

CERTIFICATION REPORT

Alliance for Water Stewardship (AWS)



Audit Number: AO-000238

SITE DETAILS

Site: **Nestlé Waters Vietnam - Long An**
Address: La Vie Long An Factory, National Highway No.1, Khanh Hau, Tan An, VIETNAM
Contact Person: NguyenThi Kim Hoang
AWS Reference Number: AWS-000113
Site Structure: Single Site

CERTIFICATION DETAILS

Certification status: Certified Core
Date of certification decision: 2022-Aug-02
Validity of certificate: 2025-Aug-02

AUDIT DETAILS

Audited Service(s): AWS Standard v2.0 (2019)
Audit Type(s): Re-Certification Audit
Audit Start Date: 2022-Apr-19
Lead Auditor: Warrick Stewart
Audit team participants:
Warrick Stewart, Lead Auditor
Que Anh Vu, Local Auditor
Site Participants:
Nguyen Thi Kim Hoang, South Corporate Affairs Manager
Tran Anh Tri, ME Manager
Nguyen Hai Dang, SHE Manager
Pham Hoang Vu, WT Manager
Tran Nguyen Bich Van, Human Resources

AUDIT TIMES

Dates	Audit from	Duration	Auditor	Description
2022-Apr-19	08:00:00 - 17:00:00	09:00	Warrick Stewart	
2022-Apr-20	08:00:00 - 17:00:00	09:00	Warrick Stewart	
2022-Apr-21	08:00:00 - 13:00:00	05:00	Warrick Stewart	

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ADDITIONAL INFO

Summary of Audit Findings: A total of 11 findings were raised during the certification audit, one (1) major non-conformity, eight (8) minor non-conformities, and two (2) observations.

One non-conformity was of sufficient concern to warrant its categorisation as major.

The Client is requested to define corrective actions for each of the non-conformities and submit these to WSAS within 60 days of receipt of the audit report, by 09/08/2022.

The major non-conformity must be sufficiently addressed and evidence submitted to WSAS within 90 days of receipt of the report, by 09/09/2022.

Minor non-conformities must be closed by the time of the next annual audit.

The audit team recommends AWS re-certification of Nestle Waters Vietnam - Long An to Core level pending approval of the corrective actions and closure of the major non-conformity.

CLOSURE OF NON-CONFORMITIES: The site has prepared a corrective action plan for all non-conformities and observations raised. The plan has been approved by WSAS. The major non-conformity has been successfully addressed and evidence has been submitted confirming closure of the finding. The implementation of the corrective action plan for all minor non-conformities will be assessed at the next surveillance audit.

Scope of Assessment: The scope of services covers the re-certification audit for assessing conformity of the La Vie Water Bottling Plant in Tân An Province Vietnam, against the AWS International Water Stewardship Standard Version 2.

The La Vie Long An Water Bottling Plant is located in the Tân An, Long An Province, Vietnam. The factory is located in a developed area with industrial parks, residential areas, paddy fields and ponds. The facility is located in the Vam Co River catchment, within the Mekong Delta river system.

The La Vie Tan An plant bottles mineral water under the brand of La Vie and treats potable water received from LAWACO for bottling under the label of ViVa, in various sizes from 330ml bottles to 19 liter bottles. Two operational production wells (LKSP3 and LKSP4) are supplying the water bottling plant, with a third well pending operation subject to a license/permit application process that is underway.

The audit was conducted onsite on 19 to 21 April 2022.

The on-site assessment of the La Vie Tan An Water Bottling Factory included a site visit as part of the audit.

FINDINGS

NUMBER OF FINDINGS PER LEVEL

Observation	2
Minor	8
Major	1

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FINDING DETAILS

Finding No: TNR-000531
Checklist Item No: 1.2.2
Status: Response received
Finding level: Observation
Checklist item: Current and potential degree of influence between site and stakeholder shall be identified, within the catchment and considering the site’s ultimate water source and ultimate receiving water body for wastewater.
Findings: La Vie could explain the influence per stakeholder in more detail, to enable more accurate determination of influence to and from, to adequately consider current and potential future risks.
Corrective action: explain the influence per stakeholder in more detail, to enable more accurate determination of influence to and from, to adequately consider current and potential future risks

Finding No: TNR-000532
Checklist Item No: 1.3.1
Status: In Progress - CA plan approved
Finding level: Minor
Due date: 2023-Apr-19
Checklist item: Existing water-related incident response plans shall be identified.
Findings: The Business Contingency Plan includes flooding and pollution, but does not consider drought and aquifer over-abstraction that has been identified by the site as a risk to future water use. The BCP should be expanded upon to include all possible water-related risks.
Corrective action: add the information and action relate to the risk drought and aquifer over abstraction into the BCP

Finding No: TNR-000569
Checklist Item No: 1.5.5
Status: In Progress - CA plan approved
Finding level: Minor
Due date: 2023-Apr-19
Checklist item: Important Water-Related Areas shall be identified, and where appropriate, mapped, and their status assessed including any threats to people or the natural environment, using scientific information and through stakeholder engagement.
Findings: The ground and surface water systems in the District are listed and mapped and current threats mentioned, but their status is not specified in any detail. The status of each IWRA should identified, this is the current condition in which they are in, and possible threats assessed. IWRA’s are a main outcome of the AWS Standard and as such must be addressed adequately.
Corrective action: have a specific assessment for the IWRA of the site
Evidence of implementation: result of assessment

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Finding No: TNR-000570
Checklist Item No: 1.7.1
Status: In Progress - CA plan approved
Finding level: Minor
Due date: 2023-Apr-19
Checklist item: Water risks faced by the site shall be identified, and prioritized, including likelihood and severity of impact within a given timeframe, potential costs and business impact.
Findings: The site has identified the water risks, including likelihood and severity of impact. The documents presented did not include any assessment of the given timeframe of impact, the potential cost or business impact. This must be addressed.
Corrective action: add more information into the step 1.7.1 with timeframe and impact to site

Finding No: TNR-000714
Checklist Item No: 2.1.1
Status: In Progress - CA plan approved
Finding level: Minor
Due date: 2023-Apr-19
Checklist item: A signed and publicly disclosed site statement OR organizational document shall be identified. The statement or document shall include the following commitments:
- That the site will implement and disclose progress on water stewardship program(s) to achieve improvements in AWS water stewardship outcomes
- That the site implementation will be aligned to and in support of existing catchment sustainability plans
- That the site's stakeholders will be engaged in an open and transparent way
- That the site will allocate resources to implement the Standard.
Findings: The site's commitment is based on version 1 of the Standard's requirements and is required to be updated with the commitment requirements of the current version of the Standard, Version 2.
Corrective action: have another commitment with the requirement content with the signature of the senior managers and disclose on website, and inform to other stakeholders

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Finding No:	TNR-000715
Checklist Item No:	2.3.2
Status:	In Progress - CA plan approved
Finding level:	Minor
Due date:	2023-Apr-19
Checklist item:	A water stewardship plan shall be identified, including for each target: <ul style="list-style-type: none">- How it will be measured and monitored- Actions to achieve and maintain (or exceed) it- Planned timeframes to achieve it- Financial budgets allocated for actions- Positions of persons responsible for actions and achieving targets- Where available, note the link between each target and the achievement of best practice to help address shared water challenges and the AWS outcomes.
Findings:	The WS Plan can be improved by having a separate plan per year, allowing the site to demonstrate how the plan evolves through learning and implementation. Similarly the targets, actions and metric could be more meaningful if they were more specific in nature, this also allows for better evaluation on the performance against those targets.
Corrective action:	have a follow up file with different years to compare and update with the long time projects

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Finding No: TNR-000717
Checklist Item No: 2.4.1
Status: Closed
Finding level: Major
Due date: 2022-Sep-09
Checklist item: A plan to mitigate or adapt to identified water risks developed in co-ordination with relevant public-sector and infrastructure agencies shall be identified.
Findings: For this indicator the site's BCP also applies, however, it should include specific mitigation actions relating water risks to the site and what the plan is for the site to be resilient against these.

