

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849

### SITE DETAILS

Site: **Coca-Cola Abasa**

Address: Kilometro 126.5. Carretera al Atlántico, Santa Cruz, Municipio de Rio Hondo, Departamento de Zacapa, 19003, Rio Hondo, GUATEMALA

AWS Reference Number: AWS-000755

Site Structure: Single Site

### CERTIFICATION DETAILS

Certification status: Certified Core

Date of certification decision: 2026-Mar-30

Validity of certificate: 2029-Mar-29

### AUDIT DETAILS

Audited Service(s): AWS Standard v2.0 (2019)

Audit Type(s): Initial Audit

Audit Start Date: 2025-Nov-25

Audit End Date: 2025-Nov-27

Lead Auditor: Claudia M. Jaime

Site Participants:

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# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849

### ADDITIONAL INFO

Summary of Audit Findings: During the certification audit 2 of non-conformities and 7 observations were raised.

The Client is requested to submit a root cause analysis and corrective actions for each of the non-conformities to WSAS within 7 days of receipt of the audit report, by 02/02/2026.

The non-conformities must be closed within 90 days of the end of the audit, however, due to the delay in issuing the report, this due date is extended to 15/03/2026. In order to meet this timeline evidence is to be submitted to WSAS by 01/03/2026

The audit team recommends certification of Coca Cola ABASA at Core level pending closure of the non-conformities.

Scope of Assessment: The scope of services includes the Initial certification audit to assess the conformity of Coca Cola ABASA to the AWS International Water Stewardship Standard Version 2.

The Site is located in Guatemala, Zacapa, Río Hondo. The city has a mixed-use area, mainly industrial, with nearby factories. There is also agricultural activity, including melon plantations, some adjacent to the plant.

The company is engaged in the bottling of carbonated and non-carbonated beverages and purified water. Water is the main raw material for production.

The operation uses only renewable energy from solar sources. There is also a thermal energy system with boilers for internal plant processes.

The audit was conducted onsite on 25-27 Nov-2025.

The onsite site visit included the assessment of a representative sample of its facilities was visited: 1 well and drinking water treatment plant, production lines, syrup area and mini-kitchen, container washing area, collection centre, chemical and hazardous waste warehouse. onsite as part of the audit Additionally WASH facilities: toilets, drinking fountains, showers, clinic and WWTP, PTAS were visited.

### FINDINGS

#### NUMBER OF FINDINGS PER LEVEL

Observation	7
Non-Conformity	2

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849

### FINDING DETAILS

Finding No:	TNR-022757
Checklist Item No:	1.2.1
Status:	Open
Finding level:	Observation
Checklist item:	Stakeholders and their water-related challenges shall be identified. The process used for stakeholder identification shall be identified. This process shall: <ul style="list-style-type: none"><li>- Inclusively cover all relevant stakeholder groups including vulnerable, women, minority, and Indigenous people;</li><li>- Consider the physical scope identified, including stakeholders, representative of the site's ultimate water source and ultimate receiving water body or bodies;</li><li>- Provide evidence of stakeholder consultation on water-related interests and challenges;</li><li>- Note that the ability and/or willingness of stakeholders to participate may vary across the relevant stakeholder groups;</li><li>- Identify the degree of stakeholder engagement based on their level of interest and influence.</li></ul>
Findings:	While the site has identified and engaged a range of relevant stakeholders at site and basin level and documented shared water-related challenges, the stakeholder identification and consultation process could be further strengthened by explicitly identifying and engaging vulnerable groups, particularly those related to water, sanitation and hygiene (WASH).
Finding No:	TNR-022089
Checklist Item No:	1.2.2
Status:	Open
Finding level:	Observation
Checklist item:	Current and potential degree of influence between site and stakeholder shall be identified, within the catchment and considering the site's ultimate water source and ultimate receiving water body for wastewater.
Findings:	Once the list of stakeholders is updated (see 1.2.1), the added stakeholders should be included in the classification map.
Finding No:	TNR-022714
Checklist Item No:	1.3.2
Status:	Open
Finding level:	Observation
Checklist item:	Site water balance, including inflows, losses, storage, and outflows shall be identified and mapped
Findings:	While the site has identified and mapped its water balance, the diagram does not clearly reflect the treated wastewater outflow from the WWTP to the environment. Clarifying the discharge flow in the water balance diagram would strengthen alignment with the actual site operations.

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849

Finding No: TNR-022097  
Checklist Item No: 1.5.1  
Status: Open  
Finding level: Observation  
Checklist item: Water governance initiatives shall be identified, including catchment plan(s), water-related public policies, major publicly-led initiatives under way, and relevant goals to help inform site of possible opportunities for water stewardship collective action.  
Findings: The Site has not analysed the objectives of the instruments identified (1.5.1 iniciativas de gobernanza) with the intention of identifying potential collective actions related to water.

Finding No: TNR-022099  
Checklist Item No: 1.5.6  
Status: Closed  
Finding level: Non-Conformity  
Due date: 2026-Mar-28  
Checklist item: Existing and planned water-related infrastructure shall be identified, including condition and potential exposure to extreme events.  
Findings: The site has identified existing water-related infrastructure within the catchment; however, the condition of this infrastructure and its potential exposure to extreme events (such as floods, droughts, or other climate-related hazards) have not been adequately assessed or described.

Finding No: TNR-022758  
Checklist Item No: 1.7.1  
Status: Open  
Finding level: Observation  
Checklist item: Water risks faced by the site shall be identified, and prioritized, including likelihood and severity of impact within a given timeframe, potential costs and business impact.  
Findings: While water-related risks have been identified and prioritised, the assessment does not clearly define the timeframe over which the risks are assessed, and the business impacts associated with the identified risks are not explicitly described.

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849

Finding No:	TNR-022759
Checklist Item No:	2.3.2
Status:	Open
Finding level:	Observation
Checklist item:	A water stewardship plan shall be identified, including for each target: <ul style="list-style-type: none"><li>- How it will be measured and monitored</li><li>- Actions to achieve and maintain (or exceed) it</li><li>- Planned timeframes to achieve it</li><li>- Financial budgets allocated for actions</li><li>- Positions of persons responsible for actions and achieving targets</li><li>- Where available, note the link between each target and the achievement of best practice to help address shared water challenges and the AWS outcomes.</li></ul>
Findings:	While the Water Stewardship Plan includes objectives, actions, responsibilities, budgets and timeframes, some actions appear overlapping/duplicative, and not all actions clearly specify their start and end dates, which may reduce the clarity and traceability of implementation progress.
Finding No:	TNR-022157
Checklist Item No:	4.3.1
Status:	Closed
Finding level:	Non-Conformity
Due date:	2026-Mar-28
Checklist item:	Consultation efforts with stakeholders on the site's water stewardship performance shall be identified.
Findings:	The Site has not demonstrated efforts to consult stakeholders on the performance of its WSP.
Finding No:	TNR-022776
Checklist Item No:	5.3.1
Status:	Open
Finding level:	Observation
Checklist item:	A summary of the site's water stewardship performance, including quantified performance against targets, shall be disclosed annually at a minimum.
Findings:	The site has demonstrated a plan for disclosures but has not implemented it yet. It will be implemented once the site has a full year of performance.

