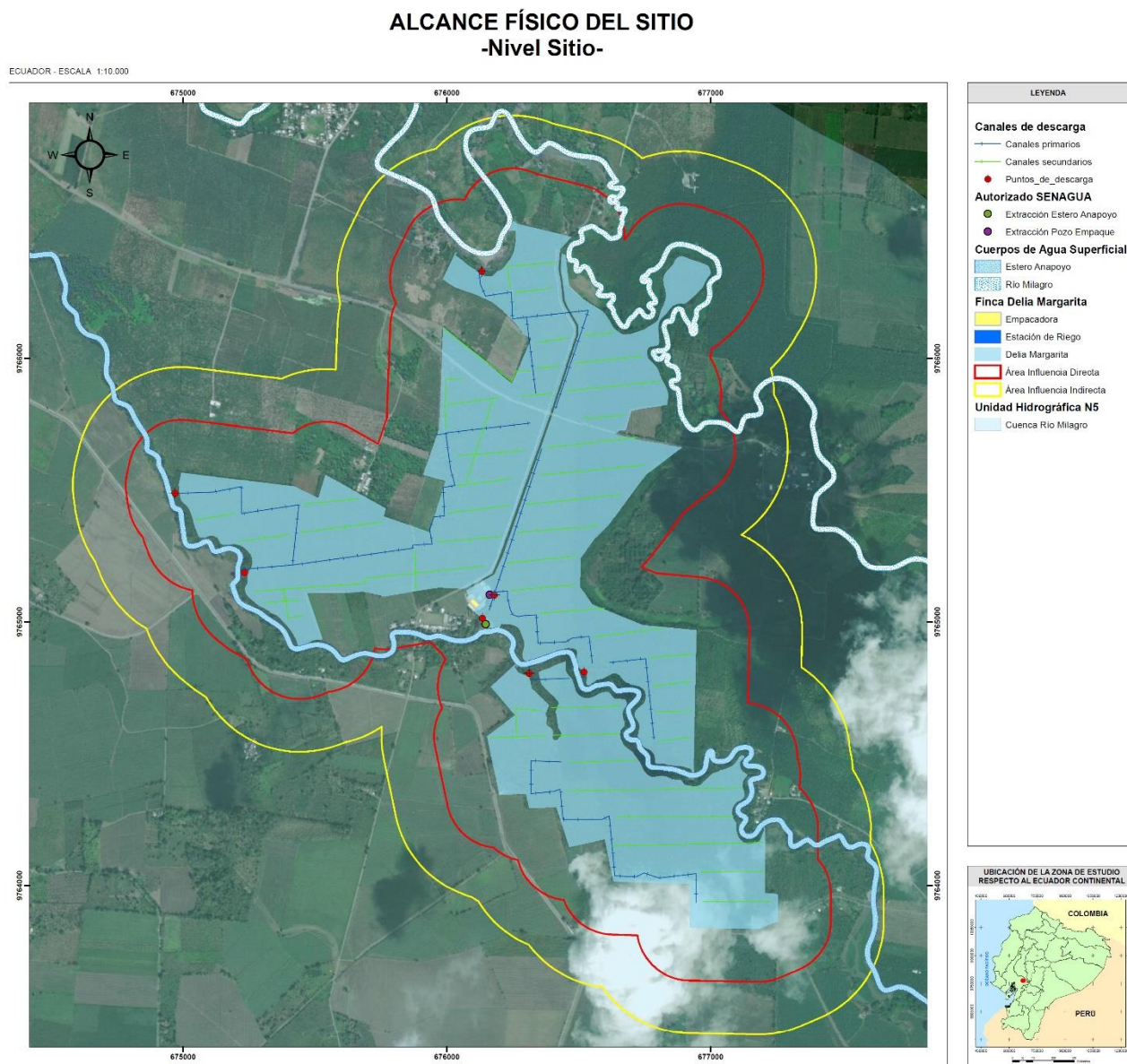
Farm Delia Margarita: The site has a:

- 01 point of catchment: Anapoyo River Catchment
- 01 water well from the El Milagro Aquifer
- There are 04 gravity discharges: River Milagro.
- There are discharges of infiltration: El Milagro Aquifer
- It has 01 irrigation stations
- It has 1 purification plant in the packaging sector,
- Also, bathrooms in the field and packing area; 02 Septic tank.

We presented below a map to identify the site (Figure 3.3).



Figure 3.3: Map the Site Delia Margarita



Also, the organization has a commitment in order to promote all the principals of AWS, such we evidence into the Compromise. (Figure 3.4)

**Figure 3.4: Commitment of Orodelti****Estándar Internacional para la Gestión Sostenible del Agua  
Declaración de compromiso ORODELTÍ S.A**

Samborondón, 3 mayo del 2021.

Yo, ISABEL NARCISA SIGUENZA ROJAS, en calidad de GERENTE GENERAL la empresa Orodelti S.A. y sus unidades de negocios llamadas Hacienda Isabela y Delia Margarita dedicadas a la producción de banano, comprometiéndonos siempre a despachar un producto sano, saludable y con mecanismos consistentes con los requerimientos de nuestros clientes.

Además, conocedores de la normativa AWS "Alliance For Water Stewardship" nos comprometemos a apoyar el objetivo para una adecuada gestión sostenible y responsable del Agua.

Apoyaré los esfuerzos del grupo para alcanzar los resultados de la custodia del agua que se citan a continuación:

1. Implementar y divulgar el progreso de los programas de custodia del agua para lograr los mejores resultados de AWS de la gestión del agua.
2. El grupo AWS se alineará y respaldará los planes existentes de sostenibilidad para la cuenca de captación del recurso hídrico.
3. Motivar la participación abierta y transparente en la custodia del agua a las partes interesadas identificadas por personal de Orodelti S.A.
4. Orodelti S.A. asignará los recursos necesarios para la correcta implementación del Estándar AWS

Además, respetaremos el derecho de los trabajadores *in situ* a tener acceso al agua potable, sanidad adecuada e higiene. Nos comprometemos a realizar las gestiones necesarias a fin de cumplir con todos los requisitos legales y tratados nacionales e internacionales relacionados con el agua.

Mantendremos una coordinación con organismos públicos y apoyaremos en sus esfuerzos de fomentar e implementar políticas relacionadas con el agua

Por último, nos comprometemos con el logro de sus cinco resultados y a divulgar la información relacionada con el agua a todas las audiencias.

Atentamente,

Isabel Siguenza Rojas  
GERENTE GENERAL

## **4 SUMMARY OF SHARED WATER CHALLENGES & IMPORTANT AREAS RELATED TO WATER**

### **Shared challenges**

ORODELTI has identified the shared water challenges and prepared the document “Shared water challenges”. It details the water challenges which are mainly:

- **Waste management and pollution prevention in water bodies:**

Contamination of bodies of water with solid and liquid waste is permanent next to the site and throughout the catchment. The communities continue to burn or dispose of the waste to the rivers because the municipalities do not have the capacity for weekly collection.

- **Access to water**

Currently, some communities cannot easily access water for different uses because the catchments are located at considerable distances.

On the other hand, there is not enough interest and support from the cantonal GADs to facilitate and improve access.

- **Catchment water stress:**

The level of water stress in the Chimbo catchment is 30.8%, which means high; while in the Guayas basin it is 22%, which means a medium level. For this reason, this water deficit is worrisome for all the actors in the catchment since productive and human activities are put at risk at the sites and in the catchment.

Also, they include the different actions to approach those.

### **Important areas related to water**

The organization identified for IWRA different categories for environmental, social, cultural, and economic by evaluation according to the Natural ecosystems, Vegetation coverage, Distance to the farm for the IWRA into catchment & and LMP criteria and legal authorizations for IWRA into Site. Cases:

Into the sites, the organization identified:

- Deep well (Underground) – Level of evaluation 3 – Value: water supply for surrounding communities
- Venecia River – Level of evaluation 3 - Value: water supply for agriculture activities
- Ecosystem created by crop withdrawal, assisted seeding and natural regeneration - – Level of evaluation 3

Into the catchment, the organization identified:

- KBA Tiquibuzo – Level of evaluation 1 - Value: The site is important due to its geographic location, since it is located in a transition zone between the humid forests of Chocó and the drier forests of southern Ecuador.
- Ecological Reserve Manglares Churute – Level of evaluation 4 - Value: There is the Canclón wetland, name of the species of bird that inhabits the site.
- National Recreation Area Isla Santay – Level of evaluation 3 - Value: Bird shelter
- KBA Ciénegas de Guayaquil – Level of evaluation 2 - Value: Two species of birds, one in "danger of extinction" and the other in "least concern".
- Protective Forest Chillanes Bucay – Level of evaluation 2 - Value: Protected area - Premontane Rain Forest of the Northern Andes

## **5 OBJECTIVES**

There are establish the “Water Management Plan ORODELTÍ 2021 ISABELA & DELIA MARGARITA” where the objectives and indicators.