The site's Water Stewardship Plan (2022) includes identification of actions to address key water risks to the site and catchment, including (amongst others):
- Strong vulnerability of the shallow aquifer to surface contamination.
- Water levels in the wells declining gradually due to increasing abstraction rates, but most of local stakeholders are not aware (or not paying attention).
- Drought.

As per 1.3.1, the site's BCP should be expanded to include the risk of drought and aquifer contamination, as identified in the Water Stewardship Plan (2022) as well as the plan to mitigate these. IT is required by the Standard that these plans are developed in co-ordination with relevant public-sector and infrastructure agencies
Corrective action: Add more risk assessment in the step 1.7.1. create a mitigate plan to
Evidence of implementation: The site has edited the BCP to include the missing items and presented the changes in a meeting with the relevant public sector agency. Evidence of the meeting and revised BCP have been submitted.

Finding No: TNR-000571
Checklist Item No: 3.1.1
Status: Response received
Finding level: Observation
Checklist item: Evidence that the site has supported good catchment governance shall be identified.
Findings: La Vie receives some of its water supply from LAWACO. La Vie is aware of LAWACO disposing of waste products, after treatment of source water for use as potable water, to a government approved water facility but has no certainty if/how this is actually taking place. The potential for poor practices by contracted third parties in the future, that pose potential risks to La Vie from a reputational perspective and risks and impacts to the catchment, should be pro-actively considered and the need for voluntary actions determined if/where/when necessary.
Corrective action: more proactive in finding and encourage/working with stakeholder for ensure they follow the process for sustainable

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Finding No: TNR-000572
Checklist Item No: 4.1.1
Status: In Progress - CA plan approved
Finding level: Minor
Due date: 2023-Apr-19
Checklist item: Performance against targets in the site’s water stewardship plan and the contribution to achieving water stewardship outcomes shall be evaluated.
Findings: Current actions are being implemented based on the Stewardship Plan, and most targets are being met or are under progress. There is an annual review of the OMP, but not a specific evaluation of performance in relation to achieving Water Stewardship outcomes. CSV meetings are held and the associated Committee evaluates implementation of the OMP, but actions are not documented specifically in relation to the Water Stewardship outcomes.
Corrective action: update result and evaluate the performance into the result of implementation plan

Finding No: TNR-000573
Checklist Item No: 4.1.2
Status: In Progress - CA plan approved
Finding level: Minor
Due date: 2023-Apr-19
Checklist item: Value creation resulting from the water stewardship plan shall be evaluated.
Findings: Current evidence shows costs and financial contributions to achieving benefits, but La Vie hasn’t determined what the benefits have been, nor evaluated them.
VN Sustainability OMP.xlsx reflects various water-saving benefits and costs invested or being invested to achieve these outcomes. This includes both budgets and metrics of targeted water savings, as well as actual costs and benefits, but the actual versus targeted/budgeted values have not been evaluated yet.
Corrective action: evaluate and have the compare between plan vs actual -> know bout result and performance of the action/plan

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Finding No:	TNR-000719
Checklist Item No:	5.1.1
Status:	In Progress - CA plan approved
Finding level:	Minor
Due date:	2023-Apr-19
Checklist item:	The site's water-related internal governance, including positions of those accountable for compliance with water-related laws and regulations shall be disclosed.
Findings:	<p>Currently contact information for La Vie's head of Corporate Affairs is provided publicly to all stakeholders, but not any information on the positions of those accountable for compliance with water-related laws and regulations. This is displayed on a noticeboard in the factory as an organogram, but is currently not shared publicly. However, it is clear that the various key stakeholders are fully aware of the relevant La Vie staff and have regular contact with them.</p> <p>However, the site must make efforts to comply fully with the indicator which requires that all positions accountable for compliance with water-related laws be disclosed publicly. Sites frequently combine this disclosure with annual communication of the WS Plan and the water stewardship performance.</p>
Corrective action:	disclose the information of all people who accountable and have responsibilities with water related law and regulation

Signature WSAS

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Report Details

Report	Value
Report prepared by	Warrick Stewart
Report approved by	Mia Antoni-Naidoo
Report approved on (Date)	9 June 2022

Surveillance

Proposed date for next audit
2023-Apr-19

Comment The Surveillance Audit should ideally take place within 12 months, but no more than 13 months, from the date of the Re-Certification Audit (19 April 2022).

Stakeholder Announcements

Date of publication	Location
2022-Mar-09	WSAS Website
2022-Mar-09	AWS Website
2022-Mar-17	https://baolongan.vn/cong-ty-trach-nhiem-huu-han-la-vie-thong-bao-cac-ben-lien-quan-a132169.html
2022-Mar-15	TIÊU CHUẨN VỀ CHUNG TAY QUẢN LÝ NƯỚC (AWS) V2.0 (laviewwater.com)

Comment Stakeholder interviews were held with key regulators, government and community representatives, the potable water service provider, and key site staff.

Catchment Information

Catchment Information

The main river system of Long An province is the Vam Co river system, This natural river system includes Vam Co Dong river and Vam Co Tay river, as well as important canal system such as Bao Dinh, Thay Cai and Hong Ngu canal. Vam Co Dong river takes its source in Cambodia and then flows in Vietnam firstly in the Tay Ninh province and then in Long An province.

Comment See "Photographic evidence from the audit" below.

See 1.1.1._Catchment_of_the_site_and_its_affect.pptx

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Client Description and Site Details

Client/Site Background

The factory is located in a developed area with industrial parks, residential areas, paddy fields and ponds. Two operational production bores (LKSP3 and LKSP4) are supplying the water bottling plant.

The plant produces La Vie bottled mineral water and ViVa bottled drinking water in various sizes from 330ml bottles to 19 liter bottles.

Summary of Shared Water Challenges

Summary of Shared Water Challenges

Water Balance: Local over-use of the deep aquifer.

Water Governance: Uncontrolled use of domestic wells in the shallow aquifer by local communities; and Water levels in the wells declining gradually due to increasing abstraction rates, but most of local stakeholders are not aware of (or monitoring) the declining available volumes and water quality.

Water Quality: Strong vulnerability of the shallow aquifer to surface contamination; Salination of the water (surface and groundwater); and Use of pesticides for farming.

WASH: Widespread human pollution in the important canals in and surrounding Tan An City; and Hygiene and sanitation issues in the Tan An City area.

Comment The Shared Water Challenges for the site and surrounds is well understood and documented. This was determined and informed by both research and consultation.

0.1 General Requirements for Single Sites, Multi-Sites and Groups

0.1.1 Eligibility Criteria

0.1.1.1 *The site(s) occupy one catchment OR an exception has been granted.* ✔
Yes

Comment The site is located within the Vam Co River watershed of the Mekong River Delta.