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849

### Report Details

Report	Value
Report prepared by	Claudia M. Jaime
Report approved by	Ozge GOKMEN
Report approved on (Date)	24/01/2026

### Surveillance

**Proposed date for next audit**  
2026-Nov-25

Comment November 25th 2026

### Stakeholder Announcements

Date of publication	Location
29/09/2025	pag.10 Nuestro Diario Oriente
Comment	During the audit process, the publication on the newspaper was observed.

### Catchment Information

#### Catchment Information

The plant is located within the Motagua River basin, specifically in the Pasabién River sub-basin. The aquifer that supplies the area is Grande Zacapa.

The plant depends on water from this aquifer.

Once treated in accordance with established parameters, wastewater is discharged into a dry stream that flows into the Motagua River.

The aquifer has a graben structure, caused by the influence of the Motagua River and the presence of tectonic faults that characterise the geology of the area.

In Guatemala, there is no public, centralised and updated database that provides official information on aquifer recharge and extraction volumes; for this reason, there are no records of the water table.

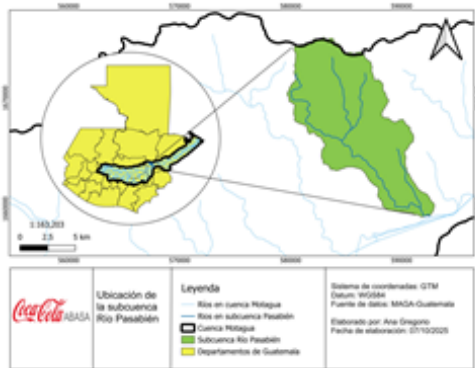
The water is treated on site.

1. The aquifer faces high water stress from uncontrolled extraction, caused by historical overexploitation, expanding industrial activities, lack of constant official monitoring, and lack of regulatory standards.
2. There are no areas prone to flooding.
3. Three important water-related areas (IWRA) were identified: the Santa Rosalia Marmol waterfall, the Pasabien river waterfall, and the Sierra de las Minas Biosphere.
4. Not applicable
5. Low or very low groundwater potential is observed in this region, consistent with the semi-arid climate and limited recharge conditions.
6. The contrast between the areas is mainly due to a combination of anthropogenic and climatic factors: overexploitation, soil sealing, intensive agricultural use, and increasing rainfall variability.

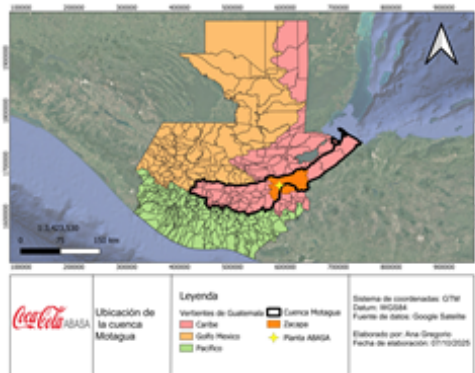
# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849



pasabien.jpg



MOTAGUA Y VERTIENTES.jpg

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849

### Client Description and Site Details

#### Client/Site Background

The company is engaged in the bottling of carbonated and non-carbonated beverages and purified water. Water is the main raw material for production.

The operation uses only renewable energy from solar sources. There is also a thermal energy system with boilers for internal plant processes.

Water infrastructure on site:

1. The plant sources its water from on-site wells.
2. Extracted water is disinfected with UV lamps and chlorine before use in production processes.
3. Water is the primary raw material in beverage production.
4. Not applicable
5. The plant operates treatment systems for both wastewater and sanitary water.
6. Cooling towers are managed by an external supplier.
7. The site does not have rainwater collection infrastructure.
8. Separate networks are in place for rainwater, industrial, and sanitary drainage.
9. A dedicated pipe network transports recovered water for fire emergencies.

Industrial process water is treated at the wastewater plant using a secondary biological system. Sanitary water is treated with a biological membrane system (MBR).

After treatment, both streams are discharged at a single point into a dry stream.

The plant employs 234 people, including 36 women and 198 men.

The plant covers 147,500 m<sup>2</sup> and is divided into 31 areas.



limite del sitio.jpg

### Summary of Shared Water Challenges

#### Summary of Shared Water Challenges

Summary of the shared water secrets presented by the Site as priority:


- High deforestation in water recharge areas
- Forest fires
- Lack of up-to-date and public information
- Governance issues
- Poor water quality

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849

### 0.0.1 Water Source & Discharge Locations

**0.01** *Have any water source or discharge locations been visited during the audit, if so, which and where? If none were visited, please provide justification.*   
Yes

Comment Visit to an IWRA called 'Piedra de Marmol' where we interviewed those responsible for the reforestation programme in areas affected by fires (9 hectares). Reforestation is carried out using native plants, and 10,000 seedlings were planted. This area is the lower part of the 'Sierra de las Minas' biosphere reserve. In association with WWF- Defenders of Nature.

Audit Number: AO-001849

### 1 STEP 1: GATHER AND UNDERSTAND

**1.1** *Gather information to define the site's physical scope for water stewardship purposes, including: its operational boundaries; the water sources from which the site draws; the locations to which the site returns its discharges; and the catchment(s) that the site affect(s) and upon which it is reliant.*

**1.1.1** *The physical scope of the site shall be mapped, considering the regulatory landscape and zone of stakeholder interests, including:*

- Site boundaries;
- Water-related infrastructure, including piping network, owned or managed by the site or its parent organization;
- Any water sources providing water to the site that are owned or managed by the site or its parent organization;
- Water service provider (if applicable) and its ultimate water source;
- Discharge points and waste water service provider (if applicable) and ultimate receiving water body or bodies;
- Catchment(s) that the site affect(s) and is reliant upon for water.

  
Yes

Comment The Site has provided a series of maps showing the Site's infrastructure, including the network of wells, treatment plants and water distribution networks. The Site boundaries: a map (in image format) has been provided. The Site has provided a discharge map that includes the final discharge into a stream that flows into the Motagua River. The Site is located in the Zapaca aquifer. Uploaded to the platform. The maps clearly show that the site operates three groundwater wells, identifies the wastewater discharge points, and demonstrates that treated effluent is discharged into a dry stream that ultimately flows into the Motagua River.