There is a matrix for the “Monitoring Plan”; where monitoring activities and the progress of the achievement of the objectives.

Some objectives are the follow:

1. Promote 2 meetings a year with regulatory entities of the resource in the catchment where the farm has its catchment points, this in order to intervene in the communities influenced by the operations of Orodelti S.A.
2. Use surface and underground water resources during the banana cultivation and harvest phases in a sustainable way in compliance with current legal regulations.
3. Carry out annual monitoring of the water quality parameters used in the cultivation, packaging and water consumption activities in the operations of Orodelti S.A.
4. Execute an awareness campaign for workers and community personnel on the conservation of water resources, motivating reforestation processes with trees in affected areas together with the IWRA.
5. Guarantee adequate access for workers to safe drinking water, effective sanitation and protective hygiene in all the facilities that Orodelti S.A. operates.



## **6 STAKEHOLDERS & PUBLIC CONSULTATION**

The stakeholder's announcements at the AWS website was updated the 07 July 2021, which was prior to the first visit on-site, and it was an open consultation any stakeholder to comment. However, no stakeholder communicated to SGS through this time.

The public consultation also include auditors member of SGS and the organization ORODELTi made publicity into social media, boards and others; and only received a good comments for this process.

In addition, into the preliminary investigation, we have not been detected that the site has been the subject of any complaint or penalty fee related to water by any national authority.

During the audit; also, we performed different interview; in order to confirm their relevant interested and challenges related to water, If they recognize the person responsible for legal compliance of the related issues for ORODELTi, the content of the Water Management Plan of ORODELTi and advances&results; and also the shared actions oriented at good water management. Some cases was:

- Worker of Finca Isabela
- Worker of Finca Delia Margarita
- Community Member of Las Cachotes
- Community Member of Venecia
- Community Member of Recinto Anapoyo
- Community Member of Recinto Hermanos Pazmiño

## 7 INDICATORS CHECKLIST

As per the requirement set out in the AWS certification requirements Section 2.11.3.1 it was prepared a checklist of all the CORE AWS indicators with the relevant reviewed evidence provided by the site and the indicator with which it is associated.

The Audit Checklist – AWS Standard V2.0 is available in the **Annex 1**.

**8 AWS CRITERIA FOR MULTI-SITE:**

We also review the “AWS Certification Requirements v2.0 December 2019”

Clause 4.1.1: Both farms are on the same catchment which is the catchment: Chimbo River & El Milagro Aquifer

Clause 4.1.2: The single management of all the farms is through Orodelti S.A.

Clause 4.1.3: Both farms are agriculture only, and both use water from the aquifers. The products of both farms are mostly exported to international retailers.

Clause 4.2: Multi-site operation, as both farm have the same owner and single management.

Clause 4.3: They fall into Multi-site Certification

- Clause 4.4: Orodelti prepared a formal document approved by the General Manager in order to designed a AWS Multi-site representative Jefferson Loza - Certification Coordinator. His defined responsibilities are:
  - Supervise the continuity of the AWS management system,
  - Keeping it up-to-date,
  - Centralizing the information of the site, and
  - Be the contact with the auditors and representatives of AWS for the purpose of compliance with the certification requirements.

Representative for AWS claims (use of AWS assets – trademark, logos, claims or other intellectual property associated or developed by AWS): Isabel Siguenza Rojas – Gerente.

Also, we show the Multi-site details into the next table.

**Table 8.1: Multi-site Details**

SUB-CODE	FARM NAME	LOCATION	ACTIVITIES	TOTAL AREA (hectares)	GPS Latitude	GPS Altitude
01	Finca Delia Margarita:	Recinto Anapoyo - Parroquia Naranjito. Guayas - Ecuador	Scope in English: Field and packing: Cultivation and packaging in conventional banana boxes for export Alcance en Español: Campo y Planta Empacadora: Cultivo y empaque en cajas de Banano convencional para exportación.	201	2°07'25.38" S	79°24'58.95" W
02	Finca Isabela:	Km 2,5 vía Roberto Astudillo. Recinto Venecia Central - Parroquia Roberto Astudillo. Cantón Milagros. Guayas - Ecuador	Scope in English: Field and packing: Cultivation and packaging in conventional banana boxes for export Alcance en Español: Campo y Planta Empacadora: Cultivo y empaque en cajas de Banano convencional para exportación.	410	2°09'04.79" S	79°31'31.34" W

## 9 AUDIT FINDINGS

The findings raised during the audit were provided to ORODELT, who responded afterwards to the findings through an action plan sent to SGS for review. The action plan was approved by the Lead Auditor.

### Relating to this Audit

#### Non-conformance

As a result, 06 minor non-conformance were raised during the audit process detailed at the Table below 9.1.

**Table 9.1. Current Minor Non-Conformances raised during the AWS audit process**

No.	Type	Ref.	Details	Causes	Action Proposed by Client
1	Minor Non-conformities	1.2.1	<p>The standard states that stakeholders and their water-related challenges will be identified. The process used to identify stakeholders will be identified. This process shall: - Provide evidence of stakeholder consultation on water-related interests and challenges.</p> <p>There is evidence of a partial deviation regarding the registration of the consultation about the interests / challenges of interested parties.</p> <p>The interests of some stakeholders are not properly recorded</p> <p>* Workers. The topics of interest are not specified in the indicated registry, but it is indicated that it is the water quality.</p> <p>* Identification of the interested party of the Chilicay and Manuelita Protective Forest: Their interest has not been identified</p> <p>The proof of consultation with some interested parties is not evident. Cases</p> <p>* Workers: Interest: water quality</p> <p>* Milagrito Community: Interest in wash</p>	<p>* The challenges presented by the stakeholders during the needs assessment meeting are not specified.</p> <p>* Do not detail the scope of the most relevant stakeholders, such as protected areas that are located in the basin where the "" Finca Isabela - Delia Margarita Multisitio Orodelti S.A. "" is located.</p> <p>* There is no adequate reports on the meetings held with stakeholders.</p> <p>* Format used does not have all the necessary criteria according to the requirements of the standards.</p>	<p>1.- Improve the format of the document" "Stakeholders and degree of influence" "which is used in the statement of stakeholders, in said document the most relevant actors will be included according to their importance and interaction in the basin where it is. located "" Finca Isabela - Delia Margarita Multisitio Orodelti SA "" and detail the needs and / or challenges raised. Additional determine the means used for socialization (Mail, WhatsApp Groups and / or face-to-face meetings) of the news, progress, reports and compliance with the water management plan, for its location or interaction with "" Finca Isabela - Delia Margarita Multisitio Orodelti SA "" will be taken as a fundamental basis.</p> <p>2.- Prepare a detailed report on the needs and / or challenges presented by the stakeholders, during the meetings held.</p> <p>3.- Management with the respective entities the needs and / or challenges exposed by the stakeholders.</p>
2	Minor Non-conformities	2.1.1.	<p>The rule states that a signed and publicly disclosed site statement will be identified</p> <p>There is evidence of a partial deviation regarding public disclosure.</p> <p>Although it is evident that the policy has been published on posters for internal interested parties, it is not yet evident how it has been publicly disclosed, for some external parties such as: Government.</p>	<p>* The appropriate means of communication has not been identified, with which it is possible to interact with the "" Stakeholders and degree of influence "".</p> <p>* The content of the policy of "" Finca Isabela - Delia Margarita Multisitio Orodelti S.A. has not been shared with stakeholders.</p>	<p>1.- Identify the means of communication to be used for socialization (Mail, WhatsApp Groups and / or face-to-face meetings) of the news, progress, reports and compliance with the water management plan, based on its location or interaction with "" Finca Isabela - Delia Margarita Multisitio Orodelti SA "".</p> <p>2.- Improve the document "" Socialization with key stakeholders, alliance for water management "", which should include policy and / or</p>