0.1.1.2 *The scope of the proposed certification shall be under the control of a single management system.* ✔
Yes

Comment The La Vie water bottling plant in Tan An is operated under a single management system.

0.1.1.3 *The scope of the proposed certification shall be homogeneous with respect to primary production system, water management, product or service range, and the main market structures.* ✔
Yes

Comment The scope of the proposed certification is homogeneous with respect to the primary production system, water management, product or service range, and the main market structures.

1 STEP 1: GATHER AND UNDERSTAND	
1.1	<p><i>Gather information to define the site's physical scope for water stewardship purposes, including: its operational boundaries; the water sources from which the site draws; the locations to which the site returns its discharges; and the catchment(s) that the site affect(s) and upon which it is reliant.</i></p>
1.1.1	<p><i>The physical scope of the site shall be mapped, considering the regulatory landscape and zone of stakeholder interests, including:</i></p> <ul style="list-style-type: none"> - Site boundaries; - Water-related infrastructure, including piping network, owned or managed by the site or its parent organization; - Any water sources providing water to the site that are owned or managed by the site or its parent organization; - Water service provider (if applicable) and its ultimate water source; - Discharge points and waste water service provider (if applicable) and ultimate receiving water body or bodies; - Catchment(s) that the site affect(s) and is reliant upon for water.
Comment	<p>Evidence:</p> <p>1.1.1. Catchment_of_the_site_and_its_affect</p> <p>1.1.1. Layout_water_resource_and_discharge_water</p> <p>1.1.1. LAYOUT_Waste_water_treatment_FF_pipe,RAINWATER_DRAINAGE__AND_ANTI-FLOODING_PUMP</p> <p>1.1_site_boundaries</p> <p>1.1.1 LAYOUT Waste water treatment FF pipe,RAINWATER DRAINAGE AND ANTI-FLOODING PUMP</p> <p>BB_làm_việc_ngày_14.5.2021_công_ty_cấp_nước explains LAWACO's water source and efforts by La Vie to encourage LAWACO to increase surface water use/sourcing and reduce groundwater use.</p> <p>2.1.2-1 Lavie - well position</p> <p>2.1.2-2 Well around Lavie</p> <p>2.1.3-4 Effluent stream discharge point</p> <p>Layout water resource and discharge water</p> <p>2.1.4. Overview of groundwater in Long An, Ben Tre, Tien Giang</p> <p>2.1.4. WR Validation_VN_Long An</p> <p>Vietnam Poster_Final_sm</p> <p>The above evidence includes the mapping of the physical scope of the site, considering the regulatory landscape and zone of stakeholder interests, including:</p> <ul style="list-style-type: none"> - Site boundaries; - Water-related infrastructure, including piping network, owned or managed by the site or its parent organization; - Any water sources providing water to the site that are owned or managed by the site or its parent organization; - Water service provider and its ultimate water source; - Discharge points and waste water service provider and ultimate receiving water body or bodies; - Catchment that the site affects and is reliant upon for water.
1.2	<p><i>Understand relevant stakeholders, their water related challenges, and the site's ability to influence beyond its boundaries.</i></p>



Yes

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1.2.1

Stakeholders and their water-related challenges shall be identified. The process used for stakeholder identification shall be identified. This process shall:

- *Inclusively cover all relevant stakeholder groups including vulnerable, women, minority, and Indigenous people;*
- *Consider the physical scope identified, including stakeholders, representative of the site's ultimate water source and ultimate receiving water body or bodies;*
- *Provide evidence of stakeholder consultation on water-related interests and challenges;*
- *Note that the ability and/or willingness of stakeholders to participate may vary across the relevant stakeholder groups;*
- *Identify the degree of stakeholder engagement based on their level of interest and influence.*



Yes

Comment

Evidence:

1.2.1 so do gieng khu vuc La Vie
1.2.1 stakeholders sphere of influence
1.2.1. Copy of CRP 2 0 - NW Long An_110716 (002)
1.2.1. List of stakeholders form
1.2.1. List of stakeholders
3.4. inform letter Phường Khánh Hậu 2021
4300AMT1_P1
4300AMT1_P2
công văn lavie from Lawaco
crp3.0 stakeholder mapping
feedback 2022
gửi cty cấp nước ngày 7.6.2021
phúc đáp hội bảo trợ
xin ý kiến các đơn vị 2022
xin ý kiến Khánh Hậu 2022

1.2.2 word document link to Online CRP system, 1.2.1._List_of_stakeholders, 1.2.1_stakeholders_sphere_of_influence, Phuc dap hoi bao letter (request for project assistance, and supported via trial project for drinking water provision to school), and 176_UBND-KY_07-03-02 letter (canal clearing and de-pollution assistance request, to address river health and agricultural water use and associated community benefits) amongst other evidence was displayed and explained.

La Vie undertakes an annual review of community/stakeholder engagements.

La Vie has a log in its CRP system of all meetings, objectives, outcomes, status of relationship etc.

La Vie is a member of the Local Community Structure, so it is directly involved and engaged.

Stakeholders that have most direct influence on the site were rated as 2/4, whilst others were rated as 1/4 in terms of influence.

16 Districts in Long An province, 14 Wards in Tan An city. Khanh Hau Ward Authority has the most practical understanding of La Vie's operation.

Long An Natural Resources and the Environment (provincial government) reports to Long An Province People Committee, and then to NOMRE and Mineral Control (in the south of Vietnam) at national level.





LAWACO was listed as a ¼, but requires increase in influence level due to their importance in providing La Vie with a portion of their total water requirement.

Various correspondence with Tan An city, Long An province and Tang Hung District re water provision to communities.

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1.2.2	<i>Current and potential degree of influence between site and stakeholder shall be identified, within the catchment and considering the site's ultimate water source and ultimate receiving water body for wastewater.</i>	 Obs.
Comment	<p>Evidence: CRP shown by La Vie and discussed.</p> <p>CRP (online version shown by La Vie), 1.2.1. Copy of CRP 2 0 - NW Long An_110716 (002), 1.2.1 stakeholders sphere of influence, and crp3.0 stakeholder mapping.html address this requirement. La Vie could explain the influence per stakeholder in more detail, to enable more accurate determination of influence to and from, to adequately consider current and potential future risks.</p>	
1.3	<i>Gather water-related data for the site, including: water balance; water quality, Important Water-Related Areas, water governance, WASH; water-related costs, revenues, and shared value creation.</i>	
1.3.1	<i>Existing water-related incident response plans shall be identified.</i>	 No
Comment	<p>Evidence: LAVIE-SHE-PR-15-Emergency_response LAVIE-SHE-PR-49-Business_Contingency_Plan_La_Vie_LLC_2016 La_Vie_Compliance_Checklist_for_Factories_EN_Updated_for_2021_Final_Aug21_(for_reference_purpose) Up_to_date_9_2020_Final_Report_-_La_Vie_VIETNAM_FINAL</p> <p>The Business Contingency Plan includes flooding and pollution, but does not consider drought and aquifer over-abstraction that has been identified by the site as a risk to future water use.</p> <p style="text-align: right;">Finding No: TNR-000532</p>	
1.3.2	<i>Site water balance, including inflows, losses, storage, and outflows shall be identified and mapped</i>	 Yes
Comment	<p>Evidence: Water_mapping_2021 1.3.3.Water_mapping_32022 1.3.2_Water_Mapping Water_mapping_2020 Water_Mapping_2019 1.3.2Long_An-_Water_fill_ratio_01.2019_-_03.2022 (this includes a graph of the water ratio from Jan-19 to March 2022). Demand during hot dry season influences factory water source needs. Also greater need from July 2021 when staff were required to live on site at the factory – for ablutions, cooking etc.</p> <p>Was very limited production during May to May 2021 due to Covid-19 infection of a factory staff member and all staff were placed in lockdown on site.</p>	
1.3.3	<i>Site water balance, inflows, losses, storage, and outflows, including indication of annual variance in water usage rates, shall be quantified. Where there is a water-related challenge that would be a threat to good water balance for people or environment, an indication of annual high and low variances shall be quantified.</i>	 Yes
Comment	<p>1.3.2Long_An-_Water_fill_ratio_01.2019_-_03.2022 (this includes a graph of the water ratio from Jan-19 to March 2022). Demand during hot dry season influences factory water source needs. Also greater need from July 2021 when staff were required to live on site at the factory – for ablutions, cooking etc.</p> <p>Was very limited production during May to May 2021 due to Covid-19 infection of factory staff member and all staff placed in lockdown on site.</p>	