SVA-March (attached Step 1)

**1.2** *Understand relevant stakeholders, their water related challenges, and the site's ability to influence beyond its boundaries.*

**1.2.1** *Stakeholders and their water-related challenges shall be identified. The process used for stakeholder identification shall be identified. This process shall:*

- Inclusively cover all relevant stakeholder groups including vulnerable, women, minority, and Indigenous people;
- Consider the physical scope identified, including stakeholders, representative of the site's ultimate water source and ultimate receiving water body or bodies;
- Provide evidence of stakeholder consultation on water-related interests and challenges;
- Note that the ability and/or willingness of stakeholders to participate may vary across the relevant stakeholder groups;
- Identify the degree of stakeholder engagement based on their level of interest and influence.

  
Obs.

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849

**Comment** The site has identified relevant stakeholders at site and basin level, including government authorities, basin-level industrial users and NGOs, and has documented shared water-related challenges for each stakeholder. The stakeholder mapping reflects engagement in basin-level platforms such as technical water roundtables and sub-basin initiatives.

The process used for stakeholder identification and engagement has been described, and evidence indicates that water-related interests and challenges have been identified through stakeholder interactions. However, the identification and explicit inclusion of vulnerable groups, particularly those related to water, sanitation and hygiene (WASH), could be further strengthened.

**1.2.2** *Current and potential degree of influence between site and stakeholder shall be identified, within the catchment and considering the site's ultimate water source and ultimate receiving water body for wastewater.* 🔍  
Obs.

**Comment** The Site has displayed a map of stakeholders. They have been evaluated according to their importance to the Site and relevance to the basin.

**1.3** *Gather water-related data for the site, including: water balance; water quality, Important Water-Related Areas, water governance, WASH; water-related costs, revenues, and shared value creation.*

**1.3.1** *Existing water-related incident response plans shall be identified.* ✅  
Yes

**Comment** The Site has included water-related emergencies in its contingency plans:  
- MIRC incident management and crisis resolution  
- Emergency action procedure - quality, safety and environment, including water-related incidents.

**1.3.2** *Site water balance, including inflows, losses, storage, and outflows shall be identified and mapped* 🔍  
Obs.

**Comment** No shared challenge related to water availability is identified. The document presents a diagram of its water balance, which includes inflows, losses, storage, and outflows. The diagram does not describe the outflow to the receiving body; according to the diagram, all the water remains in the WWTP.

**1.3.3** *Site water balance, inflows, losses, storage, and outflows, including indication of annual variance in water usage rates, shall be quantified. Where there is a water-related challenge that would be a threat to good water balance for people or environment, an indication of annual high and low variances shall be quantified.* ✅  
Yes

**Comment** The site has provided annual consumption graphs for 2024 and 2025, with water balances of 5% and 2%, respectively. This variation is attributed to project activities in the previous year and increased water recovery in the current year, resulting from the installation of an additional osmosis system at the wastewater treatment plant (WWTP) discharge. Consequently, the site now reuses water from the WWTP within its operational cycle. The site quantifies the water balance by measuring inflows, losses, storage, and outflows, and reports monthly variations in water usage rates.

The maximum extraction rate recorded at the site is 2300 cubic meters per hour.

The treatment system is designed to process 200 cubic meters per hour and deliver the treated water to production.

The treatment process incorporates carbon purification filters and ultraviolet (UV) lamps.








After treatment, the water is transferred to the production process.

The site presents its water balance, detailing monthly variations in usage rates.

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849

<b>1.3.4</b>	<i>Water quality of the site's water source(s), provided waters, effluent and receiving water bodies shall be quantified. Where there is a water-related challenge that would be a threat to good water quality status for people or environment, an indication of annual, and where appropriate, seasonal, high and low variances shall be quantified.</i>	 Yes
Comment	The Site has submitted results from monitoring water quality in its wells and effluents from the WWTP, DWTP, and their combination. The Site has submitted analyses from INAF Group in Guatemala, WWTP, DWTP, and their combination. For its effluents, no data are outside the norm. See File 1.3.4	
<b>1.3.5</b>	<i>Potential sources of pollution shall be identified and if applicable, mapped, including chemicals used or stored on site.</i>	 Yes
Comment	The Site has presented a map showing potential sources of contamination, including: Wastewater and process water treatment plants, the collection centre, maintenance workshop and auxiliary services, ECOLAB warehouse, chemical warehouse, alternate warehouse, ALPLA warehouse, and bunker, caustic soda and CO2 tanks. These facilities were visited during the site tour in the audit.	
<b>1.3.6</b>	<i>On-site Important Water-Related Areas shall be identified and mapped, including a description of their status including Indigenous cultural values.</i>	 Yes
Comment	The Site did not identify any IWRA's on Site.	
<b>1.3.7</b>	<i>Annual water-related costs, revenues, and a description or quantification of the social, cultural, environmental, or economic water-related value generated by the site shall be identified and used to inform the evaluation of the plan in 4.1.2.</i>	 Yes
Comment	The Site has presented a quantified description of the costs, including social, cultural, environmental and economic values related to water. These considerations have included projects, consulting services and the cost of AWS certification. The values expressed are presented in US\$.	
<b>1.3.8</b>	<i>Levels of access and adequacy of WASH at the site shall be identified.</i>	 Yes
Comment	The Site has submitted a quantified description of access to WASH, workers and hydration points on the Site. 198 Men 36 Women 41 toilets, 26 urinals, 76 washbasins and 20 showers 47 hydration points	
<b>1.4</b>	<i>Gather data on the site's indirect water use, including: its primary inputs; the water use embedded in the production of those primary inputs the status of the waters at the origin of the inputs (where they can be identified); and water used in out-sourced water-related services.</i>	
<b>1.4.1</b>	<i>The embedded water use of primary inputs, including quantity, quality and level of water risk within the site's catchment, shall be identified.</i>	 Yes
Comment	The Site has not identified any inputs that are produced in the same basin.	
<b>1.4.2</b>	<i>The embedded water use of outsourced services shall be identified, and where those services originate within the site's catchment, quantified.</i>	 Yes
Comment	The Site has provided a list of its service providers, and there are no service providers within the same catchment.	