					declaration of "" Finca Isabela - Delia Margarita Multisitio Orodelti S.A. "" 3.- See 1.1 of the corrective action plan "
3	Minor Non-conformities	2.3.2	<p>The standard establishes that a sustainable water management plan will be identified, which will include for each objective:</p> <ul style="list-style-type: none"> <li>- The way in which it will be measured and monitored;</li> <li>- The measures to achieve and maintain it (or exceed it);</li> <li>- The timeframes set to achieve it;</li> </ul> <p>However, the related goal for some objective objectives is not documented within the Water Stewardship Plan.</p> <p>Cases:</p> <p>Goal: Not to exceed the volume of concession - annual frequency. But in the case of Finca Delia, there is no such goal. There is only a reference value in 2021 (Irrigation Board), there was a m3 / year of permit.</p> <p>Goal Wash: Supply the necessary infrastructure related to WASH services for workers. But no goal has been defined</p>	<p>* The new letter or certificate of the use and exploitation of water issued by the Mariscal Sucre "Delia Margarita" "irrigation board has not been considered in the" "Water Management Plan" ".</p> <p>* It has not been considered an appropriate way to calculate the fulfillment of the goals in the "" Water Management Plan "".</p> <p>* The volume of concessions has not been considered in the letter or certificate of the use and exploitation of water issued by the irrigation board of Mariscal Sucre "" Delia Margarita "".</p> <p>* The improvements to issues relevant to WASH have not been considered in the "" Finca Isabela - Delia Margarita Multisitio Orodelti S.A.</p>	<p>1.- Improve the document "Water Management Plan", which will include goals related to the particular realities of the "Finca Isabela - Delia Margarita Multisitio Orodelti S.A.". The quantifiable goals will be identified in each objective for the Multisite management plan, which can be measured after the implementation of actions.</p> <p>2.- Make comparisons between the volume consumed vs the volume granted by the Mariscal Sucre "Delia Margarita" Irrigation Board.</p> <p>3.- Prepare a work plan for the construction of infrastructure according to the needs assessment in the document "Inventory of WASH Infrastructure - Orodelti" and in comparison to the current legal requirement.</p>
4	Minor Non-conformities	3.2.1	<p>The standard establishes that a process will be implemented to verify full legal and regulatory compliance</p> <p>Within the evaluation of legal compliance, the organization had indicated 100% legal compliance. However, they still do not have the fulfillment of any legal requirement and it is evident that it is in process by the authority.</p> <p>Case: They are still in the process for the authorization of groundwater permit since 2019 and the authority is still in process - Finca Delia. There is only one record of the irrigation board of March 2021 where it is indicated that the Farm is registered in said irrigation board effective December 2021.</p>	<p>* In the "Water Management Plan" "not all the particular realities of the" "Finca Isabela - Delia Margarita Multisitio Orodelti S.A." "have been detailed, for which the objectives and goals have not been detailed.</p> <p>* It has not been considered an appropriate way to calculate the fulfillment of the goals in the "" Water Management Plan</p>	<p>1.- Issue a letter and / or communications to the regulatory entity "Senagua", requesting the status of the process for the concession of the emergency well.</p> <p>2.- Issue a letter and / or communications to the regulatory entity "Senagua", the appointment to the Mariscal Sucre Irrigation Board, as the only regulatory entity in water concession issues.</p> <p>3.- Carry out the monitoring of the objective of Legal Compliance in the Water Management Plan, according to the status of the procedures carried out before the authority.</p>
5	Minor Non-conformities	4.1.1	<p>The standard states that performance will be evaluated against the objectives of the site's sustainable water management plan and contribution to achieving the results of sustainable water management.</p> <p>However, there is evidence of a partial deviation regarding the presentation of documented information with respect to the previous point. Cases:</p> <ul style="list-style-type: none"> <li>• In the "Water Stewardship Plan", the organization indicates that it carries out the performance evaluation against the objectives. However, although in said Plan</li> </ul>	<p>* The new letter or certificate of the use and exploitation of water issued by the Mariscal Sucre "Delia Margarita" "irrigation board has not been considered in the" "Water Management Plan.</p> <p>* The percentages of compliance with the goals are inadequately detailed in the "" Water Management Plan</p> <p>* Isabela: The justifications for the increase in water consumption by activity have not been made.</p> <p>* No reviews have been made of recommendations for the</p>	<p>1.- Improve the document "Water Management Plan", which will include goals related to the particular realities of the "Finca Isabela - Delia Margarita Multisitio Orodelti S.A.". </p> <p>2.- Improve digital records where the movements of the meters located in the packaging activity are tabulated.</p> <p>3.- Carry out periodic verifications (every quarter), to monitor compliance with the objectives and goals detailed in the "Water Management Plan".</p>



			<p>there is a monitored% of follow-up; This is the measurement of the progress of the activities; but not specifically of performance with respect to objectives; and rather the organization has it documented in other records.</p> <p>Likewise, in some objectives, of which the calculation is not consistent with the form of measurement determined in the Custody Plan; o The assessment does not include any analysis or action for cases of non-compliance. Cases</p> <ul style="list-style-type: none"> <li>• Goal: Circulation greater than 60%. At Finca Delia, there is a 23% - 2nd period of 2021. However, the measurement of the non-use of the recirculator is being monitored; and not the use of the circulator that has been defined in the goal.</li> <li>• • Goal: Have more than 60% recirculation - tri-period measurement frequency. In the 2nd period Finca Isabel, did not meet the goal, and no action is taken as part of the performance evaluation</li> <li>• • Goal: used water sheet less than recommended lanin - tri-period measurement frequency. In period 1 and 2 Finca Isabel, did not meet the goal, and no action is taken as part of the performance evaluation</li> </ul>	<p>irrigation sheet vs. the applied irrigation sheets.</p> <p>* In the "" Water Management Plan "" not all the particular realities of the "" Finca Isabela - Delia Margarita Multisitio Orodelti S.A. "" have been detailed, for which the objectives and goals need to be detailed.</p> <p>* It has not been considered an appropriate way to calculate the fulfillment of the goals in the "" Water Management Plan"</p>	<p>4.- Make Justification of the increase in water consumption by activity.</p> <p>5.- Make a comparison of the recommendations of the irrigation sheet vs the applied irrigation sheets.</p>
6	Minor Non-conformities	5.3.1	<p>The standard establishes that a summary of the results of the sustainable water management of the site, including the quantified results in relation to the objectives, will be disclosed at least once a year.</p> <p>Although there is communication with interested parties on 02.08.21 (via mail and whatsapp) to publicize the AWS and the plan, some of the results of the management system in relation to said objectives have not yet been communicated; and as well as their feedback; for some stakeholders. Case: Communities</p>	<p>* The appropriate means of communication has not been identified, with which to interact with the "Stakeholders and degree of influence.</p> <p>* There has not been a complete summary of the results of the survey and / or challenges that have been addressed by "Finca Isabela - Delia Margarita Multisitio Orodelti S.A."</p>	<p>Improve the document "Socialization with key stakeholders, alliance for water management", which should include the results of the management system of "Finca Isabela - Delia Margarita Multisitio Orodelti S.A." "</p> <p>Detailed report of the socialization with the key actors of the alliance for water management, at least once a year</p>

### Observation and opportunities improvement

The certification audit for ORODELT S.A. against the AWS Standard is for the initial assessment and as such allows for many areas for improvement going forward.

Some observations were raised during the audit which are for future improvement, but no action is necessary during this audit period, however, these issues would most likely come under scrutiny during a surveillance audit scenario.

OBS: Observations. OI: Opportunity Improvement

OBS 01: It is observed that the disclosure of the challenge "waste management and pollution prevention in bodies of water" and the project of wastewater in Isabela site it has not yet been documented. However the internal stakeholders knows about the project. (5.4.1)

OI 02: Consider make a plan for publicity the challenges and status according to each stakeholder. (5.4)

OBS-03. Consider align the names of the Water Risk Filter Matrix from Step 01 in the actions to address risks from Step 2. (2.4)

## **10 SUMMARY**

In reviewing the evidence presented by: ORODELT S.A., it is apparent that a considerable quantity of effort and work has been put into the preparation for the audit for Alliance for Water Stewardship Certification.

The minor non-conformances were all situations where ORODELT S.A. was considered to have partially met the AWS Core criterion requirement but were requested to make some improvements to be considered fully compliant at the next surveillance visit. Also, some of them has been identified for the same organizations and consider as a “risk” and they proposed action plan.

Observations were made during the audit, these are to be considered as areas for improvement which will likely be reviewed in future surveillance audits, no action is required on behalf of the organization during this audit cycle.

The action plan submitted to SGS in response to the findings was reviewed and evaluated for compliance to the AWS standard. All actions were accepted for implementation and the actions taken will be reviewed at the first surveillance.

## 11 CONCLUSIONS AND RECOMMENDATIONS

The organization has demonstrated effective implementation of its management system and is capable of achieving its policy objectives, as well as the intended results of the respective management system

Given the evidence review in visit inspections performed and remote documentary review, SGS recommends that, based on the results of this audit, **ORODELTI S.A.** to awarded **AWS Certification Core level for their 02 Sites on a Multi-site Certificate covering Finca Delia Margarita & Finca Isabella, to AWS International Water Stewardship Standard Version 2.0.**

The audit frequency is recommended to be annually.