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1.3.4	<i>Water quality of the site's water source(s), provided waters, effluent and receiving water bodies shall be quantified. Where there is a water-related challenge that would be a threat to good water quality status for people or environment, an indication of annual, and where appropriate, seasonal, high and low variances shall be quantified.</i>	 Yes
Comment	<p>Evidence</p> <p>Source Water: Deep Wells: 1.3.4_quality_followup.</p> <p>Minor increasing trends in Mg, K, Na, HCO3, TDS in Deep Well4. Similar trends in Deep Well 5, that is still under validation, compared to Deep Well 4, but the number of data points is only 5 (since 26 May 2019) so are currently too few to be statistically rigorous. Similar trends in Deep Well 3. None of these trends are currently of concern, but important to keep monitoring. Also useful to plot future graphs for all the wells using the same scale, to enable easy comparison in trends between the wells.</p> <p>Tan An City Water: CẤP_NƯỚC_LONG_AN_1.5.4 - https://drive.google.com/file/d/1mCJbifNKl4-vUP0bGb-mp436Y51uKNap/view</p> <p>Waste Water: 3.4.1_Monitoring_DW5_10.2019_-_10.2020_</p> <p>1.3.4_Quality_report_of_WWT_monthly__quartely</p> <p>Need WWT WQ quality data from 2020 onwards was provided in additional documents, and all key parameters are cover</p> <p>Quaterly_wastewater_quality_2020</p> <p>Quaterly_wastewater_quality_2019</p> <p>3.4.1_Quality_report_of_WWT_monthly__quartely</p> <p>Copy of Quarterly wastewater quality 2020_Translated legend notes No WWT WQ quality from July to Sept 2021 due to inability to transport a sample for analysis due to Covid-19 travel restrictions. La Vie had to submit correspondence to Government explaining that they could not undertake monitoring during this period and why.</p> <p>La Vie applies the most restrictive of Viet Nam law or Nestle requirements.</p>	
1.3.5	<i>Potential sources of pollution shall be identified and if applicable, mapped, including chemicals used or stored on site.</i>	 Yes
Comment	<p>Evidence: 1.1.1.Layout_water_resource_and_discharge_water_(1) 1.3.5 Physical_inventory_by_inventory_dimension_2022</p>	
1.3.6	<i>On-site Important Water-Related Areas shall be identified and mapped, including a description of their status including Indigenous cultural values.</i>	 Yes
Comment	<p>The site has identified that there are No IWRA's on site. Industrial site, all developed. The wells on the site are cons</p>	
1.3.7	<i>Annual water-related costs, revenues, and a description or quantification of the social, cultural, environmental, or economic water-related value generated by the site shall be identified and used to inform the evaluation of the plan in 4.1.2.</i>	 Yes

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Comment	Evidence: 1.3.7_AWS_Cost	
	Very comprehensive – notable level of performance. Costs, revenues were also described.	
1.3.8	<i>Levels of access and adequacy of WASH at the site shall be identified.</i>	 Yes
Comment	Evidence: 1.3.8_WASH_Self-Assessment_LAF	
	La Vie uses the Nestle WASH Self-Assessment Tool. For Location, cleaning, recharging and disinfection of drinking water stations, the site is partially implementing the Nestle Pledge but not fully achieving it (1/2). Current frequency of cleaning could be increased to align with the Pledge commitment.	
	A WASH Assessment, which is specific to the plant itself, was displayed on-screen during the audit. This was undertaken by the site using a Nestle web-based tool that includes ranking of WASH performance for each WASH criterion in the Tool. The assessment includes metrics of WASH adequacy for all aspects (e.g. drinking water, sanitation, hygiene etc.) and fully meets this requirement.	
1.4	<i>Gather data on the site's indirect water use, including: its primary inputs; the water use embedded in the production of those primary inputs the status of the waters at the origin of the inputs (where they can be identified); and water used in out-sourced water-related services.</i>	
1.4.1	<i>The embedded water use of primary inputs, including quantity, quality and level of water risk within the site's catchment, shall be identified.</i>	 Yes
Comment	Evidence: 1.4.1 Hoạt Động Sử Dụng Nước_Water list of supplier-AWS	
	1.4.1 List of suppliers and communicate action	
	Data from 2019, 2020, 2021 and 2022 from primary suppliers (cardboard boxes for bottles, caps, PET bottles, packaging etc.).	
1.4.2	<i>The embedded water use of outsourced services shall be identified, and where those services originate within the site's catchment, quantified.</i>	 Yes
Comment	Evidence: 1.4.2.The only outside service	
	Cập nhật_Nguồn_Nước_Lavie_2021_AWS_transportation	
	Transportation to ship goods, maintenance of forklifts, and the site's canteen are outsourced. Canteen food is prepared offsite and delivered.	
	Canteen water use is quantified.	
	All the different transportation contractors are listed and their embedded water use provided.	
1.5	<i>Gather water-related data for the catchment, including water governance, water balance, water quality, Important Water-Related Areas, infrastructure, and WASH</i>	
1.5.1	<i>Water governance initiatives shall be identified, including catchment plan(s), water-related public policies, major publicly-led initiatives under way, and relevant goals to help inform site of possible opportunities for water stewardship collective action.</i>	 Yes