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849

<b>1.5</b>	<i>Gather water-related data for the catchment, including water governance, water balance, water quality, Important Water-Related Areas, infrastructure, and WASH</i>	
<b>1.5.1</b>	<i>Water governance initiatives shall be identified, including catchment plan(s), water-related public policies, major publicly-led initiatives under way, and relevant goals to help inform site of possible opportunities for water stewardship collective action.</i>	 Obs.
Comment	<p>The Site has identified nine regulatory instruments related to water management and use. It is important to note that Guatemala does not have regulations related to water extraction; this means that the use of wells is authorised but extraction is not regulated. The country is currently beginning to organise working groups, in which the Site participates, to develop a regulatory legal instrument.</p> <p>The Site has not analysed the objectives of the instruments identified with the intention of identifying potential collective actions related to water.</p>	
<b>1.5.2</b>	<i>Applicable water-related legal and regulatory requirements shall be identified, including legally-defined and/or stakeholder-verified customary water rights.</i>	 Yes
Comment	<p>The Site has identified the legal and regulatory requirements (environment, safety, and quality); it employs an external consultancy firm called ECOLEGAL, which assists them in updating regulatory instruments.</p> <p>The Site includes a list of regulatory instruments applicable to water: Including licences for well drilling, the doctor, and the number of toilets required for each specific number of workers.</p>	
<b>1.5.3</b>	<i>The catchment water-balance, and where applicable, scarcity, shall be quantified, including indication of annual, and where appropriate, seasonal, variance.</i>	 Yes
Comment	<p>There is no up-to-date public information available to determine the water balance of the basin; therefore, the Site has requested the preparation of a vulnerability study that includes the water balance.</p> <p>The results indicate that the Parabien River basin is not currently experiencing water stress and is considered to have an adequate water stress index, as water availability per person is 3,952 m3 per year. With a total of 24.92 million m<sup>3</sup> of water resources available in the Pasabién River basin and a demand for a population of 6,306 people.</p> <p>See document attached Step 1 pp 41</p>	
<b>1.5.4</b>	<i>Water quality, including physical, chemical, and biological status, of the catchment shall be identified, and where possible, quantified. Where there is a water-related challenge that would be a threat to good water quality status for people or environment, an indication of annual, and where appropriate, seasonal, high and low variances shall be identified.</i>	 Yes

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849

Comment The Site has paid for a study to determine the water quality of the Pasabien and Motagua rivers  
 In terms of water quality, it is INSUME 2017 measured by the Gualan station  
 pH, temperature (slide 7)  
 The Site paid for two CA samples in two rivers and has presented the results (slide 8)  
 The Site has shown the information presented in some research papers.  
 The water in this sub-basin is not considered drinkable, as it does not undergo any treatment before reaching the end user. The only exception is the water that passes through the hydroelectric plant, to which chlorine is added as a treatment measure, but even so, it is not drinkable.  
 In addition, due to the lack of an adequate wastewater sanitation system, some villages discharge their wastewater directly into rivers or store it in septic tanks built individually in their homes, resulting in the contamination of water sources.  
 In the Pasabién River sub-basin, villages and towns depend heavily on surface water supplies, highlighting the importance of the quality and availability of this resource. However, the sub-basin faces several significant challenges. One of these challenges lies in the non-consumptive use of water, specifically by a hydroelectric plant located in the area. This hydroelectric plant retains the entire flow of the river in its reservoir, which has a storage capacity of 700 m3 and is located at an altitude of 884 metres above sea level.  
 This retention of water leaves a considerable stretch of the river without water resources, where the water catchments used by local communities are located, which leads to conflicts, especially during the dry season, affecting both domestic and agricultural users.  
 Additional problems arise in relation to water quality. Some agricultural producers use chlorinated water from the hydroelectric plant, which is not always suitable for their agricultural purposes. In addition, due to the lack of an adequate drainage and wastewater treatment system in the municipality of Río Hondo, there is a constant risk of contamination of surface waters in the region.  
 See document in Step 1 pp 39-40

**1.5.5** *Important Water-Related Areas shall be identified, and where appropriate, mapped, and their status assessed including any threats to people or the natural environment, using scientific information and through stakeholder engagement.* ✔  
Yes

Comment The Site has identified the IWRAs in the basin and defined their status, determined through consultation with WWF experts and members of Defenders of Nature.

**1.5.6** *Existing and planned water-related infrastructure shall be identified, including condition and potential exposure to extreme events.* ✔  
closed

Comment The Site has identified 8 WWTPs in the basin and the potential exposure to extreme events is unclear.

**Finding No: TNR-022099**

**1.5.7** *The adequacy of available WASH services within the catchment shall be identified.* ✔  
Yes

Comment The Site has presented the available information (2018 Census) on the municipalities that make up the basin.  
 Water supply  
 Type of sanitation service &  
 Health services






**1.6** *Understand current and future shared water challenges in the catchment, by linking the water challenges identified by stakeholders with the site's water challenges.*

**1.6.1** *Shared water challenges shall be identified and prioritized from the information gathered.* ✔  
Yes

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)



Audit Number: AO-001849

Comment	Shared water challenges were identified using information collected during technical roundtables. Analyses were also conducted on studies funded by the Site. Additionally, the expertise of Site staff contributed to the prioritisation process.	
<b>1.6.2</b>	<i>Initiatives to address shared water challenges shall be identified.</i>	 Yes
Comment	The Site has mentioned ongoing initiatives to address the shared water challenges identified. See document at 1.6.1	
<b>1.7</b>	<i>Understand the site's water risks and opportunities: Assess and prioritize the water risks and opportunities affecting the site based upon the status of the site, existing risk management plans and/or the issues and future risk trends identified in 1.6.</i>	
<b>1.7.1</b>	<i>Water risks faced by the site shall be identified, and prioritized, including likelihood and severity of impact within a given timeframe, potential costs and business impact.</i>	 Obs.
Comment	The Site has identified three types of water-related risks: 1 physical water quality 1 physical-regulatory lack of legislation 1 reputational perception of pollution Document 1.7.1 & 1.7.2 The analysis includes prioritisation, probability of occurrence, potential costs, and impact on the business File 1.7.1 & 1.7.2	
<b>1.7.2</b>	<i>Water-related opportunities shall be identified, including how the site may participate, assessment and prioritization of potential savings, and business opportunities.</i>	 Yes
Comment	The Site has identified opportunities related to the identified risks: The analysis includes prioritisation, Site involvement (including to prevent their occurrence), potential savings, and business benefits. See document at 1.7.1	
<b>1.8</b>	<i>Understand best practice towards achieving AWS outcomes: Determining sectoral best practices having a local/catchment, regional, or national relevance.</i>	
<b>1.8.1</b>	<i>Relevant catchment best practice for water governance shall be identified.</i>	 Yes
Comment	The Site has identified the following as best practices for the good governance of the Catchment: •Bi-annual participation in the Incident Command System for inter-institutional coordination of forest fires in the Sierra de las Minas Biosphere Reserve •Annual participation in the dissemination of the implementation of the Master Plan with the Pasabién sub-basin committee, made up of COCODES, water committees, the private sector and the hotel sector, and its subsequent monitoring. •Socialisation of the Pasabién Sub-basin Management Plan to the Firefighters Committee •Technical training on forest fires to the Firefighters Committee •Participation in meetings convened by the Ministry of the Environment and/or Business Chambers for the formulation of a Water Law.	
<b>1.8.2</b>	<i>Relevant sector and/or catchment best practice for water balance (either through water efficiency or less total water use) shall be identified.</i>	 Yes