## **12 REFERENCES**

1. Orodelti v2 audit guide document
2. Mapping the physical scope of the site
3. Stakeholders and Degree of Influence
4. Water-related data
5. Orodelti Commitment Letter 2021 - 01
6. Orodelti AWS Water Management Plan
7. AWS Monitoring Plan
8. Orodelti AWS Group Results



## 13 ANNEX 1: AUDIT CHECKLIST – AWS STANDARD V2.0

	CRITERIA	INDICATORS	EVIDENCE	NON CONFORMITIES
1.1.	Gather information to define the site's physical scope for water stewardship purposes, including: its operational boundaries; the water sources from which the site draws; the locations to which the site returns its discharges; and the catchment(s) that the site affect(s) and upon which it is reliant.	<p>1.1.1 The physical scope of the site shall be mapped, considering the regulatory landscape and zone of stakeholder interests, including:</p> <ul style="list-style-type: none"> <li>- Site boundaries;</li> <li>- Water-related infrastructure, including piping network, owned or managed by the site or its parent organization;</li> <li>- Any water sources providing water to the site that are owned or managed by the site or its parent organization;</li> <li>- Water service provider (if applicable) and its ultimate water source;</li> <li>- Discharge points and waste water service provider (if applicable) and ultimate receiving water body or bodies;</li> <li>- Catchment(s) that the site affect(s) and is reliant upon for water.</li> </ul>	<p>The geographical scope has been Field and packing plant; includes Administrative Office.</p> <p>The 02 farms are located in the same catchment area. Also consider the discharge in this basin.</p> <ul style="list-style-type: none"> <li>• Surface catchment: Guayas river</li> <li>o Sub-basin: Chimbo River: <ul style="list-style-type: none"> <li>§ Venice River (Isabela Site), Anapoyo River (Delia Margarita Site). They are all part of the Chimbo River.</li> <li>o Download: Chimbo River: <ul style="list-style-type: none"> <li>§ Río Milagro (Delia Margarita Site), Venice River (Isabela Site). They are all part of the Chimbo River.</li> </ul> </li> </ul> </li> <li>• Underground catchment: Aquifer: El Milagro <ul style="list-style-type: none"> <li>§ El Milagro Aquifer water well (Delia Margarita and Isabela Site)</li> <li>§ There are infiltration spills: El Milagro Aquifer (Delia Margarita and Isabela site).</li> </ul> </li> </ul> <p>For AWS, the organization defined Catchment: Chimbo River and El Milagro Aquifer</p> <p>We consider the same basin, because the water that feeds the El Milagro Aquifer is possible infiltration of the Chimbo River.</p> <p>The Chimbo Basin is part of the Guayas River Basin, it has the code GU-06; The main river in the Chimbo basin is the Yaguachi, which originates from the Lozán hill in the Bolívar province. The Basin has an area of 4588 km<sup>2</sup>, it is an area with severe water deficit but due to mountainous conditions, the construction of water storage works is favored.</p> <p>We obtain the description of the basin, discharges and infrastructure of both sites.</p> <p>Finca Isabela: The site has:</p> <ul style="list-style-type: none"> <li>• 01 catchment point: Venice River catchment</li> <li>• 01 water well of the El Milagro Aquifer</li> <li>• There are 02 gravity discharges: Venice River.</li> <li>• There are infiltration spills: El Milagro Aquifer</li> <li>• It has 03 irrigation stations</li> <li>• It has 1 treatment plant in the packaging sector,</li> <li>• In addition, toilets in the field and packing area; Septic tank in field lots in Lot 18, 11, Lot 7 (irrigation station) and Lot 5 (packing plant).</li> </ul> <p>Finca Delia Margarita: The site has:</p> <ul style="list-style-type: none"> <li>• 01 catchment point: catchment of the Anapoyo river</li> <li>• 01 water well of the El Milagro Aquifer</li> <li>• There are 04 gravity discharges: Río Milagro.</li> <li>• There are infiltration spills: El Milagro Aquifer</li> <li>• It has 01 irrigation stations</li> <li>• It has 1 treatment plant in the packaging sector,</li> <li>• In addition, toilets in the field and packing area; 02 Septic tank.</li> </ul>	NOT APPLICABLE

1.2.	Understand relevant stakeholders, their waterrelated challenges, and the site's ability to influence beyond its boundaries.	<p>1.2.1 Stakeholders and their water-related challenges shall be identified. The process used for stakeholder identification shall be identified. This process shall:</p> <ul style="list-style-type: none"> <li>- Inclusively cover all relevant stakeholder groups including vulnerable, women, minority, and Indigenous people;</li> <li>- Consider the physical scope identified, including stakeholders, representative of the site's ultimate water source and ultimate receiving water body or bodies;</li> <li>- Provide evidence of stakeholder consultation on water-related interests and challenges;</li> <li>- Note that the ability and/or willingness of stakeholders to participate may vary across the relevant stakeholder groups;</li> <li>- Identify the degree of stakeholder engagement based on their level of interest and influence.</li> </ul>	<p>We review the record: List of AWS stakeholders. They identified a stakeholders such: Mariscal Sucre Irrigation Board Ministry of Environment and Water Ministry of Agriculture and Livestock Guayas Prefecture Hcda " San Juan " Hcda of Don Euloterio Alvarado WWF Dole Enclosure " La Cachote " Enclosure " Central Venice " Hcda Maria Isabel Aerial spraying track (Planca Piedra) Nearby farms (Grass, Cane, Corn, Rice and Cocoa) Nearby farms (Corral Animal Raising) Autonomous Decentralized Government of Milagro- GADP Roberto Astudillo. Farm workers Basic Education School " Carlos Benjamin Rosales " Dale Foundation</p>	<p>NC Minor: The standard states that stakeholders and their water-related challenges will be identified. The process used to identify stakeholders will be identified. This process shall: - Provide evidence of stakeholder consultation on water-related interests and challenges. There is evidence of a partial deviation regarding the registration of the consultation about the interests / challenges of interested parties. The interests of some stakeholders are not properly recorded * Workers. The topics of interest are not specified in the indicated registry, but it is indicated that it is the water quality. * Identification of the interested party of the Chilicay and Manuelita Protective Forest: Their interest has not been identified</p> <p>The proof of consultation with some interested parties is not evident. Cases * Workers: Interest: water quality * Milagrito Community: Interest in wash</p>
		<p>1.2.2 Current and potential degree of influence between site and stakeholder shall be identified, within the catchment and considering the site's ultimate</p>	<p>There is a matrix where the stakeholders and their degree of influence are evidenced The organization has a method that allows it to assess the degree of stakeholder influence on good water governance. Stakeholder List registry is reviewed, updated as of May-21. The method analyzes ability to influence, degree of influence, ability to generate change. "</p>	NOT APPLICABLE

		water source and ultimate receiving water body for wastewater.		
1.3.	Gather water-related data for the site, including: water balance; water quality, Important Water-Related Areas, water governance, WASH; water-related costs, revenues, and shared value creation.	1.3.1 Existing water-related incident response plans shall be identified.	There are emergencies such as fire, flood, earthquake, etc. There is an emergency plan. For example, there is a procedure for the action of brigade members and there are drills and brigades. No emergency has been generated.	NOT APPLICABLE
		1.3.2 Site water balance, including inflows, losses, storage, and outflows shall be identified and mapped.	There is a water balance for each farm, with a balance of 52 weeks and map. The inlets and outlets and the storage of water in the soil are evidenced. The flow include: Precipitation Irrigation, Water for the production process and domestic activities, Water for human consumption, Water used in aerial spraying, Total evapotranspiration Water that is exported with the fruit Surface drainage of the growing area, Discharge of wastewater from the production process and domestic activities, Water stored in the soil and that which infiltrates the aquifer	NOT APPLICABLE
		1.3.3 Site water balance, inflows, losses, storage, and outflows, including indication of annual variance in water usage rates, shall be quantified. Where there is a water-related challenge that would be a threat to good water balance for people or environment, an indication of annual high and low variances shall be quantified.	There is a water balance for each farm, with a balance of 52 weeks and map. The inlets and outlets and the storage of water in the soil are evidenced. Case: Delia Margarita: In a first analysis, according to table 1, the total water inlets to the Farm during 2020 was 3.18 million cubic meters; while the total exits add up to 1.51 million cubic meters. The remaining 1.66 million cubic meters correspond to the water that drains superficially, the water that is stored in the ground plus that that infiltrates and goes to the underground layers. Table 1 and Figures 1 and 2 present these data graphically. Isabela: In a first analysis, according to table 1, the total water inlets to the Farm during 2020 was 9.29 million cubic meters; while the total exits add up to 3.18 million cubic meters. The remaining 6.11 million cubic meters correspond to the water that is stored in the soil, plus the one that infiltrates and goes to the underground layers. Table 1 and Figures 1 and 2 present these data graphically.	NOT APPLICABLE
		1.3.4 Water quality of the site's water source(s), provided waters, effluent and receiving water bodies shall be quantified. Where there is a water-related challenge that would be a threat to good water quality status for people or environment, an indication of annual, and	There is the analysis of residual water discharge. Minimum frequency once a year. It is evidenced that they comply with LMP. There is no a challenge	NOT APPLICABLE