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Comment	<p>Evidence: 1.5.1_PUBLICALLY_LED_INITIATIVES_edit Up_to_date_9_2020_Final_Report_-_La_Vie_VIETNAM_FINAL</p> <p>These two documents collectively including the national, provincial and district legal framework, land uses, ground and surface water resources, catchment IWRA, competing water users, potential pollution sources, a water sustainability assessment, opportunities, current and proposed projects and recommendations. This requirement is very comprehensively addressed.</p>	
1.5.2	<i>Applicable water-related legal and regulatory requirements shall be identified, including legally-defined and/or stakeholder-verified customary water rights.</i>	 Yes
Comment	<p>Evidence: List_of_legal_compliances Various documents under 1.5.2 of legal requirements</p>	
1.5.3	<i>The catchment water-balance, and where applicable, scarcity, shall be quantified, including indication of annual, and where appropriate, seasonal, variance.</i>	 Yes
Comment	<p>Evidence: LUONG_MUA_2022 LUONG_MUA_2021 1.5.3.pptx Monthly rainfall data are provided for the District and the Mekong Delta on an monthly basis by government. Also daily water data.</p> <p>This is used by La Vie to determine catchment recharge and water balance.</p>	
1.5.4	<i>Water quality, including physical, chemical, and biological status, of the catchment shall be identified, and where possible, quantified. Where there is a water-related challenge that would be a threat to good water quality status for people or environment, an indication of annual, and where appropriate, seasonal, high and low variances shall be identified.</i>	 Yes
Comment	<p>Evidence: Result of Lawaco - WR 2012-2016 Physical chemical of LKSP3 LKSP4 Y2013 to 2017 Microbiological result Various LAWACO datasets</p> <p>Data from LAWACO are used, as LAWACO are the primary water user in the District.</p>	
1.5.5	<i>Important Water-Related Areas shall be identified, and where appropriate, mapped, and their status assessed including any threats to people or the natural environment, using scientific information and through stakeholder engagement.</i>	 No
Comment	<p>Evidence: Up_to_date_9_2020_Final_Report_-_La_Vie_VIETNAM_FINAL 2.3.5. IMportant water related areas dac diem song ngoi kenh rach Long An Surface water resources in Long An</p> <p>The ground and surface water systems in the District are listed and mapped, current threats mentioned, but their status is not specified in any detail. Threats are explained in more detail in Up_to_date_9_2020_Final_Report_-_La_Vie_VIETNAM_FINAL</p> <p style="text-align: right;">Finding No: TNR-000569</p>	
1.5.6	<i>Existing and planned water-related infrastructure shall be identified, including condition and potential exposure to extreme events.</i>	 Yes

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Comment	Evidence: 2.3.6_quy_hoach_tong_the_long_an1439_QD-TTg_148951 2.3.6.NQ_26_PHAT_TRIEN_TP_TAN_AN 2.3.6._QUY_HOACH_KHAI_THÁC 2.3.6._Long_An_tài_nguyên_nước_mặt 2.3.6._Hệ_thống_cấp_nước_tai_lieu_lien_quan	
1.5.7	<i>The adequacy of available WASH services within the catchment shall be identified.</i>	 Yes
Comment	A WASH assessment (in Vietnamese) for the Municipal Ward in which the plant is located was displayed during the on-site audit, and the contents verified as meeting this requirement. Evidence: wash assessment for catchment Word doc Water use from LAWACO, the District's 4 active shallow wells, and surface waters are documented. Connections to drinking water is documented. There is no mechanical, biological or chemical treatment of wastewater by Tan An city, whereas in Ho Chi Minh formal treatment plants are in place. Homes in Tan An City have either septic tanks or no sanitation system.	
1.6	<i>Understand current and future shared water challenges in the catchment, by linking the water challenges identified by stakeholders with the site's water challenges.</i>	
1.6.1	<i>Shared water challenges shall be identified and prioritized from the information gathered.</i>	 Yes
Comment	Evidence: Step 1.6 and 1.7 Water challenges Step 1.6 Water challenges	
1.6.2	<i>Initiatives to address shared water challenges shall be identified.</i>	 Yes
Comment	Evidence: Step 1.6 and 1.7 Water challenges	
1.7	<i>Understand the site's water risks and opportunities: Assess and prioritize the water risks and opportunities affecting the site based upon the status of the site, existing risk management plans and/or the issues and future risk trends identified in 1.6.</i>	
1.7.1	<i>Water risks faced by the site shall be identified, and prioritized, including likelihood and severity of impact within a given timeframe, potential costs and business impact.</i>	 No
Comment	Evidence: Step 1.6 and 1.7 Water challenges BCP does not explicitly include drought and aquifer contamination. La Vie identified the potential in the BCP for "Any loss of ... water ... for an extended period of time", but did not identify the potential causes and necessary responses.	
	Finding No: TNR-000570	
1.7.2	<i>Water-related opportunities shall be identified, including how the site may participate, assessment and prioritization of potential savings, and business opportunities.</i>	 Yes
Comment	Evidence: As above	

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1.8	<i>Understand best practice towards achieving AWS outcomes: Determining sectoral best practices having a local/catchment, regional, or national relevance.</i>	
1.8.1	<i>Relevant catchment best practice for water governance shall be identified.</i>	 Yes
Comment	Evidence: report and propose for WFS project report_for_water_for_school_first_period Project saving water 2019 & 2020 Long An- Water fill ratio 01.2019 - 08.2020	
1.8.2	<i>Relevant sector and/or catchment best practice for water balance (either through water efficiency or less total water use) shall be identified.</i>	 Yes
Comment	Evidence: Long An- Water fill ratio 01.2019 – 08.2020.xlsx Project_saving_water__2019_&_2020 Lawaco_-_Sponsorship_agreement_-_21_08_16_-_VN_(002) Lawaco_Project_Story Lawaco_Story_mARCH_2022 As La Vie is a national leader in Water Stewardship, the examples provided are of La Vie's own initiatives. Need to look at best practices by operations elsewhere in the region or globally. Nestle has conference calls with other sites to share lessons and best practices. Some lessons taken from sister operation in Thailand on watercourse rehabilitation, community education, addressing the pollution source/s etc. Nestle Waters held a global competition and La Vie came in the top 3 in terms of performance.	
1.8.3	<i>Relevant sector and/or catchment best practice for water quality shall be identified, including rationale for data source.</i>	 Yes
Comment	Evidence: As per 1.8.2	
1.8.4	<i>Relevant catchment best practice for site maintenance of Important Water-Related Areas shall be identified.</i>	 Yes
Comment	Evidence: Canal clean-up project Also, as per 1.8.2	
1.8.5	<i>Relevant sector and/or catchment best practice for site provision of equitable and adequate WASH services shall be identified.</i>	 Yes

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Comment	Evidence: Lawaco_-_Sponsorship_agreement_-_21_08_16_-_VN_(002)
	Free Water for Foundation
	Also, as above for 1.8.2
	And connection for households project.

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2 STEP 2: COMMIT & PLAN - Commit to be a responsible water steward and develop a Water Stewardship Plan	
2.1	Commit to water stewardship by having the senior-most manager in charge of water at the site, or if necessary, a suitable individual within the organization head office, sign and publicly disclose a commitment to water stewardship, the implementation of the AWS Standard and achieving its five outcomes, and the allocation of required resources.
2.1.1	<p>A signed and publicly disclosed site statement OR organizational document shall be identified. The statement or document shall include the following commitments:</p> <ul style="list-style-type: none"> - That the site will implement and disclose progress on water stewardship program(s) to achieve improvements in AWS water stewardship outcomes - That the site implementation will be aligned to and in support of existing catchment sustainability plans - That the site's stakeholders will be engaged in an open and transparent way - That the site will allocate resources to implement the Standard.
Comment	<p>Evidence: 2.1.1 La Vie Commitment to AWS – EN 2.1.1 La Vie Commitment to AWS - VNM</p> <p style="text-align: right;">Finding No: TNR-000714</p>
2.2	Develop and document a process to achieve and maintain legal and regulatory compliance.
2.2.1	<p>The system to maintain compliance obligations for water and wastewater management shall be identified, including:</p> <ul style="list-style-type: none"> - Identification of responsible persons/positions within facility organizational structure - Process for submissions to regulatory agencies.
Comment	<p>Evidence: La Vie_Compliance Checklist for Factories_EN_Updated for 2021_Final_Aug21 LAVIE-SHE-PR-03-FM-03- Cap nhac va danh gia su tuan thu phap luat va cac yeu cau khac quy dinh ve vung cam. vung han che khai thac cua Long AN well SOP Van ban cung cap khach hang ngay 29-03-2022.zip</p> <p>Shown in Step 3 and 4 how compliance is monitored and process for submissions regarding regulatory compliance.</p> <p>Responsible person is located in La Vie Head office in HCMC – see evidence in Step 3.</p>
2.3	Create a water stewardship strategy and plan including addressing risks (to and from the site), shared catchment water challenges, and opportunities.
2.3.1	A water stewardship strategy shall be identified that defines the overarching mission, vision, and goals of the organization towards good water stewardship in line with this AWS Standard.
Comment	<p>Evidence: 2.3.2. Water Stewardships Strategies and Plan in Vietnam (AWS Certification LAF) 2.3 AWS_ Extended Water Stewardship Plan 2022</p> <p style="text-align: right;">Finding No: TNR-000716</p>