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849

Comment	<p>The Site has identified the following as best practices for water balance:</p> <ul style="list-style-type: none"> <li>•Maintaining a regularly updated water balance (Site)</li> <li>•Installing a new reverse osmosis system at the wastewater treatment plant (WWTP-Site)</li> <li>•Conducting regular inspections to detect leaks in the facilities to ensure optimal water consumption (Site)</li> <li>•Holding daily meetings to review the WUR water use indicator (Site)</li> <li>•Conducting a water availability study through the Grace satellite mission (catchment).</li> </ul> <p>See document attaches 1.8.1</p>	
<b>1.8.3</b>	<p><i>Relevant sector and/or catchment best practice for water quality shall be identified, including rationale for data source.</i></p>	<p> Yes</p>
Comment	<p>The Site has identified the following as best practices for water quality:</p> <p>Site</p> <ul style="list-style-type: none"> <li>• Ensuring compliance with KORE parameters for wastewater discharge into the receiving water body, which exceed legal parameters</li> <li>• Comprehensive water quality analyses are carried out in wells on an annual basis to verify and ensure that the water used in all processes meets quality standards</li> <li>• Have a fish tank as a bioindicator system that demonstrates the quality of the treated effluent discharged by the wastewater treatment plant</li> <li>• Installation of a new PTAS sanitary water treatment plant using a biological membrane (MBR) technology system, which increases the efficiency of contaminant removal Basin</li> <li>• Conduct annual water quality analyses in the Motagua and Pasabién rivers in order to assess the water conditions of the basin. A single study was conducted in 2024</li> <li>• Perform physical-chemical analysis of treated wastewater on a quarterly basis, as established by KORE self-regulation, prior to its discharge into the stream. Currently, four monitoring sessions are carried out per year, while regulations require two per year</li> <li>• Hold a monthly recycling day, open to the community and plant staff, to collect waste from water bodies</li> </ul> <p>See document attaches 1.8.1</p>	
<b>1.8.4</b>	<p><i>Relevant catchment best practice for site maintenance of Important Water-Related Areas shall be identified.</i></p>	<p> Yes</p>
Comment	<p>The Site has identified the following as best practices in important water-related areas:</p> <ul style="list-style-type: none"> <li>•Protection of 600 hectares through fire prevention measures and reforestation and conservation activities in the core water recharge area</li> <li>•Reforestation and conservation measures in the core water recharge area</li> <li>•Training technical and operational personnel in basic techniques for new forest firefighters and incident command systems</li> <li>•Sorting and collecting solid waste in the vicinity of the Río Pasabién resort to reduce its impact on the river's natural environment</li> <li>•Installing camera traps to monitor wildlife in the core water recharge area.</li> </ul> <p>See document attaches 1.8.1</p>	
<b>1.8.5</b>	<p><i>Relevant sector and/or catchment best practice for site provision of equitable and adequate WASH services shall be identified.</i></p>	<p> Yes</p>
Comment	<p>The Site has identified the following as best practices in important areas related to water:</p> <p>Site</p> <ul style="list-style-type: none"> <li>•Expand the capacity of sanitary units</li> <li>•Provide a breastfeeding room within the site facilities</li> <li>•The medical services unit implements complementary Wellness and Preventive Health activities, including periodic screening campaigns (cancer, diabetes)</li> </ul> <p>Basin</p> <ul style="list-style-type: none"> <li>•Train schools on awareness and best practices for water use and conservation.</li> <li>•Train the population benefiting from the supply systems implemented (wells) within the Municipality of Río Hondo; topics to be taught: water use and conservation, basic technical knowledge of supply systems (wells) and ecological awareness</li> </ul> <p>See document attaches 1.8.1</p>	



Audit Number: AO-001849

2 STEP 2: COMMIT & PLAN - Commit to be a responsible water steward and develop a Water Stewardship Plan	
2.1	<i>Commit to water stewardship by having the senior-most manager in charge of water at the site, or if necessary, a suitable individual within the organization head office, sign and publicly disclose a commitment to water stewardship, the implementation of the AWS Standard and achieving its five outcomes, and the allocation of required resources.</i>
2.1.1	<p><i>A signed and publicly disclosed site statement OR organizational document shall be identified. The statement or document shall include the following commitments:</i></p> <ul style="list-style-type: none"> <li>- <i>That the site will implement and disclose progress on water stewardship program(s) to achieve improvements in AWS water stewardship outcomes</i></li> <li>- <i>That the site implementation will be aligned to and in support of existing catchment sustainability plans</i></li> <li>- <i>That the site's stakeholders will be engaged in an open and transparent way</i></li> <li>- <i>That the site will allocate resources to implement the Standard.</i></li> </ul>
Comment	<p>The Site submitted a signed statement that includes the following commitments:</p> <ul style="list-style-type: none"> <li>-That the site will implement and disclose progress on sustainable water management plans to achieve improvements in AWS sustainable water management outcomes;</li> <li>-That the site's implementation will support and align with existing basin sustainability plans;</li> <li>-That site stakeholders will participate in an open and transparent manner; and</li> <li>-That the site will allocate resources to implement the Standard.</li> </ul> <p>The Site has published it on its social media accounts. During the audit, we were able to observe the publication on LinkedIn.</p>
2.2	<i>Develop and document a process to achieve and maintain legal and regulatory compliance.</i>
2.2.1	<p><i>The system to maintain compliance obligations for water and wastewater management shall be identified, including:</i></p> <ul style="list-style-type: none"> <li>- <i>Identification of responsible persons/positions within facility organizational structure</i></li> <li>- <i>Process for submissions to regulatory agencies.</i></li> </ul>
Comment	<p>The Site has provided documentation identifying the roles responsible for legal compliance in water-related matters and outlining the procedures for submission to regulatory authorities.</p>
2.3	<i>Create a water stewardship strategy and plan including addressing risks (to and from the site), shared catchment water challenges, and opportunities.</i>
2.3.1	<p><i>A water stewardship strategy shall be identified that defines the overarching mission, vision, and goals of the organization towards good water stewardship in line with this AWS Standard.</i></p>
Comment	<p>The Site has identified a strategy that defines its mission and vision at the corporate level. They have uploaded a presentation describing the organisational structure for sustainable resource management, particularly water.</p>