		where appropriate, seasonal, high and low variances shall be quantified.		
		1.3.5 Potential sources of pollution shall be identified and if applicable, mapped, including chemicals used or stored on site.	Possible sources of contamination have been identified in the Map of possible sources of contamination in each Farm. For example in the agrochemical and waste warehouse. The warehouse has cement floors and a contingency kit	NOT APPLICABLE
		1.3.6 On-site Important Water-Related Areas shall be identified and mapped, including a description of their status including Indigenous cultural values.	The organization identified for IWRA different categoric for environmental, social, cultural, and economic by evaluation according to LMP criteria and legal authorizations for IWRA into Site. Cases: Into the sites, the organization identified: <ul style="list-style-type: none"> <li>• Deep well (Underground) – Level of evaluation 3 – Value: water supply for surrounding communities</li> <li>• Venecia River – Level of evaluation 3 - Value: water supply for agriculture activities</li> <li>• Ecosystem created by crop withdrawal, assisted seeding and natural regeneration - – Level of evaluation 3</li> </ul>	NOT APPLICABLE
		1.3.7 Annual water-related costs, revenues, and a description or quantification of the social, cultural, environmental, or economic water-related value generated by the site shall be identified and used to inform the evaluation of the plan in 4.1.2.	There is a Table with the costs related to water and the applicable social, economic and environmental value "1.3.7 Cost related to water 2020"	NOT APPLICABLE
		1.3.8 Levels of access and adequacy of WASH at the site shall be identified.	Evaluation of compliance with WASH criteria for each Farm through the WBCSD Water methodology. There is the excel "1.3.8 WBCSD_WASH_Herramienta_Autoevaluación". Compliance with Wash is evident, but some improvements in some Farms	NOT APPLICABLE
1.4.	Gather data on the site's indirect water use, including: its	1.4.1 The embedded water use of primary inputs, including	There is an excel Identification of primary inputs. They have Urea phosphate, etc. However, they are not in the same water catchment. For this reason is no applicable	NOT APPLICABLE

	primary inputs; the water use embedded in the production of those primary inputs the status of the waters at the origin of the inputs (where they can be identified); and water used in outsourced water-related services.	quantity, quality and level of water risk within the site's catchment, shall be identified.		
		1.4.2 The embedded water use of outsourced services shall be identified, and where those services originate within the site's catchment, quantified.	There is an excel Identification of services such as fertilization. . However, they are not the same water catchment. For this reason is no aplicable	NOT APPLICABLE
1.5.	Gather water-related data for the catchment, including: water governance, water balance, water quality, Important Water-Related Areas, infrastructure, and WASH.	1.5.1 Water governance initiatives shall be identified, including catchment plan(s), water-related public policies, major publicly-led initiatives under way, and relevant goals to help inform site of possible opportunities for water stewardship collective action.	They have the document "1.5.1 Guayas water governance initiatives" The initiatives identified are: Management of the Chimbo basin management plan Execution of ordinances Application of the tariff for use and exploitation of raw water Execution of powers of the provincial GADs: Chimborazo, Bolívar and Guayas Conservation of areas under the National System of Protected Areas and Socio Bosque	NOT APPLICABLE
		1.5.2 Applicable water-related legal and regulatory requirements shall be identified, including legally-defined and/or stakeholder-verified customary water rights.	There is the "SGI 2020 Legal Matrix" where the legal requirements applicable to the organization and monitoring have been identified. Cases: Regulation of the Water Resources Law, Uses and Use of Water Text of the Secondary Legislation of the Ministry of the Environment, Book VI Regulation on Authorizations for the Use and Exploitation of Water	NOT APPLICABLE
		1.5.3 The catchment water-balance, and where applicable, scarcity, shall be quantified, including indication of annual, and where appropriate, seasonal, variance.	There is the Regional Hydraulic Plan of the Guayas Hydrographic Demarcation, where, with the analysis of the organization's staff, it has been determined that in September, there is a deficit. In addition, it is concluded that 22% of water stress	NOT APPLICABLE



	<p>1.5.4 Water quality, including physical, chemical, and biological status, of the catchment shall be identified, and where possible, quantified. Where there is a water-related challenge that would be a threat to good water quality status for people or environment, an indication of annual, and where appropriate, seasonal, high and low variances shall be identified.</p>	<p>There is "WATER QUALITY CATCHMENT LEVEL" and it is part of the Regional Hydraulic Plan of the Guayaquil Hydrographic Demarcation of 2015: The water quality data is analyzed in 94 monitoring stations where it is shown that the water quality studies of the basin and at no point does it comply with the LMP (Maximum permissible limits), especially in terms of coliforms and others. In addition, there is no monitoring of the quality of the aquifer water.</p> <p>There is a puntal There is no annual concentration seasonal variation, because the data is only a puntal studies.</p>	NOT APPLICABLE
	<p>1.5.5 Important Water-Related Areas shall be identified, and where appropriate, mapped, and their status assessed including any threats to people or the natural environment, using scientific information and through stakeholder engagement.</p>	<p>The organization identified for IWRA different categoric for environmental, social, cultural, and economic by evaluation according to the Natural ecosystems, Vegetation coverage, Distance to the farm for the IWRA into catchment . Cases:</p> <p>Into the catchment, the organization identified:</p> <ul style="list-style-type: none"> <li>• KBA Tiquibuzo – Level of evaluation 1 - Value: The site is important due to its geographic location, since it is located in a transition zone between the humid forests of Chocó and the drier forests of southern Ecuador.</li> <li>• Ecological Reserve Manglares Churute – Level of evaluation 4 - Value: There is the Canción wetland, name of the species of bird that inhabits the site.</li> <li>• National Recreation Area Isla Santay – Level of evaluation 3 - Value: Bird shelte</li> <li>• KBA Ciénegas de Guayaquil – Level of evaluation 2 - Value: Two species of birds, one in "danger of extinction" and the other in "least concern".</li> <li>• Protective Forest Chillanes Bucay – Level of evaluation 2 - Value: Protected area - Premontane Rain Forest of the Northern Andes</li> </ul>	NOT APPLICABLE
	<p>1.5.6 Existing and planned water-related infrastructure shall be identified, including condition and potential exposure to extreme events.</p>	<p>Se ha identificado en el documeto 1.5.6 Infraestructura identificada existente cuenca Guayas, infraestructura tal como:</p> <p>Sistemas de riego Sistemas de agua para consumo humano Minicentrales hidroeléctricas Exposición de infraestructura hídrica actual Control de inundaciones Obras de almacenamiento de agua Obras de derivación o bombeo</p>	NOT APPLICABLE
	<p>1.5.7 The adequacy of available WASH services within the catchment</p>	<p>In the document "1.5.7 Suitability of wash basin Guayas services" the state of Wash in the basin is analyzed</p> <p>Case: Deficit of water for human consumption In 2010 this deficit was 1.86 hm<sup>3</sup>, by 2025 it will be 5.46 and 7.58 hm<sup>3</sup> by 2035 (Memory-PHRDHG).</p>	NOT APPLICABLE

		shall be identified.		
1.6.	Understand current and future shared water challenges in the catchment, by linking the water challenges identified by stakeholders with the site's water challenges.	1.6.1 Shared water challenges shall be identified and prioritized from the information gathered.	<p>ORODELTI has identified the shared water challenges and prepared the document "Shared water challenges". It details the water challenges which are mainly:</p> <ul style="list-style-type: none"> <li>• Waste management and pollution prevention in water bodies: Contamination of bodies of water with solid and liquid waste is permanent next to the site and throughout the catchment. The communities continue to burn or dispose of the waste to the rivers because the municipalities do not have the capacity for weekly collection.</li> <li>• Access to water Currently, some communities cannot easily access water for different uses because the catchments are located at considerable distances. On the other hand, there is not enough interest and support from the cantonal GADs to facilitate and improve access.</li> <li>• Catchment water stress: The level of water stress in the Chimbo catchment is 30.8%, which means high; while in the Guayas basin it is 22%, which means a medium level. For this reason, this water deficit is worrisome for all the actors in the catchment since productive and human activities are put at risk at the sites and in the catchment. Also, they include the different actions to approach those and prioritization.</li> </ul>	NOT APPLICABLE
		1.6.2 Initiatives to address shared water challenges shall be identified.	<p>ORODELTI has identified the shared water challenges and prepared the document "Shared water challenges". It details the water challenges actions. Cases:</p> <p>As part of the execution of the proposed strategy, develop an information and training program for the prevention and control of contamination of the main rivers of the catchment, in coordination with local governments and producer associations. Influence with local governments and ministries on the need to consider water stress as a fundamental challenge in the management plans of the catchment in order to propose, in coordination with local governments and producers' association, that budgets be assigned in planning for the application of good practices</p>	NOT APPLICABLE
1.7.	Understand the site's water risks and opportunities: Assess and prioritize the water risks and opportunities affecting the site based upon the status of the site, existing risk management plans and/or the issues and future risk trends identified in 1.6.	1.7.1 Water risks faced by the site shall be identified, and prioritized, including likelihood and severity of impact within a given timeframe, potential costs and business impact.	<p>The 1.7.1 AWS Water Risk Filter has been revised The organization has used the Water Risk Filter tool. Risks have been determined:</p> <ul style="list-style-type: none"> <li>*Physical Level Delia 3.42 Isabela 3.03</li> <li>* Regulatory Risk Level.Delia 2.5 Isabela 3.25</li> <li>* Reputational Risk Level .Delia 2 Isabela 2</li> <li>*Others Level Level .Delia 2.25 Isabela 3.25</li> </ul>	NOT APPLICABLE
		1.7.2 Water-related opportunities shall be identified.	<p>There is a "Matrix of opportunities 1.7.2" where the opportunities have been defined Cases: Research and creation of new technologies for the optimal use and exploitation of water.</p>	NOT APPLICABLE