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2.3.2 *A water stewardship plan shall be identified, including for each target:*

- How it will be measured and monitored
- Actions to achieve and maintain (or exceed) it
- Planned timeframes to achieve it
- Financial budgets allocated for actions
- Positions of persons responsible for actions and achieving targets
- Where available, note the link between each target and the achievement of best practice to help address shared water challenges and the AWS outcomes.


No

Comment Evidence:
2.3 AWS_ Extended Water Stewardship Plan 2022 as also provided per 2.3.1 covers all of these content requirements.

In “Water Stewardship Plan” tab, the actions were colour coded based on status (blue/green – completed, yellow in process etc.)

Finding No: TNR-000715

2.4 *Demonstrate the site’s responsiveness and resilience to respond to water risks*

2.4.1 *A plan to mitigate or adapt to identified water risks developed in co-ordination with relevant public-sector and infrastructure agencies shall be identified.*


No

Comment Evidence:
2.4.1. communication plan

AWS SUMMARY tom tat; see slide 18 regarding risks, actions, communication with each stakeholder. Slide 19 speaks to time-frames for actions and consultations/communications.

csv q1 – 2020 – Old activity plan from 2020

Finding No: TNR-000717

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3 STEP 3: IMPLEMENT - Implement the site's stewardship plan and improve impacts	
3.1	<i>Implement plan to participate positively in catchment governance.</i>
3.1.1	<i>Evidence that the site has supported good catchment governance shall be identified.</i>
Comment	<p>Evidence: Minutes of MoU with Ho Chi Minh city poor Patient Association for Water for School Project. Engagement with Tan An city local council to close shallow wells. Cleaning of canal MoU of 2022 activities – KH Ngay dai duong the gioi – Ocean Day</p> <p>The documentation in Vietnamese includes a commitment to undertake sampling of shallow wells, and send results to the Ward, to inform the community of the water quality of the water they are accessing to support water use safety.</p>
3.1.2	<i>Measures identified to respect the water rights of others including Indigenous peoples, that are not part of 3.2 shall be implemented.</i>
Comment	<p>Evidence: As per 3.1.1 above</p> <p>Evidence from earlier requirements speaks to La Vie taking consideration of water users (and by implication their rights) and long-term requirements of the District through to local level into account e.g., groundwater versus surface water access and use.</p> <p>MoUs and various actions all demonstrate this.</p>
3.2	<i>Implement system to comply with water-related legal and regulatory requirements and respect water rights.</i>
3.2.1	<i>A process to verify full legal and regulatory compliance shall be implemented.</i>
Comment	<p>Evidence: La Vie undertakes an annual review of their legal requirements and what needs to be applied for.</p> <p>La Vie has a legal and compliance department in HCMC that tracks all legal obligations. They also have two accounts for law websites, to be able to check relevant legislation (https://bachluat.vn/tra-cuu-luat.html), including one subscription service that ensures the most recent legislation is available.</p> <p>Documentary evidence was shown during the audit that all water-related licenses are up-to-date for the 2 operational wells (wells 3 and 4) and for discharge of waste (waste and storm water). These licenses required harvesting (abstraction) plans to be submitted, which were submitted with the license applications. Waste and storm water license is up to date.</p>
3.2.2	<i>Where water rights are part of legal and regulatory requirements, measures identified to respect the water rights of others including Indigenous peoples, shall be implemented.</i>
Comment	<p>Evidence: There are no legal rights to water in Vietnam, but La Vie is implementing various actions to support sustainable water resource management in the District and Tan An as per 3.2.1. and other evidence for earlier requirements.</p>

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3.3	<i>Implement plan to achieve site water balance targets.</i>	
3.3.1	<i>Status of progress towards meeting water balance targets set in the water stewardship plan shall be identified.</i>	✔ Yes
Comment	<p>Evidence: La Vie Water Ratio Market – 2022.xlsx shown. This documents the annual water balance targets set for 2019 – 2022 for each year and performance for each month. Some years the targets were met and others were just not achieved but were very close.</p> <p>OMP Long An Factory 2020 – Includes targets set for the factory for the financial year. This then feeds into the Factory’s action plans. Demonstrates a very high level of integration from Policy, into Planning and Targets, and ultimately Implementation.</p>	
3.3.2	<i>Where water scarcity is a shared water challenge, annual targets to improve the site’s water use efficiency, or if practical and applicable, reduce volumetric total use shall be implemented.</i>	✔ Yes
Comment	<p>Evidence: The area is not water-scarce, as per catchment poster and NOMRE report on water resources for the catchment. Even if this was applicable, annual targets for water efficiency are set and the site is continuously working towards meeting these targets.</p>	
3.3.3	<i>Legally-binding documentation, if applicable, for the re-allocation of water to social, cultural or environmental needs shall be identified.</i>	✔ Yes
Comment	<p>Evidence: The site has committed itself to providing a specific quantity of free drinking water (48 gallon-sized bottles per week) to the adjacent University, but has done so on a voluntary basis. It is not a government/legislative requirement to make such a re-allocation/donation.</p>	
3.4	<i>Implement plan to achieve site water quality targets</i>	
3.4.1	<i>Status of progress towards meeting water quality targets set in the water stewardship plan shall be identified.</i>	✔ Yes
Comment	<p>Evidence: Various water quality Excel results Also, see evidence regarding water quality in earlier relevant requirements.</p>	
3.4.2	<i>Where water quality is a shared water challenge, continual improvement to achieve best practice for the site’s effluent shall be identified and where applicable, quantified.</i>	✔ Yes
Comment	<p>Evidence: A new WWTW plant was constructed and put into operation in 2020 by La Vie. The plant is currently operating to its full level of efficiency and the site has a closed system for water re-use were possible, so improvements in effluent quality cannot be achieved beyond current levels and both all Vietnamese and Nestle effluent requirements are being met.</p>	
3.5	<i>Implement plan to maintain or improve the site’s and/or catchment’s Important Water-Related Areas.</i>	
3.5.1	<i>Practices set in the water stewardship plan to maintain and/or enhance the site’s Important Water-Related Areas shall be implemented.</i>	✔ Yes
Comment	<p>Evidence: Various evidence of projects to clean canal, help shift from ground to surface water in partnership with LAWACO.</p>	