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849

<b>2.3.2</b>	<i>A water stewardship plan shall be identified, including for each target:</i> <ul style="list-style-type: none"><li>- How it will be measured and monitored</li><li>- Actions to achieve and maintain (or exceed) it</li><li>- Planned timeframes to achieve it</li><li>- Financial budgets allocated for actions</li><li>- Positions of persons responsible for actions and achieving targets</li><li>- Where available, note the link between each target and the achievement of best practice to help address shared water challenges and the AWS outcomes.</li></ul>	 Obs.
Comment	<p>The WSP includes 15 objectives. It clearly defines which objectives apply to the Site and which to the Catchment:</p> <ul style="list-style-type: none"><li>- Each objective includes how it will be measured and how often it will be monitored. The plan lists the actions that will be carried out.</li><li>- The plan includes timeframes for completing the objectives, but not all actions specify their start and end dates.</li><li>- The objectives include budgets.</li><li>- The plan names the roles responsible for monitoring each objective, and</li><li>- Each objective is connected to shared water challenges, best practices, and the five outcomes of the AWS standard.</li></ul>	
<b>2.4</b>	<i>Demonstrate the site's responsiveness and resilience to respond to water risks</i>	
<b>2.4.1</b>	<i>A plan to mitigate or adapt to identified water risks developed in co-ordination with relevant public-sector and infrastructure agencies shall be identified.</i>	 Yes
Comment	<p>The Site maintains open communication with municipal authorities in neighbouring communities. The interview revealed coordination to address common problems, such as forest fires, which affect the biosphere reserve and directly impact water runoff. The municipalities also have problems with the operation and management of their WWTPs. To address this, they have invited authorities to visit the Site's water treatment and purification plants, and the Site's technicians to visit the municipality's plants to identify opportunities for collective action.</p>	

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849

<b>3</b>	<b>STEP 3: IMPLEMENT - Implement the site's stewardship plan and improve impacts</b>	
3.1	<i>Implement plan to participate positively in catchment governance.</i>	
3.1.1	<i>Evidence that the site has supported good catchment governance shall be identified.</i>	 Yes
Comment	<p>The Site displays images documenting calls for water roundtables, with participation from municipal authorities within the catchment. Invitations to meetings and corresponding attendance lists are available. Minutes from the 25 April 2025 meeting in Teculután include images and a list of participants. Minutes from the 6 April roundtable discussion in Aldea Monte Grande address social activities and the management plan for the Pasabien sub-basin, and include photographs and an attendance list. The commemoration of International Forest Day and World Water Day in Aldea Ojo de Agua on 21 March 2025 included documenting student participation and capturing images. An agreement was signed with WWF to implement actions from September 2023 to June 2026.</p>	
3.1.2	<i>Measures identified to respect the water rights of others including Indigenous peoples, that are not part of 3.2 shall be implemented.</i>	 Yes
Comment	<p>The Site has presented the issue of respect for human rights and access to water for communities surrounding the plants as corporate policy.</p>	
3.2	<i>Implement system to comply with water-related legal and regulatory requirements and respect water rights.</i>	
3.2.1	<i>A process to verify full legal and regulatory compliance shall be implemented.</i>	 Yes
Comment	<p>The Site has shared evidence of its legal audit carried out by an external auditing body: environmental management system, quality and safety, and occupational health and safety. They have submitted the environmental licences for well use. These are issued after the Site pays the required fee.</p>	
3.2.2	<i>Where water rights are part of legal and regulatory requirements, measures identified to respect the water rights of others including Indigenous peoples, shall be implemented.</i>	 Yes
Comment	<p>The Site has shared a document explaining that in Guatemala there are no legally binding documents that require water allocations to be made.</p>	
3.3	<i>Implement plan to achieve site water balance targets.</i>	
3.3.1	<i>Status of progress towards meeting water balance targets set in the water stewardship plan shall be identified.</i>	 Yes
Comment	<p>The Site has shared a document presenting progress towards the WSP objectives related to water balance.</p>	
3.3.2	<i>Where water scarcity is a shared water challenge, annual targets to improve the site's water use efficiency, or if practical and applicable, reduce volumetric total use shall be implemented.</i>	 Yes

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)






Audit Number: AO-001849

Comment	The site has established annual water efficiency targets and is implementing them through a structured monitoring approach. Monthly targets and performance tracking are in place, demonstrating that actions to improve water use efficiency are being actively implemented and progress is regularly monitored. While some targets have not yet been fully achieved, the evidence confirms ongoing implementation of annual water efficiency objectives in line with Indicator 3.3.2.	
<b>3.3.3</b>	<i>Legally-binding documentation, if applicable, for the re-allocation of water to social, cultural or environmental needs shall be identified.</i>	 Yes
Comment	The Site has shared a document stating that there are no legally binding documents for the reallocation of water for social, cultural, or environmental purposes in Guatemala.	
<b>3.4</b>	<i>Implement plan to achieve site water quality targets</i>	
<b>3.4.1</b>	<i>Status of progress towards meeting water quality targets set in the water stewardship plan shall be identified.</i>	 Yes
Comment	The Site has shared a document presenting progress towards the WSP objectives related to water quality.	
<b>3.4.2</b>	<i>Where water quality is a shared water challenge, continual improvement to achieve best practice for the site's effluent shall be identified and where applicable, quantified.</i>	 Yes
Comment	The Site has delivered the results of water quality monitoring of effluents at three points: WWTP, PTAS and combined, the site takes four samples, with an external laboratory. In addition, they maintain frequent monitoring.	
<b>3.5</b>	<i>Implement plan to maintain or improve the site's and/or catchment's Important Water-Related Areas.</i>	
<b>3.5.1</b>	<i>Practices set in the water stewardship plan to maintain and/or enhance the site's Important Water-Related Areas shall be implemented.</i>	 Yes
Comment	The Site has presented evidence of the practices established in the sustainable water management plan to maintain and/or improve the site's Water-related Important Areas. The document shows evidence of the actions being carried out.	
<b>3.6</b>	<i>Implement plan to provide access to safe drinking water, effective sanitation, and protective hygiene (WASH) for all workers at all premises under the site's control.</i>	
<b>3.6.1</b>	<i>Evidence of the site's provision of adequate access to safe drinking water, effective sanitation, and protective hygiene (WASH) for all workers onsite shall be identified and where applicable, quantified.</i>	 Yes
Comment	The Site has presented a presentation on the sanitary infrastructure, which determines the number of devices based on the number of employees to ensure optimal hygienic conditions. Currently, the Site has a total of 41 toilets, 26 urinals, 69 washbasins, and 20 showers. For staff hydration, there are 47 hydration points strategically located throughout the facilities. This was observed during the Site visit. Complementing these services, a medical clinic has been established that operates 24 hours a day. This facility provides continuous medical care to staff within the plant. Additionally, a designated breastfeeding area has been set up and equipped to provide a private and adequate space.	