		identified, including how the site may participate, assessment and prioritization of potential savings, and business opportunities.	Management of a strategy for the efficient use of fertilizers and detergents and design a mechanism for the replacement of fertilizers.	
1.8.	Understand best practice towards achieving AWS outcomes: Determining sectoral best practices having a local/catchment, regional, or national relevance.	1.8.1 Relevant catchment best practice for water governance shall be identified.	There is "1.8.1 Chimbo Water Governance Best Practices" Case: Training for key actors such as directors of environmental management of municipalities and members of parish councils, of a priority sector of the upper basin (Bolívar provincial GAD, Guaranda and San Miguel cantonal GAD, Salinas parish GAD) on management principles sustainable water	NOT APPLICABLE
		1.8.2 Relevant sector and/or catchment best practice for water balance (either through water efficiency or less total water use) shall be identified.	There is the document 1.8.2 Best practices Chimbo hydric balance Case:Carry out a more effective climate and soil monitoring (using TDR 100 equipment or other humidity sensors) in order to determine the optimal irrigation needs and thereby facilitate more pragmatic irrigation recommendations.	NOT APPLICABLE
		1.8.3 Relevant sector and/or catchment best practice for water quality shall be identified, including rationale for data source.	They have identified the document is 1.8.3 Best practices Chimbo water quality Case:Treatment of wastewater from the packing plant for its reuse in irrigation of gardens, forest plantations, other crops, etc	NOT APPLICABLE
		1.8.4 Relevant catchment best practice for site maintenance of Important Water-Related Areas shall be identified.	There is a document 1.8.4 Best Practices Areas related to Chimbo Water Case:Participate in work meetings or basin councils convened by the MAE	NOT APPLICABLE
		1.8.5 Relevant sector and/or catchment best practice for site provision of equitable and adequate WASH services shall be identified.	The organization has identified practical improvements in document 1.8.5 Best practices wash Guayas Case:Adaptation of toilets and showers for men and women; These adjustments must also consider the presence of people with disabilities, older adults, etc.	NOT APPLICABLE
	CRITERIA	INDICATORS	EVIDENCES	NON CONFORMITIES

2.1.	Commit to water stewardship by having the senior-most manager in charge of water at the site, or if necessary, a suitable individual within the organization head office, sign and publicly disclose a commitment to water stewardship, the implementation of the AWS Standard and achieving its five outcomes, and the allocation of required resources.	<p>2.1.1 A signed and publicly disclosed site statement OR organizational document shall be identified. The statement or document shall include the following commitments:</p> <ul style="list-style-type: none"> <li>- That the site will implement and disclose progress on water stewardship program(s) to achieve improvements in AWS water stewardship outcomes</li> <li>- That the site implementation will be aligned to and in support of existing catchment sustainability plans</li> <li>- That the site's stakeholders will be engaged in an open and transparent way</li> <li>- That the site will allocate resources to implement the Standard.</li> </ul>	<p>"There is the ""1- Letter of commitment of 2021"" signed by the senior management This commitment includes:</p> <ul style="list-style-type: none"> <li>- Implementation and dissemination of the standard.</li> <li>- Development of the standard considering site sustainability plans.</li> <li>- Involve stakeholders.</li> <li>- Assign resources."</li> </ul>	<p>NC Minor: The rule states that a signed and publicly disclosed site statement will be identified</p> <p>There is evidence of a partial deviation regarding public disclosure.</p> <p>Although it is evident that the policy has been published on posters for internal interested parties, it is not yet evident how it has been publicly disclosed, for some external parties such as: Government.</p>
2.2.	Develop and a document process to achieve and maintain legal and regulatory compliance.	<p>2.2.1 The system to maintain compliance obligations for water and wastewater management shall be identified, including:</p> <ul style="list-style-type: none"> <li>- Identification of responsible persons/positions within facility organizational structure</li> <li>- Process for submissions to regulatory agencies.</li> </ul>	<p>The organization has a work team (technicians associated with water management and area chief) who are in charge of the continuous evaluation of compliance with applicable national and local legal requirements.</p> <p>According to what is established in the Environmental Impact Study of the organization, it is audited once a year by an external entity determined by the Competent National Authority and presents the result of said evaluation. Information related to the immediately preceding year (2020) is reviewed</p> <p>Positions:</p> <ul style="list-style-type: none"> <li>- Area managers (2);</li> <li>- Technical Chief (1) "</li> </ul>	NOT APPLICABLE

2.3.	Create a water stewardship strategy and plan including addressing risks (to and from the site), shared catchment water challenges, and opportunities.	<p>2.3.1 A water stewardship strategy shall be identified that defines the overarching mission, vision, and goals of the organization towards good water stewardship in line with this AWS Standard.</p> <p>2.3.2 A water stewardship plan shall be identified, including for each target:</p> <ul style="list-style-type: none"> <li>- How it will be measured and monitored</li> <li>- Actions to achieve and maintain (or exceed) it</li> <li>- Planned timeframes to achieve it</li> <li>- Financial budgets allocated for actions</li> <li>- Positions of persons responsible for actions and achieving targets</li> <li>- Where available, note the link between each target and the achievement of best practice to help address shared water challenges and</li> </ul>	<p>There are establish the "Water Management Plan ORODELTÍ 2021 ISABELA &amp; DELIA MARGARITA" where the objectives and indicators.</p> <p>There is a matrix for the "Monitoring Plan"; where monitoring activities and the progress of the achievement of the objectives.</p> <p>Some objectives are the follow:</p> <ol style="list-style-type: none"> <li>1. Promote 2 meetings a year with regulatory entities of the resource in the catchment where the farm has its catchment points, this in order to intervene in the communities influenced by the operations of Orodelti S.A.</li> <li>2. Use surface and underground water resources during the banana cultivation and harvest phases in a sustainable way in compliance with current legal regulations.</li> <li>3. Carry out annual monitoring of the water quality parameters used in the cultivation, packaging and water consumption activities in the operations of Orodelti S.A.</li> <li>4. Execute an awareness campaign for workers and community personnel on the conservation of water resources, motivating reforestation processes with trees in affected areas together with the IWRA.</li> <li>5. Guarantee adequate access for workers to safe drinking water, effective sanitation and protective hygiene in all the facilities that Orodelti S.A. operates.</li> </ol> <p>In addition, the matrix of the "Water Management Plan" is shown, where it is detailed for each type of result and objective activities, responsible parties, schedules.</p>	<p>NOT APPLICABLE</p> <p>NC Minor The standard establishes that a sustainable water management plan will be identified, which will include for each objective:</p> <ul style="list-style-type: none"> <li>- The way in which it will be measured and monitored;</li> <li>- The measures to achieve and maintain it (or exceed it);</li> <li>- The timeframes set to achieve it;</li> </ul> <p>However, the related goal for some objective objectives is not documented within the Water Stewardship Plan.</p> <p>Cases:</p> <p>Goal: Not to exceed the volume of concession - annual frequency. But in the case of Finca Delia, there is no such goal. There is only a reference value in 2021 (Irrigation Board), there was a m3 / year of permit.</p> <p>Goal Wash: Supply the necessary infrastructure related to WASH services for workers. But no goal has been defined</p>
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		the AWS outcomes.		
2.4.	Demonstrate the site's responsiveness and resilience to respond to water risks.	2.4.1 A plan to mitigate or adapt to identified water risks developed in co-ordination with relevant public-sector and infrastructure agencies shall be identified.	They have: Adaptation Plan_2020 AWS Group; for the subject of emergencies. Climate Change Mitigation Plan Own Farms 2021 for the issue of risks.	OBS-03. Consider align the names of the Water Risk Filter Matrix from Step 01 in the actions to address risks from Step 2.
	CRITERIA	INDICATORS	EVIDENCE	NON CONFORMITIES
3.1.	Implement plan to participate positively in catchment governance.	3.1.1 Evidence that the site has supported good catchment governance shall be identified.	It is verified during the interviews, that they are in coordination with the basin authorities to follow up on governance issues It was possible to show that the site within its water management plan has included a strategic objective that determines at least 2 meetings a year with regulatory entities which has a proven progress of 71%. It was possible to show that the site within its water management plan has included a strategic objective that determines at least 2 meetings a year with regulatory entities which has a proven progress of 71%. These elements include:  - Encourage constructive dialogue between stakeholders. - Establishment of the communities that are within the area of influence. - Sending invitations. - Availability of physical spaces for meetings.	NOT APPLICABLE
		3.1.2 Measures identified to respect the water rights of others including Indigenous peoples, that are not part of 3.2	Carrying out a meeting with stakeholders, ensure that there are no problems water rights there are no ethnic or aboriginal or Indigenous groups in which water rights are part of the legal and regulatory requirements,	NOT APPLICABLE