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3.6	<i>Implement plan to provide access to safe drinking water, effective sanitation, and protective hygiene (WASH) for all workers at all premises under the site's control.</i>	
3.6.1	<i>Evidence of the site's provision of adequate access to safe drinking water, effective sanitation, and protective hygiene (WASH) for all workers onsite shall be identified and where applicable, quantified.</i>	 Yes
Comment	Evidence: 3.6.1 WASH Self-Assessment LAF	
3.6.2	<i>Evidence that the site is not impinging on the human right to safe water and sanitation of communities through their operations, and that traditional access rights for indigenous and local communities are being respected, and that remedial actions are in place where this is not the case, and that these are effective.</i>	 Yes
Comment	Evidence: Water Assessment reflects that there is no impingement on human right to safe water and sanitation. Rather, the site is improving access to safe drinking water.	
3.7	<i>Implement plan to maintain or improve indirect water use within the catchment:</i>	
3.7.1	<i>Evidence that indirect water use targets set in the water stewardship plan, as applicable, have been met shall be quantified.</i>	 Yes
Comment	Evidence: OMP LAF 2022 Template 02 – Worksheet Projects, Row 46-49. Move material of 5L label from PVC to PET, and 5G cap sleeve from PVC to PET. 1) Feb 2022 – finish Sept 2022 2) Dec 2021 - finish May 2022 23 March, this was already implemented for ViVa 500ml bottles. Hoang to confirm if any similar targets were set and met for other indirect uses (e.g., packaging).	
3.7.2	<i>Evidence of engagement with suppliers and service providers, as well as, when applicable, actions they have taken in the catchment as a result of the site's engagement related to indirect water use, shall be identified.</i>	 Yes
Comment	Evidence: Spreadsheet reflecting actions agreed with contractors to reduce their water use.	
3.8	<i>Implement plan to engage with and notify the owners of any shared water-related infrastructure of any concerns the site may have.</i>	
3.8.1	<i>Evidence of engagement, and the key messages relayed with confirmation of receipt, shall be identified.</i>	 Yes
Comment	Evidence: There no shared water infrastructure, other than municipal and LAWACO infrastructure and there is ongoing dialogue with Tan An City and LAWACO. LAWACO has a maintenance team that is responsively addressing leaks. For the municipal outlet for discharge water, the infrastructure is in good condition; we viewed this and it is well maintained and in a good and relatively new condition.	
3.9	<i>Implement actions to achieve best practice towards AWS outcomes: continually improve towards achieving sectoral best practice having a local/catchment, regional, or national relevance.</i>	

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3.9.1	<i>Actions towards achieving best practice, related to water governance, as applicable, shall be implemented.</i>	 Yes
Comment	<p>Evidence: Various evidence of actions with Long An province, Tan An City, Wards, and LAWACO to reduce groundwater use, and increase surface water use including financial support to LAWACO to implement an expanding to their surface water treat capacity. Also canal clean-up etc.</p> <p>Also access to clean water for communities in two wards and students at the college, university and via two free water stations at La Vie itself.</p>	
3.9.2	<i>Actions towards achieving best practice, related to targets in terms of water balance shall be implemented.</i>	 Yes
Comment	<p>Evidence: The LAWACO project to move from ground to surface water sourcing at a local and district level.</p>	
3.9.3	<i>Actions towards achieving best practice, related to targets in terms of water quality shall be implemented.</i>	 Yes
Comment	<p>Evidence: The new La Vie waste water treatment plant was budgeted for, constructed and brought into operation in 2020 to improve waste water quality discharged, which is below both legal and Nestle standards.</p> <p>La Vie also helped close various surface wells in partnership with Tan An City and LAWACO to assist in improving local and regional ground water quality.</p> <p>La Vie undertook a canal clean-up to improve surface water quality: 800m of Thu Tuu canal in 2018; 2019 - 1.000m of Chien Luoc canal and 2022 for Chien Luoc canal again with another 1.500m. La Vie has budgeted for cleaning it again in 2022 and La Vie will be expanding the extent of the cleaning (to 1,500m in length).</p>	
3.9.4	<i>Actions towards achieving best practice, related to targets in terms of the site’s maintenance of Important Water-Related Areas shall be implemented.</i>	 Yes
Comment	<p>Evidence: The LAWACO project to move to surface water at a local and district level.</p> <p>The new La Vie waste water treatment plant was budgeted for, constructed and brought into operation in 2020 to improve waste water quality discharged, which is below both legal and Nestle standards.</p> <p>Also helped close various surface wells in partnership with Tan An city and LAWACO to assist in improving local and regional ground water quality.</p> <p>Canal clean-up to improve surface water quality: 800m of Thu Tuu canal in 2018; 2019 - 1.000m of Chien Luoc canal and 2022 for Chien Luoc canal again with another 1.500m.</p>	
3.9.5	<i>Actions towards achieving best practice related to targets in terms of WASH shall be implemented.</i>	 Yes

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Comment

Evidence:

Free water stations at the site (2) and 1 each at the college and 1 at the university are in place, and free water is provided to the nearby school. La Vie constructed a rain water collection system for the community in two Wards. La Vie also supported households to connect to city water. La Vie undertook a water quality assessment of the shallow wells used by communities, to inform the community, the Ward and Tan An City of the risks and potential for use.

Project to close ground water wells (with Ward and Tan An City), where water quality was a risk for communities, was implemented by La Vie.

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4 STEP 4: EVALUATE - Evaluate the site's performance.		
4.1	<i>Evaluate the site's performance in light of its actions and targets from its water stewardship plan and demonstrate its contribution to achieving water stewardship outcomes.</i>	
4.1.1	<i>Performance against targets in the site's water stewardship plan and the contribution to achieving water stewardship outcomes shall be evaluated.</i>	✘ No
Comment	<p>Evidence: 4.1.AWS__Extended_Water_Stewardship_Plan_2022</p> <p>Current actions are being implemented based on the Stewardship Plan, and most targets are being met or are under progress. However, is no structured evaluation of the effectiveness of current actions to achieve the Water Stewardship outcomes.</p> <p>CSV meetings are held and the associated Committee evaluates implementation of the OMP, but actions are not documented specifically in relation to the Water Stewardship outcomes. Finding No: TNR-000572</p>	
4.1.2	<i>Value creation resulting from the water stewardship plan shall be evaluated.</i>	✘ No
Comment	<p>Evidence: Current evidence shows costs and financial contributions to achieving benefits, but La Vie hasn't determined what the benefits have been and nor evaluated them.</p> <p>VN Sustainability OMP.xlsx reflects various water-saving benefits and costs invested or being invested to achieve these outcomes. This includes both budgets and metrics of targeted water savings, as well as actual costs and benefits, but the actual versus targeted/budgeted values have not been evaluated yet. Finding No: TNR-000573</p>	
4.1.3	<i>The shared value benefits in the catchment shall be identified and where applicable, quantified.</i>	✔ Yes
Comment	Evidence: 4.1.AWS__Extended_Water_Stewardship_Plan_2022 in worksheets Water Stewardship Plan and Performance/ValueCreation.	
4.2	<i>Evaluate the impacts of water-related emergency incidents (including extreme events), if any occurred, and determine the effectiveness of corrective and preventative measures.</i>	
4.2.1	<i>A written annual review and (where appropriate) root-cause analysis of the year's emergency incident(s) shall be prepared and the site's response to the incident(s) shall be evaluated and proposed preventative and corrective actions and mitigations against future incidents shall be identified.</i>	✔ Yes
Comment	<p>Evidence: No water-related emergency incidents have occurred during the last three (3) years.</p> <p>Even when minor disruptions in LAWACO water distribution have taken place due to their own maintenance, La Vie has their own wells to continue with production as planned. La Vie also advises LAWACO if they need additional water to be made available for specific periods; so this is addressed through La Vie's existing systems and pro-active planning.</p>	
4.3	<i>Evaluate stakeholders' consultation feedback regarding the site's water stewardship performance, including the effectiveness of the site's engagement process.</i>	

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4.3.1 *Consultation efforts with stakeholders on the site’s water stewardship performance shall be identified.* ✔
Yes

Comment Evidence:
 Various meetings and information interaction, but a formal annual written letter (xin y kien cac don vi 2022.pdf) is sent by La Vie to their key stakeholders to provide feedback on their past performance and proposed actions in the upcoming year, and encouraging feedback.