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)




Audit Number: AO-001849

<b>3.6.2</b>	<i>Evidence that the site is not impinging on the human right to safe water and sanitation of communities through their operations, and that traditional access rights for indigenous and local communities are being respected, and that remedial actions are in place where this is not the case, and that these are effective.</i>	 Yes
Comment	The Site does not restrict third-party access to water. The Site funded a Diagnosis of Risks of Social Conflict in the Area (2024), which found some citizen dissatisfaction, primarily directed at authorities, and referenced two private companies. No allegations were made against the Site.	
<b>3.7</b>	<i>Implement plan to maintain or improve indirect water use within the catchment:</i>	
<b>3.7.1</b>	<i>Evidence that indirect water use targets set in the water stewardship plan, as applicable, have been met shall be quantified.</i>	 Yes
Comment	The Site has not included a target for indirect water use because its suppliers of inputs or services are not located within the catchment.	
<b>3.7.2</b>	<i>Evidence of engagement with suppliers and service providers, as well as, when applicable, actions they have taken in the catchment as a result of the site's engagement related to indirect water use, shall be identified.</i>	 Yes
Comment	The Site has not identified any suppliers of goods or services in the basin, so it has not been necessary to make this commitment. Many of the services provided use site water, which is included in the site's water balance.	
<b>3.8</b>	<i>Implement plan to engage with and notify the owners of any shared water-related infrastructure of any concerns the site may have.</i>	
<b>3.8.1</b>	<i>Evidence of engagement, and the key messages relayed with confirmation of receipt, shall be identified.</i>	 Yes
Comment	The site does not have shared water infrastructure.	
<b>3.9</b>	<i>Implement actions to achieve best practice towards AWS outcomes: continually improve towards achieving sectoral best practice having a local/catchment, regional, or national relevance.</i>	
<b>3.9.1</b>	<i>Actions towards achieving best practice, related to water governance, as applicable, shall be implemented.</i>	 Yes
Comment	The site identified five best governance practices, and the implementation of some of them is described below. <ul style="list-style-type: none"> <li>• Biannual participation in the Incident Command System for inter-institutional coordination of forest fires in the Sierra de las Minas Biosphere Reserve</li> <li>• Annual participation in the dissemination of the Master Plan implementation with the Pasabién sub-basin committee, made up of COCODES, water committees, the private sector and the hotel sector, and its subsequent monitoring.</li> <li>• Dissemination of the Pasabién Sub-basin Management Plan to the Firefighters Committee</li> <li>• Technical training on forest fires for the Firefighters Committee</li> <li>• Participation in meetings convened by the Ministry of the Environment and/or Business Chambers for the formulation of a Water Law.</li> </ul> The site presents documentary and photographic evidence of the implementation of each best practice.	
<b>3.9.2</b>	<i>Actions towards achieving best practice, related to targets in terms of water balance shall be implemented.</i>	 Yes

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849

Comment	<p>The site identified five best practices for water balance, and describes the implementation of three of them.</p> <ul style="list-style-type: none"> <li>• Have a regularly updated water balance</li> <li>• Daily meetings to review the WUR water use indicator</li> <li>• Conduct a water availability study using the Grace satellite mission</li> </ul> <p>The site presents documentary and photographic evidence of the implementation of each best practice.</p>	
<b>3.9.3</b>	<p><i>Actions towards achieving best practice, related to targets in terms of water quality shall be implemented.</i></p>	 Yes
Comment	<p>The site identified seven best practices for water quality. Implementation is described below. The site conducted physical-chemical analyses of treated wastewater on a quarterly basis, as established by KORE self-regulation, prior to its discharge into the stream. Guatemalan regulations establish a semi-annual frequency for this type of analysis. Ensure compliance with legal and KORE parameters for the discharge of wastewater into the receiving water body. The site conducts annual microbiological analyses of well water to verify and ensure that the water used in all processes meets quality standards. Implementation of a fish tank as a bioindicator system that demonstrates the quality of the treated effluent discharged by the wastewater treatment plant.</p>	
<b>3.9.4</b>	<p><i>Actions towards achieving best practice, related to targets in terms of the site's maintenance of Important Water-Related Areas shall be implemented.</i></p>	 Yes
Comment	<p>The site identified four best practices related to IWRA, and their implementation is described below.</p> <ul style="list-style-type: none"> <li>• Protection of 600 hectares through fire prevention actions and reforestation and conservation activities in the core water recharge area</li> <li>• Reforestation and conservation actions in the core water recharge area</li> <li>• Training of technical and operational personnel on basic techniques for new forest firefighters and incident command systems</li> <li>• Sorting and collection of solid waste in the vicinity of the Río Pasabién resort to reduce its impact on the river's natural environment</li> <li>• Installation of camera traps to monitor wildlife in the core water recharge area</li> </ul> <p>The site presents documentary and photographic evidence of the implementation of each best practice.</p>	
<b>3.9.5</b>	<p><i>Actions towards achieving best practice related to targets in terms of WASH shall be implemented.</i></p>	 Yes
Comment	<p>The site identified six best practices related to WASH; two are not relevant and are not included. Their implementation is described below.</p> <ul style="list-style-type: none"> <li>• Conduct specialised hydrological studies to guide decisions that ensure the sustainability and quality of water sources for future interventions.</li> <li>• Train the population benefiting from the supply systems (wells) in the municipality of Río Hondo. Topics include water use and conservation, basic technical knowledge of supply systems, and environmental awareness.</li> <li>• Expand the capacity of health units.</li> <li>• Train schools in awareness and best practices for water use and conservation.</li> </ul> <p>The site presents documentary and photographic evidence of the implementation of each best practice.</p>	

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849

4 STEP 4: EVALUATE - Evaluate the site's performance.	
4.1	<i>Evaluate the site's performance in light of its actions and targets from its water stewardship plan and demonstrate its contribution to achieving water stewardship outcomes.</i>
4.1.1	<i>Performance against targets in the site's water stewardship plan and the contribution to achieving water stewardship outcomes shall be evaluated.</i>
Comment	The Site monitors and evaluates the WSP plan at the corporate level through a presentation summarising activities and progress. The review of water risk plans will include an assessment of the implementation and monitoring of the Sustainable Water Management Plan. The reviews will verify progress percentages of proposed actions, resource reallocation needs, implementation times, and the impact and contribution of results to sustainable water management.
4.1.2	<i>Value creation resulting from the water stewardship plan shall be evaluated.</i>
Comment	The Site plans to assess the value created by putting its WSP into action. This will include looking at costs linked to social, cultural, environmental, and economic value.
4.1.3	<i>The shared value benefits in the catchment shall be identified and where applicable, quantified.</i>
Comment	The Site proposes to evaluate the value benefits of the catchment using the following criteria: Operation of the treatment plants at the Site contributes to the environmental value of the Pasabién sub-basin. Wastewater discharges consistently exceed current regulatory quality standards, as verified by periodic analyses at the outlets of the Wastewater Treatment Plant (WWTP) and the Sanitary Water Treatment Plant (PTAS). Additional analyses of the combined discharge into the stream ensure continuous monitoring and control of water quality parameters. The Replenishment project focuses on conserving and reforesting the aquifer's water recharge areas, with the objective of returning water used in manufacturing processes to the natural environment. Monitoring the Pasabien and Motagua rivers provides data on their current condition and generates technical information on water quality. This information is essential due to the current lack of publicly available data. WASH projects are implemented in communities and schools to expand knowledge among community leaders, parents, and students about water, sanitation, and hygiene.  The Grace satellite mission analysis was conducted to determine the current state of the aquifer's groundwater and assess its temporal variation. This analysis is significant given the lack of publicly available hydrogeological data.geological data Convene or support existing technical committees within the Pasabién River sub-basin.
4.2	<i>Evaluate the impacts of water-related emergency incidents (including extreme events), if any occurred, and determine the effectiveness of corrective and preventative measures.</i>
4.2.1	<i>A written annual review and (where appropriate) root-cause analysis of the year's emergency incident(s) shall be prepared and the site's response to the incident(s) shall be evaluated and proposed preventative and corrective actions and mitigations against future incidents shall be identified.</i>
Comment	The Site has not reported any water-related incidents. The Site has a reactive MIRC procedure (see 1.3.1) that is used after an accident.