		shall be implemented.		
3.2.	Implement system to comply with water-related legal and regulatory requirements and respect water rights.	3.2.1 A process to verify full legal and regulatory compliance shall be implemented.	<p>It was possible to show that the organization has a method that allows it to verify compliance with laws regarding water resources for both the Isabela HD and for the Delia Margarita Hda, updated as of Jul-21.</p> <p>*AM-061; AM-097 Environmental quality and effluent discharge standard - Reports of quality and effluent discharge monitoring for 2021</p> <p>*Organic Law of Water Resources; - AM-061 Reform; AM-021: Wells authorization of the Wells</p> <p>Currently the organization has determined that it meets the applicable legal criteria.</p>	<p>NC Minor: The standard establishes that a process will be implemented to verify full legal and regulatory compliance</p> <p>Within the evaluation of legal compliance, the organization had indicated 100% legal compliance. However, they still do not have the fulfillment of any legal requirement and it is evident that it is in process by the authority.</p> <p>Case: They are still in the process for the authorization of groundwater permit since 2019 and the authority is still in process - Finca Delia.</p> <p>There is only one record of the irrigation board of March 2021 where it is indicated that the Farm is registered in said irrigation board effective December 2021.</p>
		3.2.2 Where water rights are part of legal and regulatory requirements, measures identified to respect the water rights of others including Indigenous peoples, shall be implemented.	<p>It was possible to show that the organization ensures the right to water of all the communities in its area of influence.</p> <p>The Water Management Plan is reviewed, corresponding to the 2021 period, where reference is made to the conservation of the water quality of the source used for the production process and for the community.</p>	NOT APPLICABLE
3.3.	Implement plan to achieve site water balance targets.	3.3.1 Status of progress towards meeting water balance targets set in the water stewardship plan shall be identified.	<p>It was observed that the organization monitors compliance and progress in achieving its objectives; Objective identified as N ° 2 is reviewed (to use surface and underground water resources during the banana cultivation and harvest phases in a sustainable way ...). For this objective, a follow-up and compliance is observed until the month of Jul-21 equivalent to:</p> <p>- Hda. Victory: 100%.</p> <p>- Hda. Delia Margarita: 88%</p>	NOT APPLICABLE
		3.3.2 Where water scarcity is a shared water challenge, annual targets to improve the site's water use efficiency, or if practical and applicable, reduce volumetric total	<p>It was observed that the organization has implemented and monitors compliance and progress in achieving its objectives; Objective identified as N ° 2 is reviewed (to use surface and underground water resources during the banana cultivation and harvest phases in a sustainable way ...). For this objective, a follow-up and compliance is observed until the month of Jul-21 equivalent to:</p> <p>- Hda. Victory: 100%.</p> <p>- Hda. Delia Margarita: 88%</p>	NOT APPLICABLE

		use shall be implemented.		
		3.3.3 Legally-binding documentation, if applicable, for the re-allocation of water to social, cultural or environmental needs shall be identified.	It was possible to show that the organization has the permits for the use of its wells, of each of the catchment sources (surface), for one of the wells it is observed that it is currently in process awaiting the response from part of the competent environmental and water authority.	NOT APPLICABLE
3.4.	Implement plan to achieve site water quality targets.	3.4.1 Status of progress towards meeting water quality targets set in the water stewardship plan shall be identified.	It was observed that the organization has implemented and monitors compliance and progress in achieving its objectives; Objective identified as N ° 3 is revised (annual monitoring of the water quality parameters used in cultivation activities... in Orodelti operations). For this objective, monitoring and compliance is observed until the month of Jul-21 equivalent to 100 % in both Hds.	NOT APPLICABLE
		3.4.2 Where water quality is a shared water challenge, continual improvement to achieve best practice for the site's effluent shall be identified and where applicable, quantified.	The organization has determined as a shared challenge "the management of waste and prevention of contamination in bodies of water" and it is documented in the Shared Challenges in the Matter of Water.	NOT APPLICABLE
3.5.	Implement plan to maintain or improve the site's and/or catchment's Important Water-Related Areas.	3.5.1 Practices set in the water stewardship plan to maintain and/or enhance the site's Important Water-Related Areas shall be implemented.	The organization considers an important area the slopes of discharge channels and the buffer zones next to the water bodies (the latter considered as a local legal requirement for agricultural activities - banana) that shows compliance with this schedule. Currently the organization registers information in its Management Plan with 100% compliance for both farms	NOT APPLICABLE
3.6.	Implement plan to provide access to safe drinking water, effective sanitation, and protective hygiene (WASH) for all workers at all premises under the site's control.	3.6.1 Evidence of the site's provision of adequate access to safe drinking water, effective sanitation, and protective hygiene (WASH) for all workers onsite shall be identified and	It was possible to identify that the site has access to drinking water (suitable for human consumption) through the consumption of water cans (called hydration points), purchased from a supplier; that the water it draws from the wells is used for sanitation activities and it is forbidden to drink directly from the tap; Both types of water are available to both workers and visitors accessing the job site. This includes the availability of sinks,	NOT APPLICABLE

		where applicable, quantified.	urinals, toilets with permanent water supply; as well as soap, paper towels for hands.	
		3.6.2 Evidence that the site is not impinging on the human right to safe water and sanitation of communities through their operations, and that traditional access rights for Indigenous and local communities are being respected, and that remedial actions are in place where this is not the case, and that these are effective.	It was possible to identify that the site is not affecting the human right to drinking water and sanitation of the interviewed communities, as a consequence of the banana production activities. It was also evidenced that it ensures access to water free of contamination	NOT APPLICABLE
3.7.	Implement plan to maintain or improve indirect water use within the catchment.	3.7.1 Evidence that indirect water use targets set in the water stewardship plan, as applicable, have been met shall be quantified.	NOT APPLICABLE	
		3.7.2 Evidence of engagement with suppliers and service providers, as well as, when applicable, actions they have taken in the catchment as a result	NOT APPLICABLE	NOT APPLICABLE
	3.8. Implement plan to engage with and notify the owners of any shared water-related infrastructure of any concerns the site may have.	3.8.1 Evidence of engagement, and the key messages relayed with confirmation of receipt, shall be identified.	It was possible to show that the organization has communicated information related to sustainable water management issues, for example the publication of its commitment to the good sustainable use of water; as well as the work sessions with its Stakeholders through virtual meetings via ZOOM; WhatsApp instant messaging, email;	NOT APPLICABLE
3.9.	Implement actions to achieve best practice towards AWS	3.9.1 Actions towards achieving best practice, related	It was possible to show that the evaluated organization has determined and maintains implemented practices associated with the reduction of water consumption at the	NOT APPLICABLE

	outcomes: continually improve towards achieving sectoral best practice having a local/catchment, regional, or national relevance.	to water governance, as applicable, shall be implemented.	source, the same that benefits the water supply provided by the nearby community	
		3.9.2 Actions towards achieving best practice, related to targets in terms of water balance shall be implemented.	The organization start the implamentation of a best Actions. Case: Evaluation of the oil monitoring	NOT APPLICABLE
		3.9.3 Actions towards achieving best practice, related to targets in terms of water quality shall be implemented.	It was possible to show that the evaluated organization carries out permanent practices to fulfill the objectives related to water, aimed at improving the quality of the water at the source. Case: Case:Treatment of wastewater from the packing plant for its reuse in irrigation of gardens	NOT APPLICABLE
		3.9.4 Actions towards achieving best practice, related to targets in terms of the site's maintenance of Important Water-Related Areas shall be implemented.	- It was possible to show that the evaluated organization has a protected area called "Montañita" Forest on which it carries out conservation activities.	NOT APPLICABLE
		3.9.5 Actions towards achieving best practice related to targets in terms of WASH shall be implemented.	It was possible to show that the evaluated organization has plans to maintain and improve the sanitary infrastructure on issues related to the maintenance and cleaning of shared channels, as well as the improvement of sewer covers on a school in the sector.	NOT APPLICABLE
CRITERIA		INDICATORS	EVIDENCES	NON CONFORMITIES
4.1.	Evaluate the site's performance in light of its actions and targets from its water stewardship plan and demonstrate its contribution to achieving water stewardship outcomes.	4.1.1 Performance against targets in the site's water stewardship plan and the contribution to achieving water stewardship outcomes shall be evaluated.	In the matrix "Water stewardship plan version 2" there is a monitoring sheet, where the monitoring of activities and indicators is detailed. The supports of the evidence of the activities of the objectives established by the organization are reviewed 1. Promote 2 meetings a year with regulatory entities of the resource in the catchment where the farm has its catchment points, this in order to intervene in the communities influenced by the operations of Orodelti S.A. - Level of progress 71%&14% for Isabela&Delia - Target for December 2021 2. Use surface and underground water resources during the banana cultivation and harvest phases in a sustainable way in compliance with current legal regulations. - Level of progress 88%&88% for Isabela&Delia - Target for December 2021 3. Carry out annual monitoring of the water	NC Minor: The standard states that performance will be evaluated against the objectives of the site's sustainable water management plan and contribution to achieving the results of sustainable water management. However, there is evidence of a partial deviation regarding the presentation of documented information with respect to the previous point. Cases: • • In the "Water Stewardship Plan", the organization indicates that it carries out the performance evaluation against the objectives. However, although in said