 La Vie also conducts surveys of nearby communities using the Ward, as an external party, to obtain feedback on La Vie’s performance. Physical copies of the surveys were shown (this was a vast number of physical survey forms that were completed by stakeholders).

4.4 *Evaluate and update the site’s water stewardship plan, incorporating the information obtained from the evaluation process in the context of continual improvement.*

4.4.1 *The site’s water stewardship plan shall be modified and adapted to incorporate any relevant information and lessons learned from the evaluations in this step and these changes shall be identified.* ✔
Yes

Comment Evidence:
 The WSP is updated annually, and more regularly when necessary, based on the OMP review processes and various stakeholder feedback; as reflected through the various versions of the Water Stewardship Plan.

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5 STEP 5: COMMUNICATE & DISCLOSE - Communicate about water stewardship and disclose the site's stewardship efforts	
5.1	<i>Disclose water-related internal governance of the site's management, including the positions of those accountable for legal compliance with water-related local laws and regulations.</i>
5.1.1	<i>The site's water-related internal governance, including positions of those accountable for compliance with water-related laws and regulations shall be disclosed.</i>
Comment	<p>Evidence: Currently contact information for La Vie's head of Corporate Affairs is provided publicly to all stakeholders, but not any information on the positions of those accountable for compliance with water-related laws and regulations. This is displayed on a noticeboard in the factory as an organogram, but is currently not shared publicly. However, it is clear that the various key stakeholders are fully aware of the relevant La Vie staff and have regular contact with them.</p> <p style="text-align: right;">Finding No: TNR-000719</p>
5.2	<i>Communicate the water stewardship plan with relevant stakeholders.</i>
5.2.1	<i>The water stewardship plan, including how the water stewardship plan contributes to AWS Standard outcomes, shall be communicated to relevant stakeholders.</i>
Comment	<p>Evidence: This has been communicated to stakeholders at various meetings and the stakeholders are clearly aware of the various actions in the Water Stewardship Plan for each respective year as confirmed during the various audit interviews.</p> <p>Before the Covid-19 pandemic meetings were held in person, during Covid this was undertaken virtually, but as the Covid situation has now improved in Vietnam in-person meetings are possible and planned again for this year.</p>
5.3	<i>Disclose annual site water stewardship summary, including: the relevant information about the site's annual water stewardship performance and results against the site's targets.</i>
5.3.1	<i>A summary of the site's water stewardship performance, including quantified performance against targets, shall be disclosed annually at a minimum.</i>
Comment	<p>Evidence: An annual letter is sent by La Vie to stakeholders explaining performance for the past year and planned actions for the upcoming year, as reflected in xin y kien cac don vi 2022.pdf There is also a more detailed spreadsheet in word format that is sent with this letter to the stakeholders.</p> <p>La Vie believes a more detailed report and possibly an annual workshop would be helpful, but the current evidence reflects full conformity with this requirement. The evidence provided for requirement 5.3.1 reflects compliance too.</p> <p>AWS SUMMARY 2020.pptx was also provided, by is more than 100Mb and cannot be uploaded as evidence due to its size.</p>
5.4	<i>Disclose efforts to collectively address shared water challenges, including: associated efforts to address the challenges; engagement with stakeholders; and co-ordination with public-sector agencies.</i>
5.4.1	<i>The site's shared water-related challenges and efforts made to address these challenges shall be disclosed.</i>

 No

 Yes





 Yes

 Yes

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Comment	Evidence: This is addressed in full by the various evidence provided in relation to the earlier requirements, including xin y kien cac don vi 2022.pdf.	
5.4.2	<i>Efforts made by the site to engage stakeholders and coordinate and support public-sector agencies shall be identified.</i>	 Yes
Comment	Evidence: This is addressed in full by the various evidence provided in relation to the earlier requirements. However, the site has done moved beyond just identification and co-operation, but into dep collaboration with various public-sector agencies and community stakeholders.	
5.5	<i>Communicate transparency in water-related compliance: make any site water-related compliance violations available upon request as well as any corrective actions the site has taken to prevent future occurrences.</i>	
5.5.1	<i>Any site water-related compliance violations and associated corrections shall be disclosed.</i>	 Yes
Comment	Evidence: There have not been any compliance issues, as reflecting in the various correspondence and confirmed during the various stakeholder interviews, so no disclosure has been required.	
5.5.2	<i>Necessary corrective actions taken by the site to prevent future occurrences shall be disclosed if applicable.</i>	 Yes
Comment	Evidence: This has not been necessary, as there have not been any water-related compliance violations and associated corrections actions required.	
5.5.3	<i>Any site water-related violation that may pose significant risk and threat to human or ecosystem health shall be immediately communicated to relevant public agencies and disclosed.</i>	 Yes
Comment	Evidence: This has not been necessary, as there have not been any water-related compliance violations and associated corrections actions required.	

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Photographic Evidence from Audit



Free public water station at the entrance to the site.jpg



Waste Storage sub-area 4.jpg



Street view of the La Vie Tan An water bottling plant.jpg

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Waste Storage sub-area 2.jpg



Pond at exit of water water treatment plant.jpg



Waste storage area.jpg

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Storm water drain on site.jpg



LAWACO new water treatment system.jpg

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Waste storage separation-categorisation.jpg



Yes

Comment Various photographs of the site, the stormwater discharge point offsite, and the LAWACO potable water reservoir (pre-treatment) and water treat facility.



18-5 liter water bottles.jpg

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Canal that La Vie has arranged clean-ups for downstream of the bottling plant
Canal that La Vie has arrange clean-ups for downstream of the bottling plant.jpg



Location of La Vie Tan An storm water discharge point into water body - View 2.jpg



La Vie Tan An storm water discharge point into water body.jpg

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Chemical Store 1b.jpg



Waste Storage sub-area 1.jpg

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Water bottling production and packaging line.jpg



La Vie Tan An Water Bottling Plant entrance 1.jpg



Water bottling production line.jpg

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Chemical Store 1a.jpg



La Vie Tan An Water Bottling Plant entrance 1.jpg



Waste Storage sub-area 3.jpg

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Waste receptacle.jpg



LAWACO water reservoir.jpg

Previous Findings

All non-conformities raised in the previous audit have been satisfactorily closed.



Yes

Comment

No findings/non-conformities were identified during the surveillance audit held on 4 December 2020 (the associated report was released on 18 January 2021).