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849

**4.3** *Evaluate stakeholders' consultation feedback regarding the site's water stewardship performance, including the effectiveness of the site's engagement process.*

**4.3.1** *Consultation efforts with stakeholders on the site's water stewardship performance shall be identified.*



closed

Comment The Site created a way to consult with stakeholders.

**Finding No: TNR-022157**

**4.4** *Evaluate and update the site's water stewardship plan, incorporating the information obtained from the evaluation process in the context of continual improvement.*

**4.4.1** *The site's water stewardship plan shall be modified and adapted to incorporate any relevant information and lessons learned from the evaluations in this step and these changes shall be identified.*



Yes

Comment The Sustainable Water Management Plan includes a tab called 'Change Control' which sets out: the date of modification, description of the change, comments on that modification, who made the change and their position.  
There is a routine quarterly review of water risk plans, including the Sustainable Water Management Plan. This assessment will consider whether changes to the Plan are needed. The progress report will be made annually.

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849

5		STEP 5: COMMUNICATE & DISCLOSE - Communicate about water stewardship and disclose the site's stewardship efforts
5.1	<i>Disclose water-related internal governance of the site's management, including the positions of those accountable for legal compliance with water-related local laws and regulations.</i>	
5.1.1	<i>The site's water-related internal governance, including positions of those accountable for compliance with water-related laws and regulations shall be disclosed.</i>	Yes
Comment	<p>The Site presents corporate governance in Mexico. At the site level, a communication strategy has been established to present to stakeholders and the general public, setting out communication guidelines and the personnel responsible for water management.</p> <p>The site has demonstrated that it makes this information public on social media (Facebook and Instagram) and on their website.</p> <p>In addition, there is a customer service line in Guatemala at 1735 and through the website, where customers can contact the site to share their opinions, complaints, or suggestions. See document attached (slide 1-8)</p>	
5.2	<i>Communicate the water stewardship plan with relevant stakeholders.</i>	
5.2.1	<i>The water stewardship plan, including how the water stewardship plan contributes to AWS Standard outcomes, shall be communicated to relevant stakeholders.</i>	Yes
Comment	<p>The Site has submitted a document with evidence of how they have shared the WSP with strategic stakeholders.</p> <p>During the audit, the Site showed that they shared this information with state and municipal authorities, civil society organisations, and the media.</p> <p>See document at 5.1.1 (slides 9-16)</p>	
5.3	<i>Disclose annual site water stewardship summary, including: the relevant information about the site's annual water stewardship performance and results against the site's targets.</i>	
5.3.1	<i>A summary of the site's water stewardship performance, including quantified performance against targets, shall be disclosed annually at a minimum.</i>	Obs.
Comment	<p>The Corporation is committed to sustainable water management, consolidating annual progress, indicators and best practices implemented. These results will be included in the Integrated Annual Report. In addition, the Site plans to disseminate these achievements through our social networks, thereby promoting closer ties with stakeholders through transparent, accessible information.</p> <p>See document attached at 5.1.1 (slides 17.19)</p>	
5.4	<i>Disclose efforts to collectively address shared water challenges, including: associated efforts to address the challenges; engagement with stakeholders; and co-ordination with public-sector agencies.</i>	
5.4.1	<i>The site's shared water-related challenges and efforts made to address these challenges shall be disclosed.</i>	Yes
Comment	<p>The Site has presented evidence of efforts made by the Site to address shared water challenges with stakeholders.</p> <p>See document attached at 5.1.1 (Slides 20-27)</p>	
5.4.2	<i>Efforts made by the site to engage stakeholders and coordinate and support public-sector agencies shall be identified.</i>	Yes

# CERTIFICATION REPORT

## Alliance for Water Stewardship (AWS)

Audit Number: AO-001849

Comment The Site encourages different groups to take part and work together on projects in the communities it serves.

- Technical Committees: NGOs, local and community governments, and academic partners work together to develop the project work plan.
- WASH: NGOs hired for access and sanitation projects work with the municipal government to identify needs and the most affected communities. Then, the community government (COCODES) and local residents help create the solution. A Water Committee is set up to keep the project sustainable.
- Environmental education: NGOs and rural school principals in the municipality work together to organize environmental education activities.

See document attachet at 5.1.1, (slide 29)

**5.5** *Communicate transparency in water-related compliance: make any site water-related compliance violations available upon request as well as any corrective actions the site has taken to prevent future occurrences.*

**5.5.1** *Any site water-related compliance violations and associated corrections shall be disclosed.*



Yes

Comment The Site has no water-related violations or incidents. An internal continuous improvement procedure ensures root cause analysis and implements preventive actions to address and prevent future incidents. The Site will disclose any breaches relating to compliance with the site's water regulations and the relevant corrections.

**5.5.2** *Necessary corrective actions taken by the site to prevent future occurrences shall be disclosed if applicable.*



Yes

Comment The Site has no water-related violations or incidents. An internal continuous improvement procedure ensures root cause analysis and implements preventive actions to address and prevent future incidents. The Site will disclose any breaches relating to compliance with the site's water regulations and the relevant corrections.

**5.5.3** *Any site water-related violation that may pose significant risk and threat to human or ecosystem health shall be immediately communicated to relevant public agencies and disclosed.*



Yes

Comment The Site has no violations or incidents related to water. The Site has an internal continuous improvement procedure in place which establishes the performance of root cause analysis and the generation of action measures to address incidents, such as the establishment of preventive measures to avoid a recurrence. The Site will disclose any breaches relating to compliance with the site's water regulations and the relevant corrections. In the event of a water-related environmental incident such as spills, leaks, water quality alterations, non-compliant discharges, or any event that could compromise the site's legal or environmental compliance, the Site immediately activates the Internal Contingency Reporting Mechanism (MIRC), in accordance with the guidelines of the Environmental Management System and the internal Incident Management procedure.

### Previous Findings

*All non-conformities raised in the previous audit have been satisfactorily closed.*



N/A