		<p>quality parameters used in the cultivation, packaging and water consumption activities in the operations of Orodelti S.A. - Level of progress 100%&amp;88% for Isabela&amp;Delia - Target for December 2021</p> <p>4. Execute an awareness campaign for workers and community personnel on the conservation of water resources, motivating reforestation processes with trees in affected areas together with the IWRA. - Level of progress 57%&amp;14% for Isabela&amp;Delia - Target for December 2021</p> <p>5. Guarantee adequate access for workers to safe drinking water, effective sanitation and protective hygiene in all the facilities that Orodelti S.A. operates. - Level of progress 83%&amp;67% for Isabela&amp;Delia - Target for December 2021</p>	<p>Plan there is a monitored% of follow-up; This is the measurement of the progress of the activities; but not specifically of performance with respect to objectives; and rather the organization has it documented in other records.</p> <p>Likewise, in some objectives, of which the calculation is not consistent with the form of measurement determined in the Custody Plan; o The assessment does not include any analysis or action for cases of non-compliance.</p> <p>Cases</p> <ul style="list-style-type: none"> <li>• Goal: Circulation greater than 60%. At Finca Delia, there is a 23% - 2nd period of 2021. However, the measurement of the non-use of the recirculator is being monitored; and not the use of the circulator that has been defined in the goal.</li> <li>• • Goal: Have more than 60% recirculation - tri-period measurement frequency. In the 2nd period Finca Isabel, did not meet the goal, and no action is taken as part of the performance evaluation</li> <li>• • Goal: used water sheet less than recommended lanin - tri-period measurement frequency. In period 1 and 2 Finca Isabel, did not meet the goal, and no action is taken as part of the performance evaluation</li> </ul>
4.1.2	Value creation resulting from the water stewardship plan shall be evaluated.	<p>In the matrix "Water stewardship plan version 2" there is a monitoring sheet, where the monitoring of activities and indicators is detailed; that include the Value creation resulting</p> <p>Case: 2. Use surface and underground water resources during the banana cultivation and harvest phases in a sustainable way in compliance with current legal regulations - Value</p> <ul style="list-style-type: none"> <li>* Social</li> </ul> <p>With an optimized consumption, other users are allowed to take advantage of the water to meet their needs</p> <ul style="list-style-type: none"> <li>* Environmental</li> </ul> <p>The pressure on the basin resource is reduced and the process times are extended</p>	NOT APPLICABLE

		4.1.3 The shared value benefits in the catchment shall be identified and where applicable, quantified.	In the matrix "Water stewardship plan version 2" there is a monitoring sheet, where the monitoring of activities and indicators is detailed; that include the benefits Case: 2. Use surface and underground water resources during the banana cultivation and harvest phases in a sustainable way in compliance with current legal regulations - Benefit: It allows to have a good control of the use of the water resource within the cultivation and packing of fruit. Improve environmental performance and the availability of the resource to other users within the basin will be maximized.	NOT APPLICABLE
4.2.	Evaluate the impacts of water-related emergency incidents (including extreme events), if any occurred, and determine the effectiveness of corrective and preventative measures.	4.2.1 A written annual review and (where appropriate) root-cause analysis of the year's emergency incident(s) shall be prepared and the site's response to the incident(s) shall be evaluated and proposed preventative and corrective actions and mitigations against future incidents shall be identified.	There have been no incidents in the period reviewed	NOT APPLICABLE
4.3.	Evaluate stakeholders' consultation feedback regarding the site's water stewardship performance, including the effectiveness of the site's engagement process.	4.3.1 Consultation efforts with stakeholders on the site's water stewardship performance shall be identified.	The organization's stakeholders are consulted. This is the first year of implementation	NOT APPLICABLE
4.4.	Evaluate and update the site's water stewardship plan, incorporating the information obtained from the evaluation process in the	4.4.1 The site's water stewardship plan shall be modified and adapted to incorporate any relevant information and lessons learned	This is the first year of managing AWS. With the dissemination of the results, feedback will be collected and the plan will be updated for the next period.	NOT APPLICABLE

	context of continual improvement.	from the evaluations in this step and these changes shall be identified.		
	CRITERIA	INDICATORS	EVIDENCES	NON CONFORMITIES
5.1.	Disclose water-related internal governance of the site's management, including the positions of those accountable for legal compliance with water-related laws and regulations.	5.1.1 The site's water-related internal governance, including positions of those accountable for compliance with water-related laws and regulations shall be disclosed.	Communications have been made by various means: face-to-face meetings, groups on social networks, conferences Cases: *7/1/2021 - Pazmiño Brothers Community and Venecia Central Meeting *Chat for workers and lead for some communities such Venecia Central	NOT APPLICABLE
5.2.	Communicate the water stewardship plan with relevant stakeholders.	5.2.1 The water stewardship plan, including how the water stewardship plan contributes to AWS Standard outcomes, shall be communicated to relevant stakeholders.	During the audit; also, we performed different interview; in order to confirm their relevant interested and challenges related to water, If they recognize the person responsible for legal compliance of the related issues for ORODELT, the content of the Water Management Plan of ORODELT and advances&results; and also the shared actions oriented at good water management. Some cases was: • Worker of Finca Isabela • Worker of Finca Delia Margarita • Community Member of Las Cachotes • Community Member of Venecia • Community Member of Recinto Anapoyo • Community Member of Recinto Hermanos Pazmiño	NOT APPLICABLE

5.3.	Disclose annual site water stewardship summary, including the relevant information about the site's annual water stewardship performance and results against the site's targets.	5.3.1 A summary of the site's water stewardship performance, including quantified performance against targets, shall be disclosed annually at a minimum.	The Water Management Review Act under the AWS standard was held by the 26.08.2020.	NC Minor. The standard establishes that a summary of the results of the sustainable water management of the site, including the quantified results in relation to the objectives, will be disclosed at least once a year. Although there is communication with interested parties on 02.08.21 (via mail and whatsapp) to publicize the AWS and the plan, some of the results of the management system in relation to said objectives have not yet been communicated; and as well as their feedback; for some stakeholders. Case: Communities
5.4.	Disclose efforts to collectively address shared water challenges, including: associated efforts to address the challenges; engagement with stakeholders; and co-ordination with public-sector agencies.	5.4.1 The site's shared water-related challenges and efforts made to address these challenges shall be disclosed.	Communications have been made by various means: face-to-face meetings, groups on social networks, conferences Evidence of such communications is reviewed where the organization communicated some diferents aspects such the water-related challenges Cases: *7/1/2021 - Pazmiño Brothers Community Metting *7/1/2021 - Venecia Central Metting	OBS 01: It is observed that the disclosure of the challenge "waste management and pollution prevention in bodies of water" and the project of wastewater in Isabela site it has not yet been documented. However the internal stakeholders knows about the project. OM 02: Consider make a plan for publicity the challenges and status according to each stakeholder.
		5.4.2 Efforts made by the site to engage stakeholders and coordinate and support public-sector agencies shall be identified.	We observed meeting minutes with the main actors where AWS, challenges and others are disseminated. Case GAD to the region	NOT APPLICABLE
5.5.	Communicate transparency in water-related compliance: make any site water-related compliance violations available upon	5.5.1 Any site water-related compliance violations and associated corrections shall be disclosed.	There have been no fines or claims about the water. There has been no emergency.	NOT APPLICABLE

	request as well as any corrective actions the site has taken to prevent future occurrences.	5.5.2 Necessary corrective actions taken by the site to prevent future occurrences shall be disclosed if applicable.	There have been no fines or claims about the water. There has been no emergency.	NOT APPLICABLE
		5.5.3 Any site water-related violation that may pose significant risk and threat to human or ecosystem health shall be immediately communicated to relevant public agencies and disclosed.	There have been no fines or claims about the water. There has been no emergency.	NOT APPLICABLE